Development and Calibration of a Mechanistic Based Design Procedure for PCC Pavements

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Fundamental Basis of 2002 Design Procedure for PCC Pavements

- 1. Based on **engineering mechanics principles** as much as possible
- **2.** Characterize structure with FEM (stresses and deflections from traffic and climatic loads)
- **3.** Characterize traffic loads as distributions of single, tandem, tridem axles



Fundamental Basis of 2002 Design Procedure for PCC Pavements

- 4. Characterize materials in terms of elastic properties (elastic modulus "E" & Poisson's ratio) and other properties (e.g., shrinkage)
- 5. Characterize climate using hourly historical temperature, precipitation, solar radiation, wind speed, cloud cover to model effects on PCC, base and subgrade soils



Fundamental Basis of 2002 Design Procedure for PCC Pavements

- 6. "Damage" over time estimated as it occurs in nature: incrementally
- 7. Distress prediction with mechanistic-based models that are calibrated with field data
- 8. Smoothness prediction function of as-built smoothness, distress, and site factors



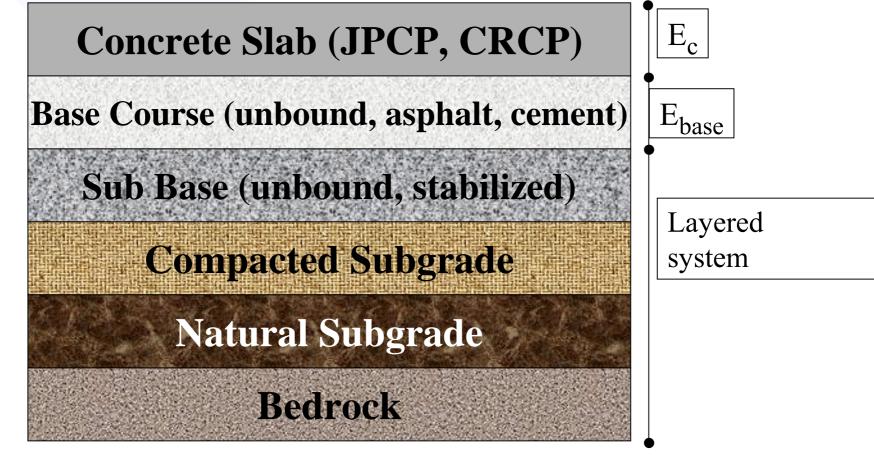
2002 Guide - Design Analysis

New and Rehabilitated Rigid Pavements

- Jointed plain concrete pavements (JPCP)
- Continuously Reinforced Concrete Pavements (CRCP)
- Unbonded JPCP and CRCP overlays
- Bonded PCC overlay of JPCP and CRCP
- Restoration of JPCP and CRCP w/o overlay

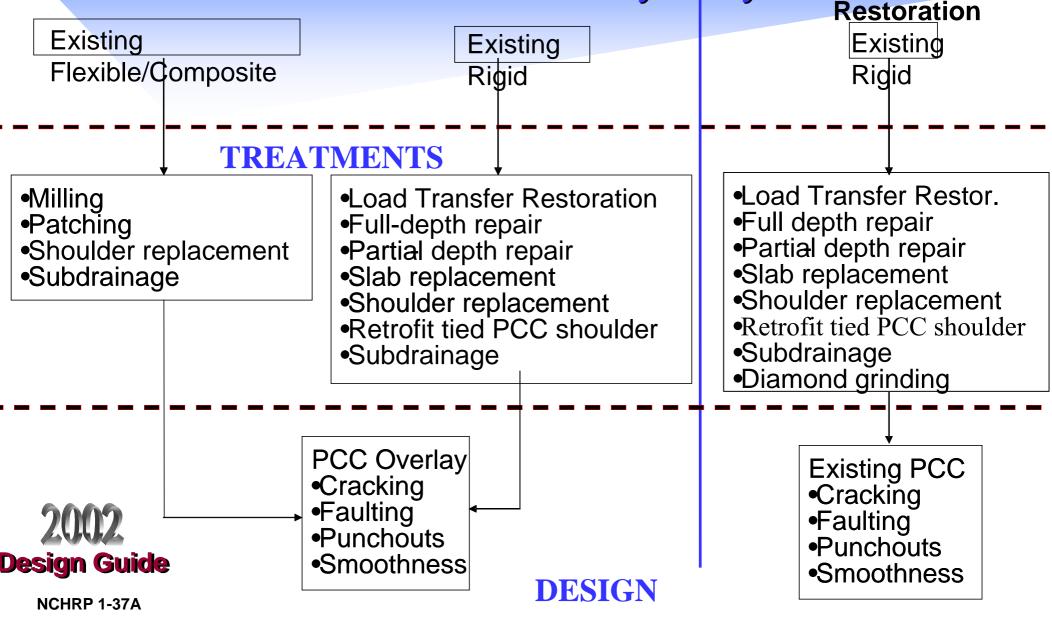


Rigid Pavement Layers





PCC Restoration/Overlay Analysis

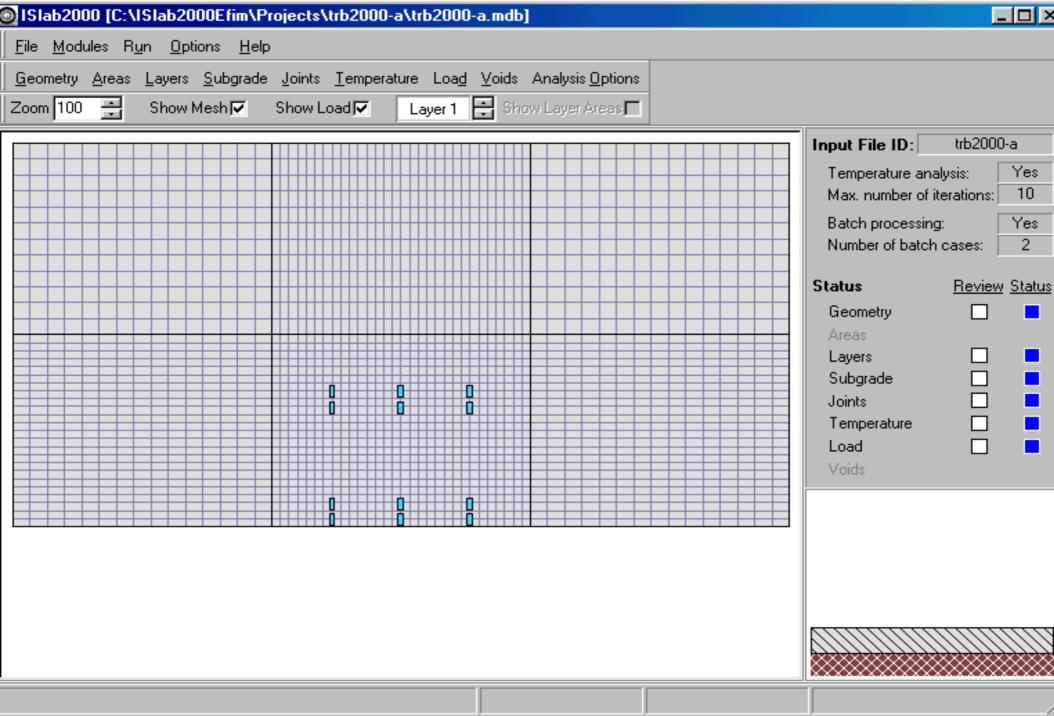


2002 Guide - Design Analysis

- Structural Response Model
 ✓ISLAB2000—enhanced 2.5D FEM
 - Rapid solution method required to make millions of calculations rapidly
 Neural network with dimensional

