Analysis of PMS Data for Engineering Applications

NHI Training Course No. 131105
Starting in 2003
Engineering Application Examples from following State DOT’s

- Arizona
- California
- Florida
- Georgia
- Kansas
- Maryland
- Michigan
- Montana
- Pennsylvania
- Texas
- Washington
- Wisconsin
Examples of Subjects Covered

- Benefits of a Pavement Management System
- Using a PMS to monitor materials performance
- Modeling of pavement performance data
- Comparison of various of overlay design systems
- Use of PMS to solve problems with PCC pavements
- Optimize Preservation through LCCA of various alternatives
- Integrating maintenance activities in PMS
Components of PMS

NETWORK LEVEL
- Programming
- Planning
- Budget

PROJECT LEVEL
- Design
- Construction
- Maintenance
- Rehabilitation

DATA BASE

Engineering Applications
Research - Special Studies
Major Components of a Project Level Pavement Design System

Inputs → Models → Behavior → Distress

Friction → Traffic

Costs

Decision Criteria

Ordered Set of Choices → Implementation

Performance
A quote which properly defines a PMS database by Aaron J. Ihde:

“The primary factor in bringing about scientific discovery is not necessity or individual genius, but the relentless pressure of accumulating knowledge”.
INPUTS
- Traffic
- Materials
- Thickness

Models

Behavior

Distress

Performance

Deflection

Update Models

Condition Surveys

Roughness PSI

Safety

Traffic Counts & Weights

LONG-TERM DATABASE & ANALYSIS

Costs

RECORD
- Construction
- Maintenance

Count
- Weigh
- Classification

Rainfall
- Temperature

Traffic Counts & Weights

Update Models
Summary of PMS

- Network and project level
- Several major subsystems
- Performance database for model development
- Proper information flows
- Pavement evaluation
Engineering Analysis Essential part of PMS

- Pavements are engineered structures, therefore engineering analysis:
  - Improves pavement performance
  - Can be used for network or individual problems
  - Is essential for feedback purposes
  - Affects future activities - design, construction maintenance, standards, and specifications
- Involves both project & network level data
Purpose of PMS Engineering 
Analysis

The use of pavement management data to evaluate and improve structural designs (e.g. AASHTO 2002), materials, mix designs, construction, preservation strategies, rehabilitation, and preventive maintenance of pavements.

But: PMS does not have all needed data!
Sources of Engineering Data other than from PMS database

- Research data files
- Construction records
- Material test records
- Additional field evaluations
- Project plans
- Additional structural evaluation and/or materials testing
- Expert opinion and forensics
- Maintenance Management Systems
Quantified Benefits of a PMS

- Study for Arizona DOT in 1998 by TRDI
- ADOT’s PMS was initiated in 1980
- Need to evaluate impact of PMS on:
  - pavement performance
  - pavement life
  - selection of materials
- Use of PMS database to quantify effects
Creating The Database

- Historical Roughness data split as follows:
  - Data that reflect **Pre-PMS** experience from years 1981 - 1983
  - Data that reflect **Full-PMS** experience from years 1993 - 1995. (For later years project files not complete).

- Data evaluated in Visual Modeler.
- Each period contains about 20,000 records.
Results

- Roughness by far the best indicator of performance and shows the best correlation for data set.
- Cracking shows stable relationships, but not as robust as for roughness.
Slope represents average annual rate of increase in roughness.

Intercept provides best estimate of average "new" pavement roughness at 80" / mile.
PMS Results in Longer Life

Roughness All Roads, All Pavements

Age (years)

Roughness (in/mi)

PRE-PMS
1981-1983

FULL PMS
1993-1995

Tolerable Roughness 93 in/mi

81-83 95% Confidence

93-95 95% Confidence

12.2 yrs 2.7 15.0 yrs

12.7 yrs 2.0 14.7 yrs

81-83

93-95
Example for Interstates only

Roughness - Interstates - All Pavements

Objectionable Roughness Level 142 in/mi

Tolerable Roughness 93 in/mi

1981 - 1983

1993 - 1995

18.9

31.6

0 20 40 60 80 100

0 5 10 15 20 25 30

Age (years)

Roughness - (in/mi)
Quantification of Benefits

- Improved Pavements with longer life:
- Total Budget for yrs 81-96: $3,135 million
- Average increase in life 2.0 yrs or 13.5%
- Minimum increase in life 1.3 yrs or 8.6%
- Average corresponding benefit over 16 years of $423 with minimum of $270 million at 95% confidence.
ADOT Total PMS Costs

<table>
<thead>
<tr>
<th></th>
<th>1981</th>
<th>1996</th>
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<tbody>
<tr>
<td>DEVELOPMENT</td>
<td>44,000</td>
<td>44,000</td>
</tr>
<tr>
<td>OPERATING</td>
<td>430,000</td>
<td>525,000</td>
</tr>
<tr>
<td>TOTAL</td>
<td>474,000</td>
<td>569,000</td>
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</tbody>
</table>

TOTAL FOR 16 YEARS = $8,340,000
ADOT’s PMS Total Benefits/Costs

Total PMS costs $8.3 Million over 16 yrs. Benefits at minimum confidence level $270 Million, at average level $423 Million.