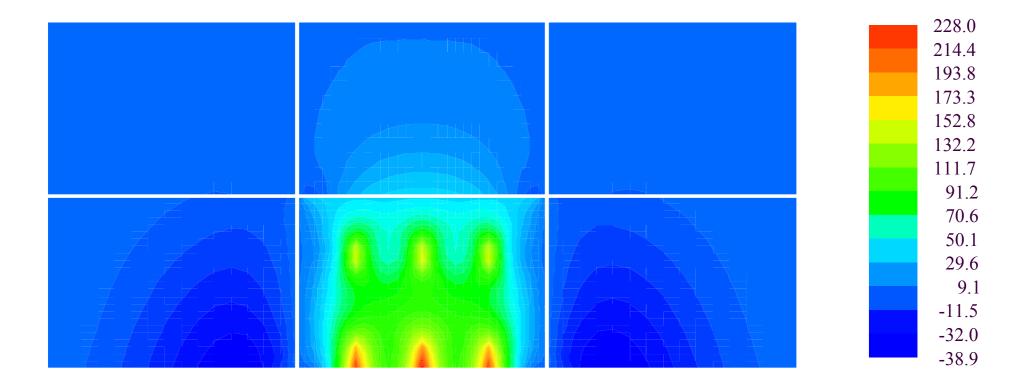
analysis and equivalent system
 Modified MC-HARP and traditional back-propagation neural networks





Day Time Curling, Tridem Axle Loading

Stresses in Y-direction



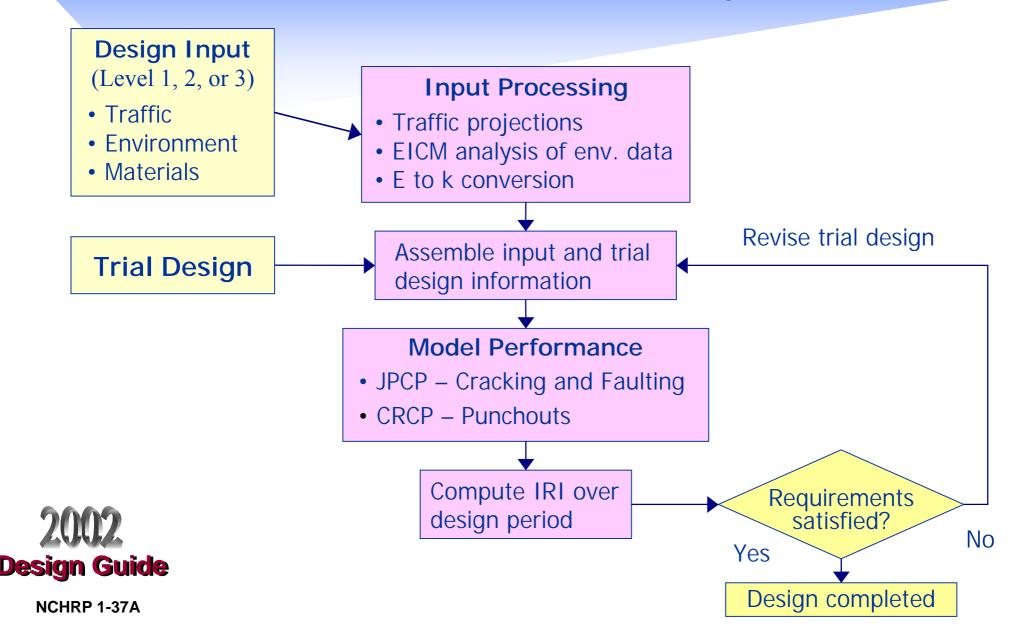
2002 Guide - Design Analysis

- JPCP distress types
 Joint Faulting
 - Transverse cracking—bottom-up
 - Transverse cracking—top-down
- CRCP distress
 - ✓ Punchouts—crack LTE loss, top-down
- Smoothness (IRI)

✓ JPCP and CRCP

2002 Design Guide

Overview of PCC Pavement Design Process



2002 Guide - Design Inputs

Incremental Damage

- Divide design period into increments (year, month, day/night)
- Changes over time are addressed
 - Material strength and stiffness (all layers)
 - Seasonal moisture and temperature (hourly temperatures)
 - Traffic variation hourly, seasonally, yearly
 - ✓ Other changes (joint open/LT, erosion, ...)



2002 Guide

Design Inputs

- 1. Subgrade/foundations
- 2. Material characterization
- 3. Environmental effects
- 4. Traffic loading
- 5. Performance criteria
- 6. Pavement evaluation



2002 Guide - Design Inputs

Subgrade/Foundation

- Characterization of the subgrade (Mr)
- Laboratory or FWD testing of subgrade
- Identification and treatment of special subsurface conditions
- Foundation improvement and strengthening



2002 Guide - Design Inputs

Environmental Effects

- The Enhanced Integrated Climatic Model (EICM) is used to predict moisture and temperature states throughout pavement layers and subgrade.
- A powerful tool (temp. grad. Slab, AC Base E*, granular base Mr, subgrade Mr)



Hierarchical Input Levels

- Level 1 Testing Required Materials testing (Ec,MR, shrinkage, Mr) Deflection testing (FWD) and backcalculation Site traffic testing: AVC, WIM
- Level 2 Correlations
 Available test procedures with correlation equations
- Level 3 Default Values
 Default values based on research & local knowledge



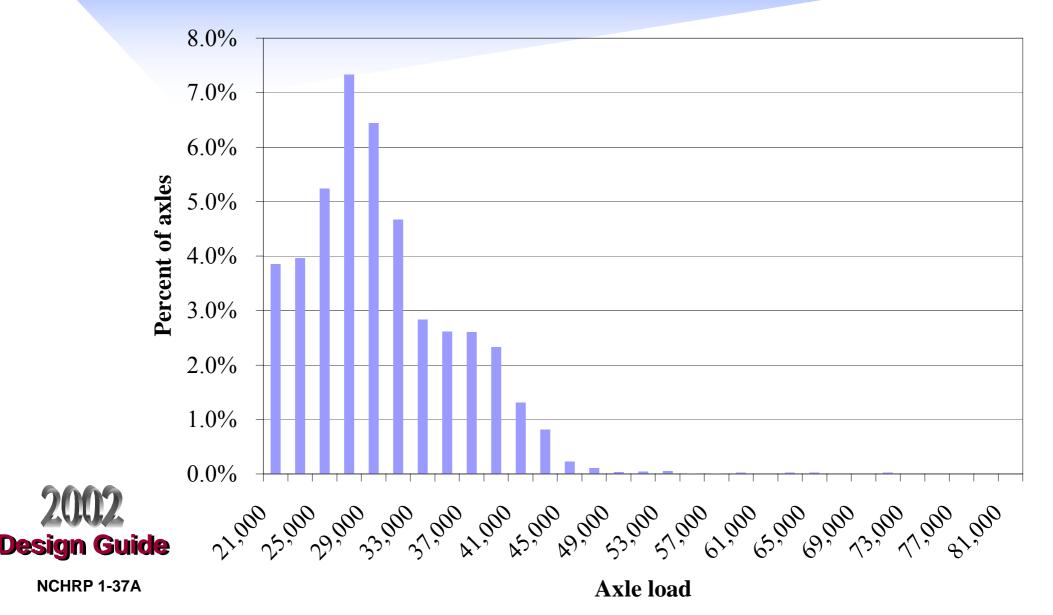
Note — Calculation procedures are exactly the same regardless of design input level

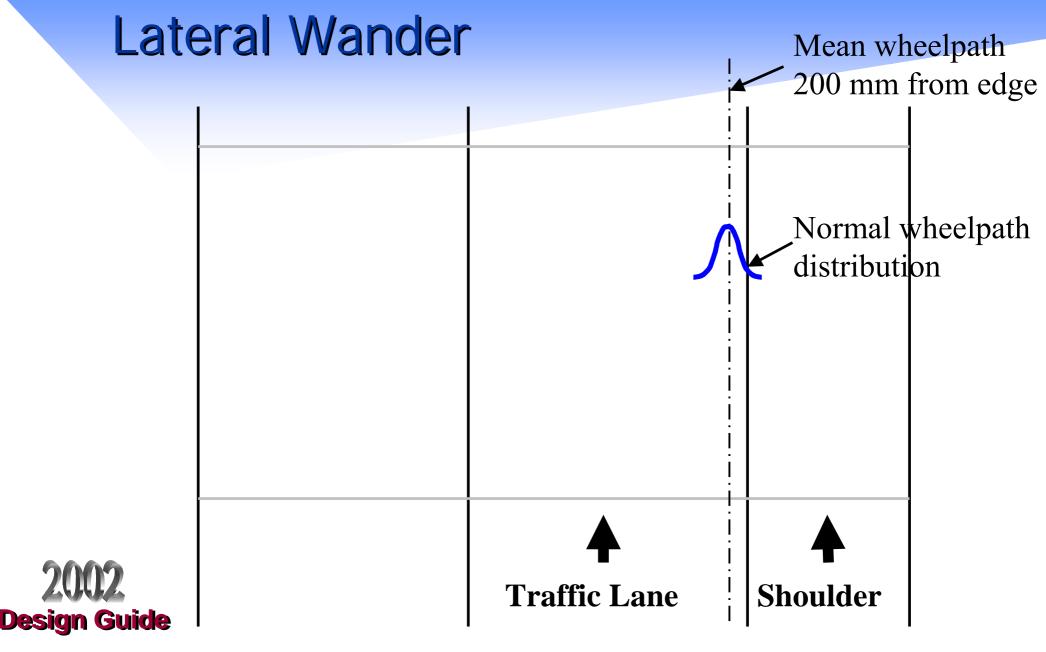
Traffic

- Single, tandem, tridem, quad annual axle counts in design lane over design life
- 24-Hour truck usage distribution factors
- Monthly (seasonal) distribution factors
- Axle load spectra (percent) for single, tandem, tridem, quad axles



Axle Load Spectra (Tandem Axles)





Environment

- EICM is run automatically based on weather station hourly climatic data (temp., solar radiation, precipitation, wind, relative humidity)
- EICM results include:
 - Hourly temperature profiles through PCC
 Seasonal base and subgrade moduli values
- Nonlinear hourly temperature profiles are converted to equivalent (equal stress) linear temperature differences for computational efficiency

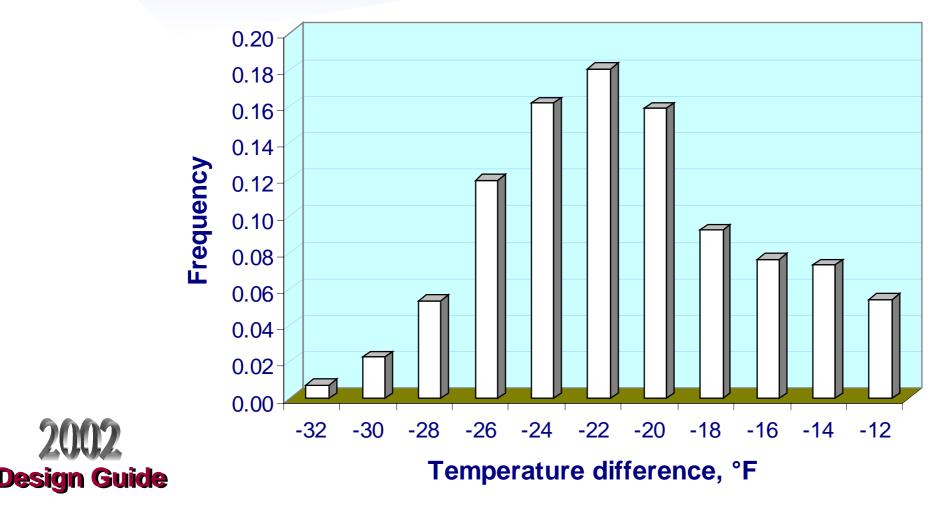


Environment (cont.)

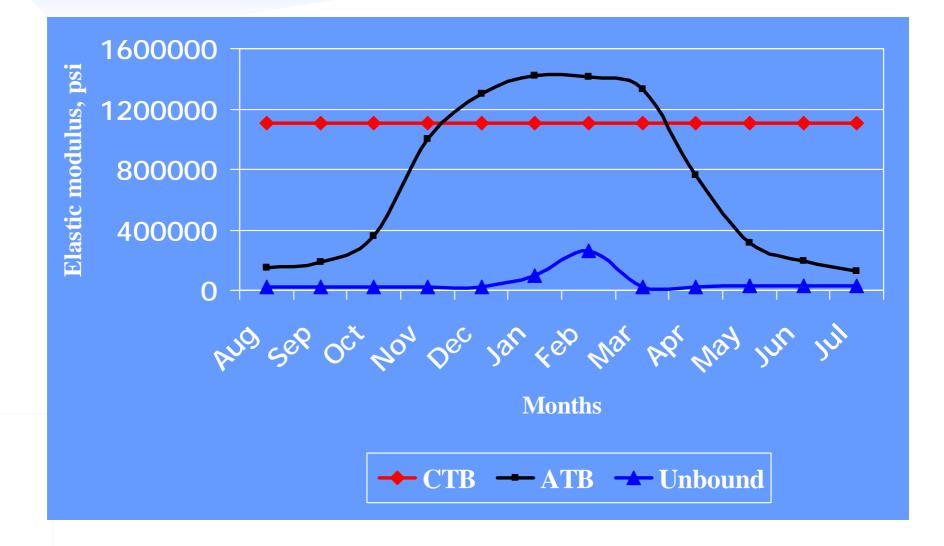
- Frequency distributions of hourly thermal gradients created for each month of the year over as many years as weather station data available
- Both day-time (positive) and night-time (negative) thermal gradient probability distributions are obtained for each month