Minimum at 8.6% increase in life:
Benefit/Cost Ratio = $270 Million / $8.3 Million = 33 to 1

Average at 13.5% increase in life
Benefit/Cost Ratio = $423 Million / $8.3 Million = 51 to 1
Total Benefits/Costs Sensitivity Including User Costs

- Assume minimum benefits (95%) of $270 million with 33:1 benefit cost ratio (BCR)
- World Bank: User benefits are 4 - 10 x cost of road expenditures,
- Benefits to Arizona citizens over 16 yrs would be at least $1 billion, with BCR of more than 100:1
Summary of PMS Benefits

- Many “general” benefits, such as improved communications, better decision taking, asset management, etc are present in PMS but difficult to quantify.
- With well maintained comprehensive database, benefits can indeed be defined in number of ways, and reliably quantified.
- As shown in Arizona, they are sizeable.
Using PMS Data to Monitor Performance of Superpave

- Study for FHWA by TRDI with:
  - Ronald Hudson,
  - Charles Dougan,
  - Carl Monismith,
  - Pim Visser

- DOT’s of Maryland, Indiana, Florida, Arizona and Washington have been visited,
- Superpave used as test case - outcome of study equally valid for other materials and/or construction techniques & concepts.
Concept for Linking Databases

Electronic PMS Data Base

Electronic Materials & Construction Data Base

Mix Design/Testing

QC/QA Data, etc.

Electronic Performance Analysis Data Base

PERFORMANCE ANALYSIS FOR VARIOUS CONDITIONS
Electronic PMS Database

- Common referencing is needed with Project Number, exact Location and Date
- Climate and Traffic (ESAL and ADT) Data,
- Age of original pavement and last rehab date,
- Details of existing pavement structure,
- Performance Data for various distresses should be linked to exact location (mile post or GPS, Lane and Direction).
Electronics Materials and Construction Database

- Common referencing is needed with Project Number, exact Location and Date
- Mix data, as designed and in-place
- Layer thickness, designed and actual
- Other materials information & construction details
- Effects of maintenance activities
- Batch/lot numbers should be linked to location.
Electronic Performance Analysis Database - Created by Linking

- Common referencing is needed with Project Number, exact Location and Date
- Essential materials and construction data linked to performance data through common referencing
- Possible to study effects on performance of materials, construction techniques, traffic loads, climate, thickness design (AASHTO 2002), etc.
NETWORK ANALYSIS

1. Assemble Database for adequate number of sections,
2. The more sections the better - large sample statistics very powerful,
3. Several States can combine Data with good coordination at the national level,
4. Effects of all variables present in the Database can be evaluated and analyzed,
5. Early implementation provides impetus to enter data early – No “build-up” of backlog.
PROJECT ANALYSIS

• Assemble Database for adequate number of lots,
• The more lots the better - large sample statistics very powerful (lots across projects with similar characteristics can combine data),
• Effects of all variables present in the database can be evaluated and analyzed, such as:
  – Effects of scatter in material properties,
  – Susceptibility of materials or techniques to adverse conditions,
  – Assessing best compaction techniques for certain materials, etc.
Current Limitations (1of 2)

- In most cases the materials, construction, and maintenance data are not now tied to PMS activities.
- Most agencies store materials and construction data in flat files, so transfer and analysis of data is hard to do.
- Not all relevant data are recorded (e.g. in-place thickness is often missing).
- Linking materials and construction data to an exact location is difficult or impossible.
Current Limitations (2 of 2)

• Performance data are often averaged over a mile. Distress is often sampled over short distances, e.g. milepost only. Normally only one lane is measured.
• Therefore, difficult to link performance data to materials and construction data.
• Maintenance activities, if not properly recorded and referenced, could distort the analysis.
• DOTs need time to plan organizational structures to implement the new approach.
PHASE 2: PATHFINDER STUDY

• Maryland SHA agreed to provide PMS, materials and construction data in electronic format,
  – Sam Miller, Larry Michael, Pete Stephanos, Paul Dorsey, Gloria Burke, and others.

• The University of Washington offered to put the MD data in their newly developed web-based evaluation system,
  – Joe Mahoney, George White.

• TRDI coordinated.
## Proposed Data Fields

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Total Fields</th>
<th>Electronic</th>
<th>Paper</th>
<th>Not Available</th>
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</thead>
<tbody>
<tr>
<td>QC/QA Data</td>
<td>28 fields</td>
<td>8</td>
<td>16</td>
<td>4</td>
</tr>
<tr>
<td>Mix Design Data</td>
<td>16 fields</td>
<td>9</td>
<td>6</td>
<td>1</td>
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<tr>
<td>Pavement Design Data</td>
<td>11 fields</td>
<td>0</td>
<td>10</td>
<td>1</td>
</tr>
<tr>
<td>PMS Data</td>
<td>16 fields</td>
<td>9</td>
<td>4</td>
<td>3</td>
</tr>
</tbody>
</table>

**Notes:**
- Electronic fields: Available in electronic form.
- Paper fields: Available in paper form.
- Not available: Data is not available in any form.
EVALUATIONS AT NETWORK LEVEL

- Binder content vs rutting,
- Fines (passing P200) vs rutting,
- IRI of several projects by year,
- Rutting of several projects by year,
- IRI vs use of Material Transfer Vehicle,
- IRI vs night/day paving,
- IRI vs surface preparation.
EVALUATIONS AT PROJECT LEVEL

- IRI by year,
- Rutting by year,
- IRI vs distance/lots,
- Rutting vs distance/lots,
- IRI vs use of Material Transfer Vehicle,
- IRI vs night/day paving,
- IRI vs surface preparation.
LIMITATIONS OF DEMO

• Only seven projects,
• Only 1 or 2 years of performance data,
• No data or not enough data for:
  – Cracking
  – Mix temperatures
  – Rolling patterns
  – MTV use
  – Lot locations
• Consequently demo should be judged on the potential capabilities of the system, not these interim results.