Example Frequency Distribution of Negative Temperature Gradients



Monthly Variations of Base Modulus

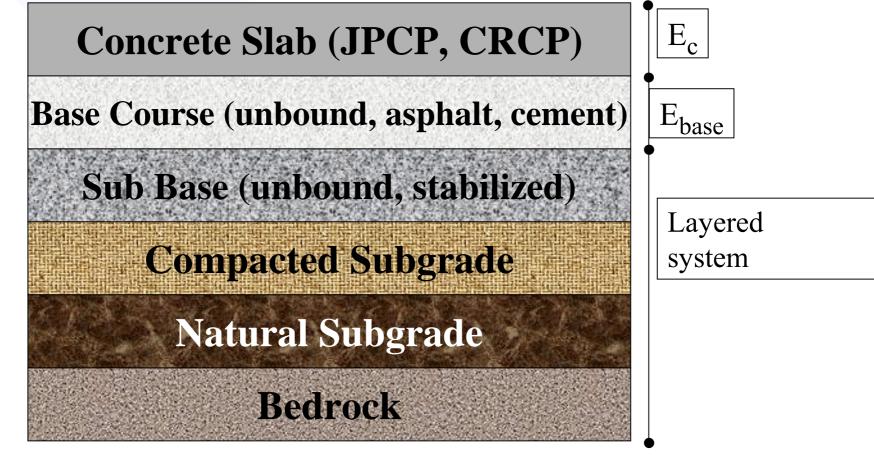


Material Properties

- PCC placement & opening to traffic dates
- PCC strength gain over time
- PCC elastic modulus gain over time
- PCC coefficient of thermal expansion
- PCC Poisson's ratio and unit weight
- PCC drying shrinkage (ultimate and change over time)
- Seasonal base and other sublayers modulus values
- Time when PCC slab and treated base debonds
- Base erosion index (material, climate, subgrade)



Rigid Pavement Layers





PCC Strength Gain

Strength parameters
Flexural strength, MR
Compressive strength, fc
Elastic modulus, Ec
Tensile strength (indirect), ft



PCC Strength Gain Model

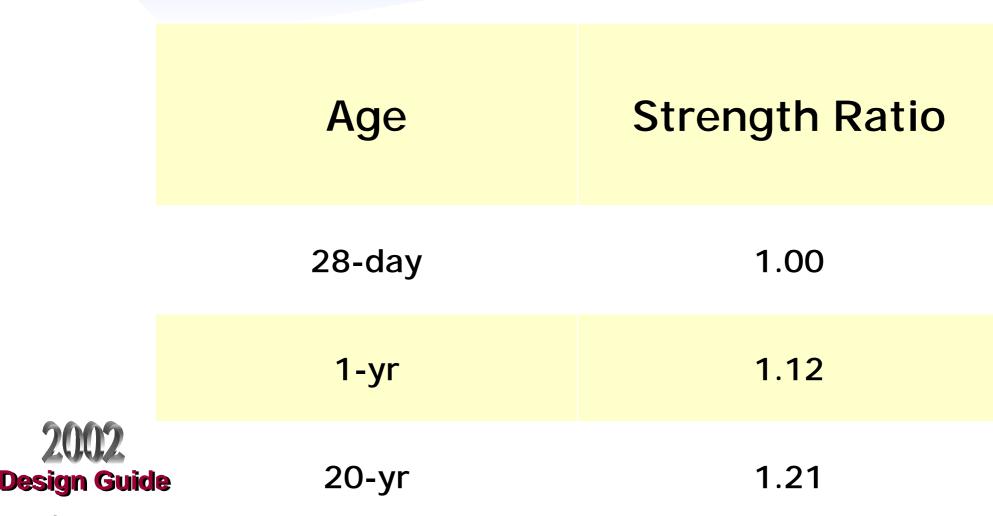
 $STRATIO = 1 + 0.1249 \log_{10}(AGE/0.0767) - 0.01566[\log_{10}(AGE/0.0767)]^2$

where

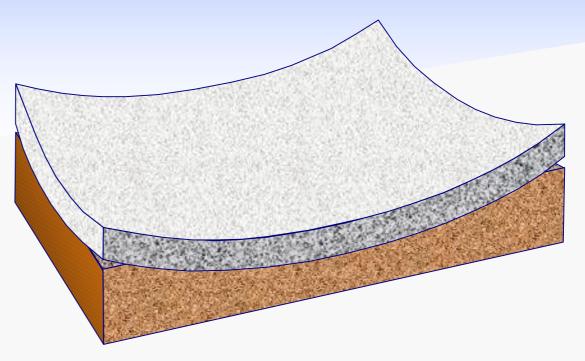
- *STRATIO* = ratio of PCC strength at a given age to 28-day strength
- AGE = PCC age, yr

N = 679 (3 days to 20 years) R² = 79% SEE = 0.12 (of *STRATIO*)

Mean Strength Ratio's (from model)



Curling and Warping



 $\Delta T = \Delta T_{Actual} + \Delta T_{Built-in} + \Delta T_{Shrinkage}$



Curling and Warping

- Refers to the distortions of PCC slabs caused by
 ✓ Temperature gradient (curling)
 - Moisture gradient (warping)
- Consists of two parts
 - Permanent, built-in component
 - Transitory, varies continuously in response to climatic conditions



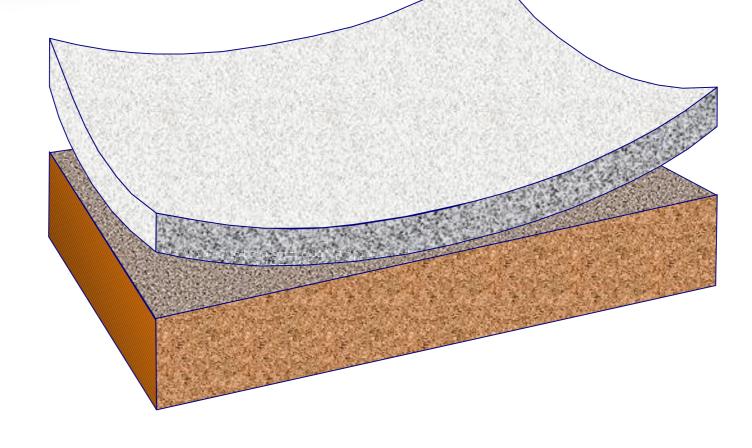
Curling and Warping in Cracking, Faulting, & Punchout Models

Assumptions:

- All factors (temperature, moisture) that distort PCC slabs can be represented in terms of equivalent temperature gradient
- Not all distortions measurable on pavement surface affect stresses in PCC slabs

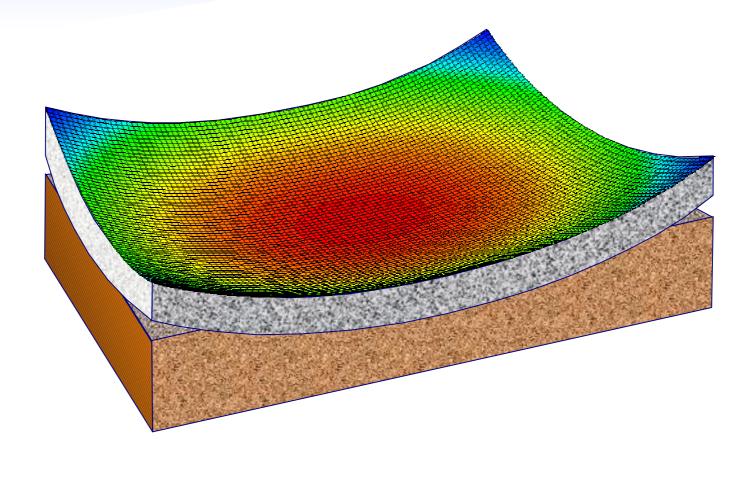


Contact Condition Assuming the Foundation is Flat





Actual Contact Condition





Permanent Curl/Warp

- Three components
 - ✓ Built-in temperature gradient at time of set
 - Permanent portion of drying shrinkage
 - Creep of slab and settlement into base
- Single equivalent temperature value determined through calibration
 - Value that gives the best correlation between the calculated damage and slab cracking

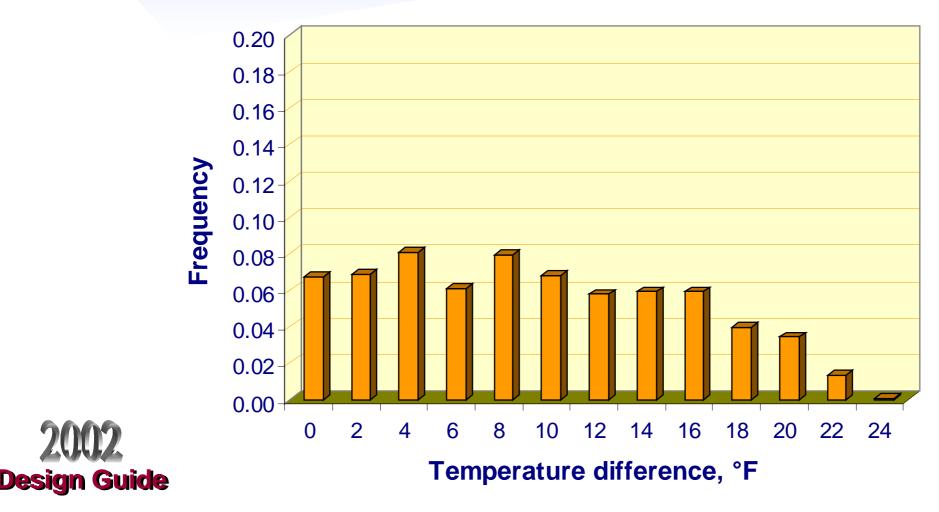


Transitory Component

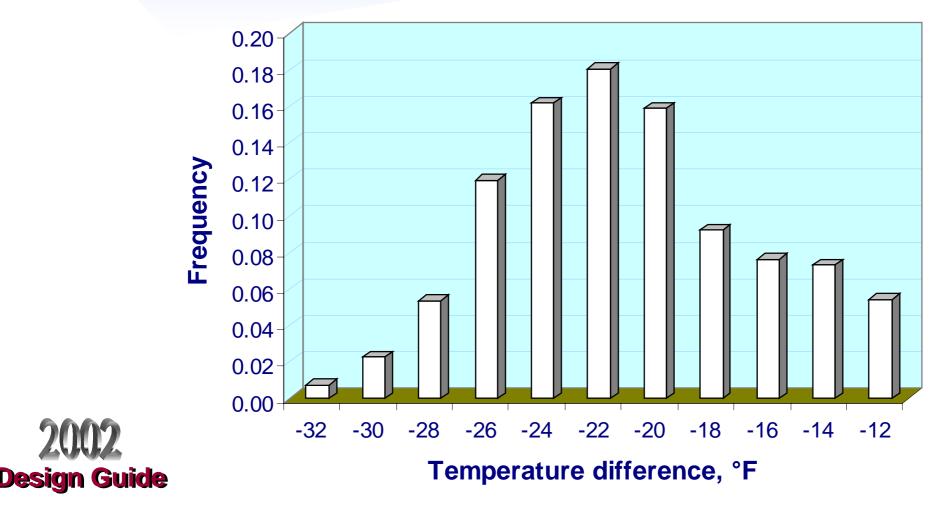
- Temperature—hourly variation is considered
 - ✓ EICM used to predict hourly temperature profile through PCC from hourly climatic data
 - Nonlinear temperature gradient is utilized
- Moisture gradient—monthly variation in relative humidity utilized



Example Frequency Distribution of Positive Temperature Gradients

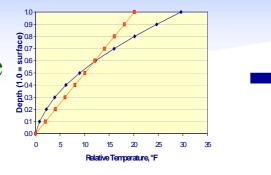


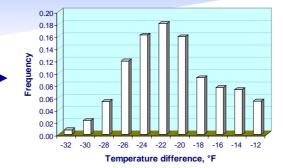
Example Frequency Distribution of Negative Temperature Gradients



Components of Curl/Warp Stress

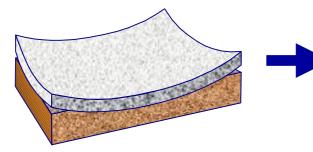
Actual Temperature Gradient





Frequency distribution of linearized hourly temperature gradients

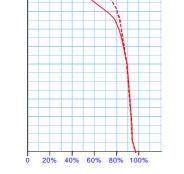




 $TG_{BuiltIn} = f(Design \& Site Factors)$ Empirical relationship based on calibration results

Moisture Gradient







Effects of monthly variation in R.H. expressed as equivalent temperature gradient

PCC Field Fatigue Model (NCHRP 1-26)

$Log_{10}N = [(-R^{-b} Log_{10} (1-P)) / a]^{1/c}$

Where

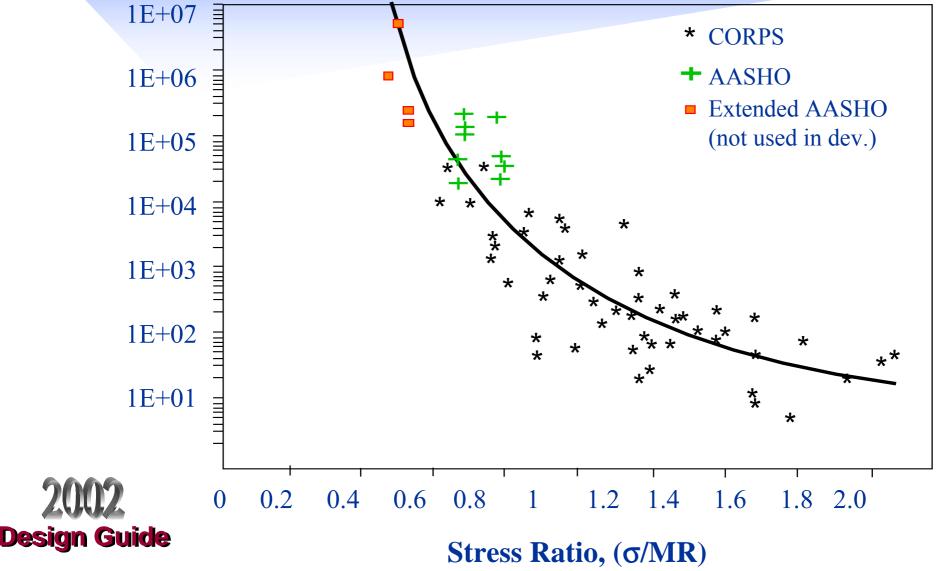
- N = number of stress repetitions to 50% slab cracking
- R = tensile stress / flexural strength

a = 0.0032 b = 5.367 c = 4.394 (field calibration) $R^2 = 0.87$

No. test sections = 62



Number of Stress Repetitions



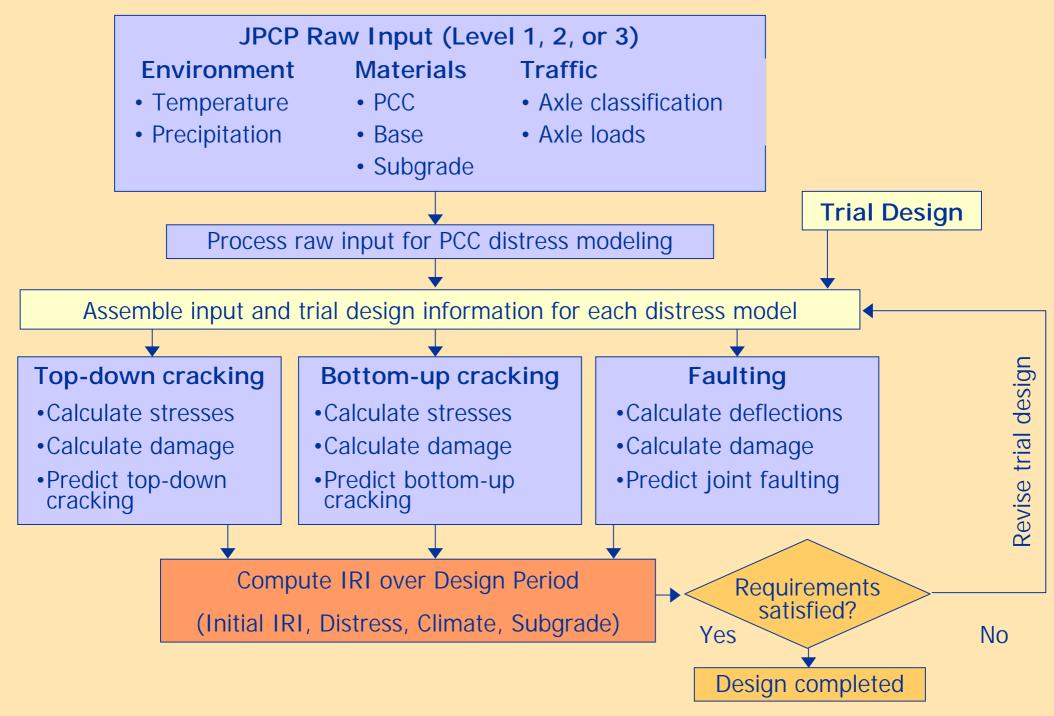
JPCP Design Procedure



Prediction Models

- Development of mechanistic based models of key distresses
 - ✓ JPCP bottom-up cracking
 - ✓ JPCP top down cracking
 - ✓ JPCP joint faulting
- Development of empirical IRI model
 - \checkmark IRI = f distress, site conditions, patching

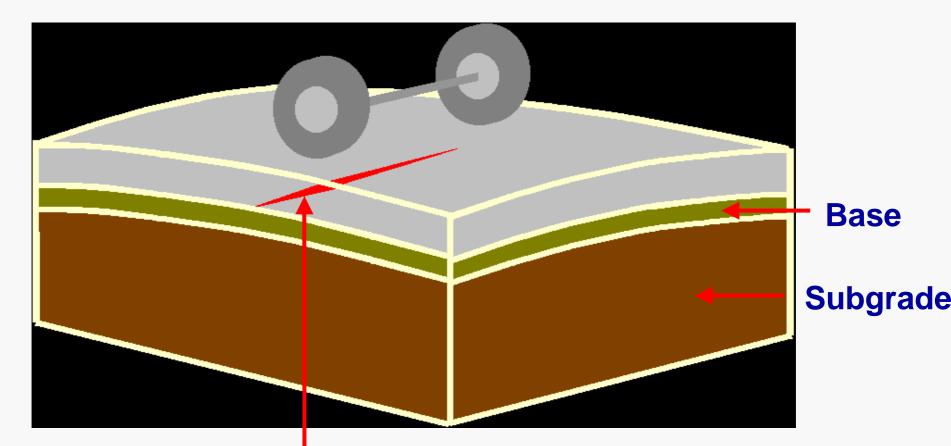




Transverse Cracking--JPCP



Bottom-Up Cracking Mid-slab Loading—Positive Curl/Warp



Critical stress region at bottom of slab

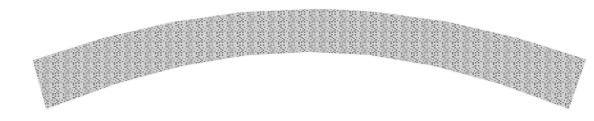
Downward Curling Situation

Combination of

Positive (+) day time thermal gradient
Negative (- day placement) or positive (+ night placement) built-in temperature gradient
Negative (-) moisture gradient from shrinkage

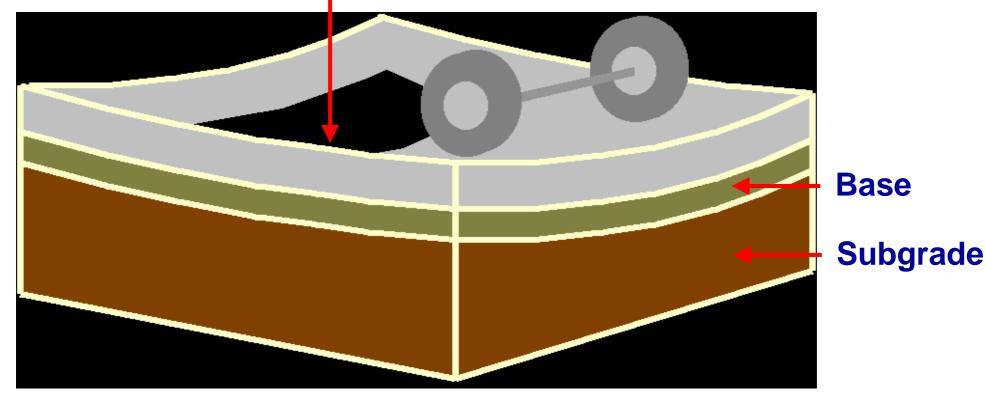
 Combined gradients can result in downward curling of slab causing tensile stress at bottom of slab and potential bottom-up cracking when combined with traffic load





Top Down Cracking Joint Loading -- Negative Curl/Warp

Critical stress region at top of slab



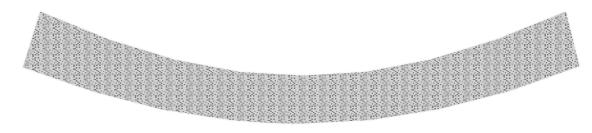
Upward Curling Situation

Combination of

Negative night time thermal gradient Negative or positive built-in temperature gradient Negative moisture gradient from shrinkage

 Combined gradients results in upward curling of slab causing tensile stress at top of slab and potential top-down cracking when combined with traffic load





Slab Curling



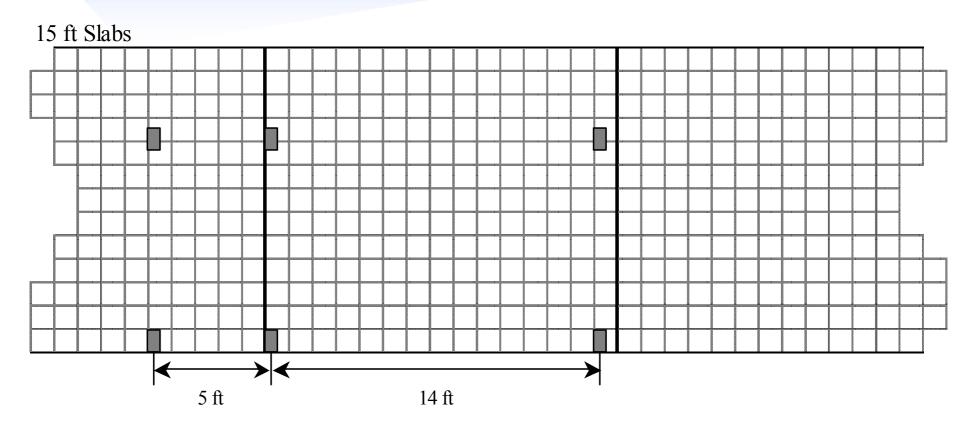


Top of slab (crack initiation)



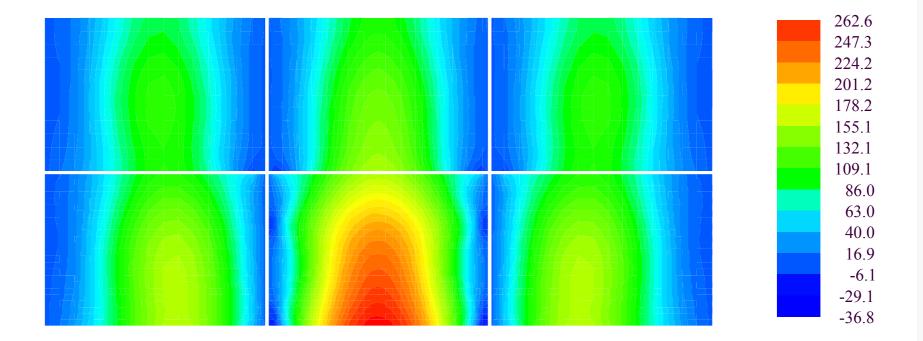


Top-down cracking





ISLAB2000 Longitudinal Stresses Stresses in Y-direction



Key Inputs for Cracking (L+M+H)

- Axle type, loading, lateral position, number
- Slab thickness, E, strength, coefficient of thermal exp.
- Base thickness, E
- Slab/base friction
- PCC material properties (strength, E, shrinkage, ...)
- Joint spacing and longitudinal joints LTE
- Slab width
- Permanent curl/warp (built-in temp., perm. Shink)
- Transitory curl/warp (thermal gradients, relative humidity)



Increments for Cracking

Factor	Varies Over Design Life?	Varies Seasonally?	Varies Over Day/Night?
Axle loads	Yes	Yes	Yes
PCC f'r, Ec	Yes	No	No
PCC Shrink	Yes	Yes	No
Temp. Grad.	No	Yes	Yes
Joint LTE	Yes	Yes	Yes
Subgrade E	No	Yes	No



Incremental Damage Accumulation

Fatigue Damage =
$$\sum_{i} \sum_{j} \sum_{k} \sum_{l} \sum_{m} \sum_{n} \frac{n_{ijklmn}}{N_{ijklmn}}$$

where:

 n_{ijklmn} = Applied number of load applications at condition i,j,k,... N_{ijklmn} = Allowable number of load applications at condition i,j,k,...

i = Agej = Seasonk = Axle combinationl = Load levelm = Temperature gradientn = Traffic path



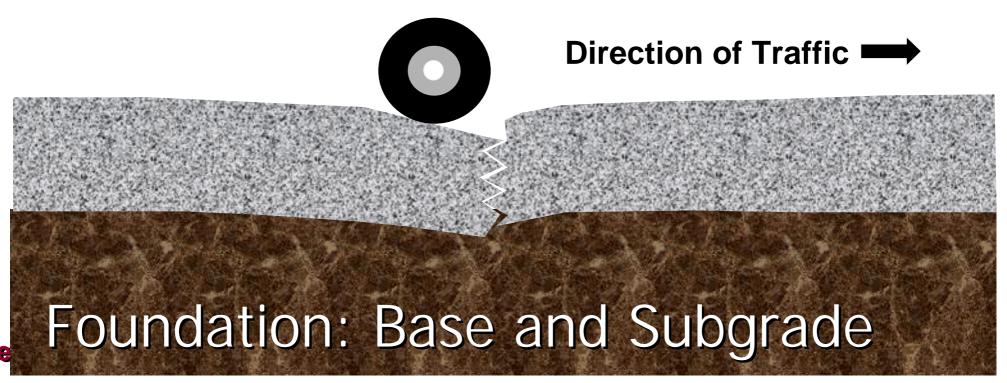


- Conditions favorable for joint faulting development
 - High loaded corner deflection
 - Low joint load transfer efficiency
 - ✓ Erodable base/subbase
 - Heavy repeated axle loads
 - Upward curling of slab corners



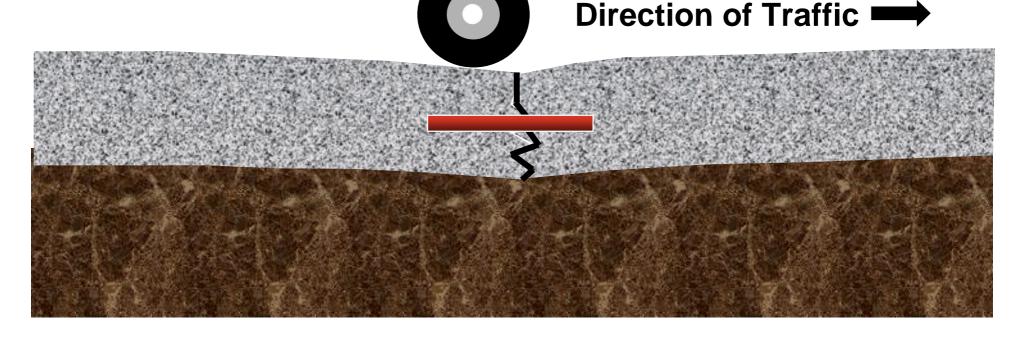
High Differential Deflection at Joint

- High foundation differential energy and faulting potential
- High differential deflections (and stresses)



Low Differential Deflection at Joint

- Low foundation differential energy and faulting potential
- Low differential deflections (and stresses)



Joint Faulting Inputs

- Axle type, loading, lateral position, number
- Permanent curl/warp (temp. & moisture)
- Transitory curl/warp (temp. & moisture)
- Slab thickness, E, strength, coefficient of thermal exp.
- Base thickness, E, erodobility
- Subgrade modulus and P200
- Joint spacing, slab width, and dowel spacing/diameter
- Transverse joint LTE, longitudinal joint LTE
- Various climatic parameters



- Three mechanisms of load transfer efficiency (LTE)
 - ✓ Aggregate interlock LTE
 - ✓ Dowel LTE
 - ✓ Base/subgrade support LTE



- PCC Aggregate Interlock LTE
 - Depends on aggregate type, maximum size, and joint opening.
 - ✓ Deteriorates with load and time.
 - Calculated for each increment of time



- Dowel LTE
 - Depends on dowel diameter
 - Depends on consolidation around dowel
 - After initial deterioration remains relatively stable with time

Dowel Diameter LTE

1 in	50-69%
1.25 in	70-84%
1.5 in	85-95%



 Base/Subgrade LTE Depends on base type/stiffness Depends on base durability LTE ✓ Base Type AGG 20-29% Stabilized 30-49% Old PCC pvt 50-70%



Combined load transfer efficiency

✓ LTE = 100 -

 $100*(1-LTE_{PCC}/100)*(1-LTE_{Dowel}/100)*(1-LTE_{Base}/100)$

where

LTE_{PCC -} PCC aggregate interlock LTE, percent
 LTE_{Dowel -} LTE due to dowels, percent
 LTE_{Base -} LTE due to base/subgrade, percent



• Structural response parameter: differential energy density of subgrade deformation, DE

- IF LTE=100%, DE=0 (no faulting accum.)
- IF LTE=0 DE= k Def²_{loaded} (faulting accumulates at maximum rate)



Increments Defined — Faulting

Factor	Varies Over Design Life?	Varies Within Season?	Varies Over Day/Night?
Axle loads	Yes	Yes	Yes
PCC f'r, Ec	Yes	No	No
PCC Shrink	Yes	Yes	No
Temp. Grad.	No	Yes	Yes
Joint LTE	Yes	Yes	No
Subgrade E	No	Yes	No



Faulting Prediction

• Calculate maximum potential faulting (LTE = 0): $FAULTMAX = C * D_i * (\log(1 + B * 5^{EROD}))^{0.4}$

*
$$\left(\log\left(\frac{P\,200\,*WetDAYS}{p_s}\right)^{0.4}\right)$$

• where

- C = model parameter = 2.5 (calibration)
- B = model parameter = 100 (calibration)
- Di = corner deflection due to curling only, in
- P200 = subgrade percentage passing of #200 sieve EROD - erodobility index (base)

p subgrade overburden pressure, lbf/ft³

$$p_s = \gamma_{eff} h_e$$

NCHRP 1-37A

Design Guide

Faulting Prediction (cont.)

Calculate faulting increment at the end of each month:

 $DFAULT = A * (FAULTMAX - FAULT)^2 * \sum_{ij} n_{ij} * DE_{ij}$

- DFAULT = faulting increment
- FAULTMAX = maximum faulting (zero LTE)
- FAULT = current cumulative faulting at month beginning
- DEij = differential energy (axle type i and load level j)
- nij = number of load repetitions
- A = 0.000014 (calibration)

Faulting Prediction (cont.)

• Calculate faulting level at the end of each month:

$$FAULT_{l+1} = FAULT_l + DFAULT$$

where

 $FAULT_{I+1} = faulting level at the end of the month$

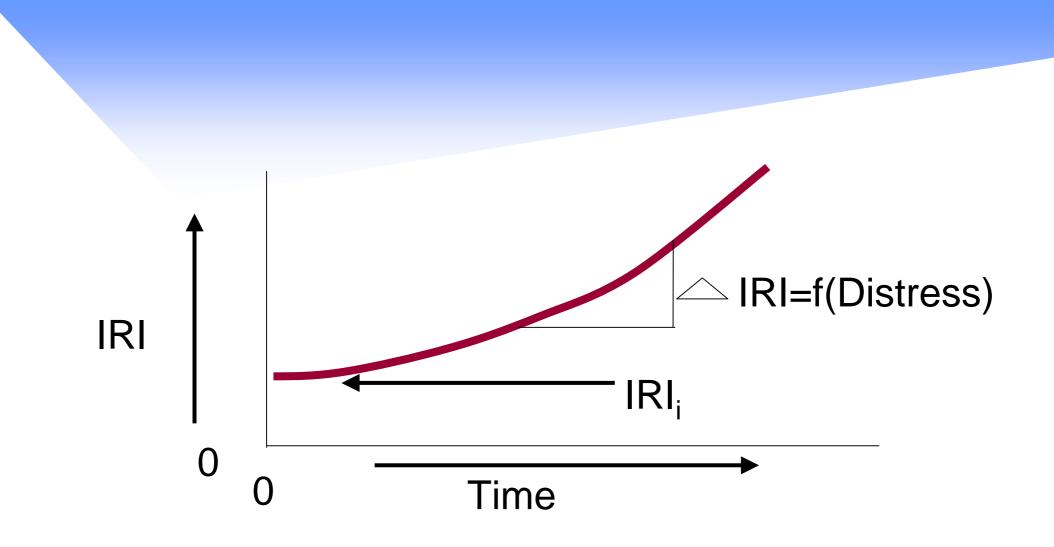
- $FAULT_{I}$ = faulting level at the beginning of the month
- DFAULT = faulting increment



Smoothness Prediction

- Smoothness depends on:
 - ✓ Initial smoothness specifications
 - Change in distress faulting, cracking, spalling
 - ✓ Effect of maintenance activities patching
 - ✓ Effect of site conditions subgrade and climate
- Model predicts smoothness loss incrementally (month by month)







JPCP Smoothness Model

|RI| = |RI| + 0.0137CRK + 0.007SPALL +

0.005PATCH + 0.0015TFAULT + 0.04SF

where

- IRI_{I} = Initial IRI, m/km
- CRK = percent slabs with cracking (transverse and corner breaks [all severities])
- SPALL = percentage of joints with spalling (medium and high severities)
- PATCH = area with flexible or rigid patching (all severities), m²
- **Design Guide** TFAULT = total joint faulting, mm/km

JPCP Smoothness Model, cont'd

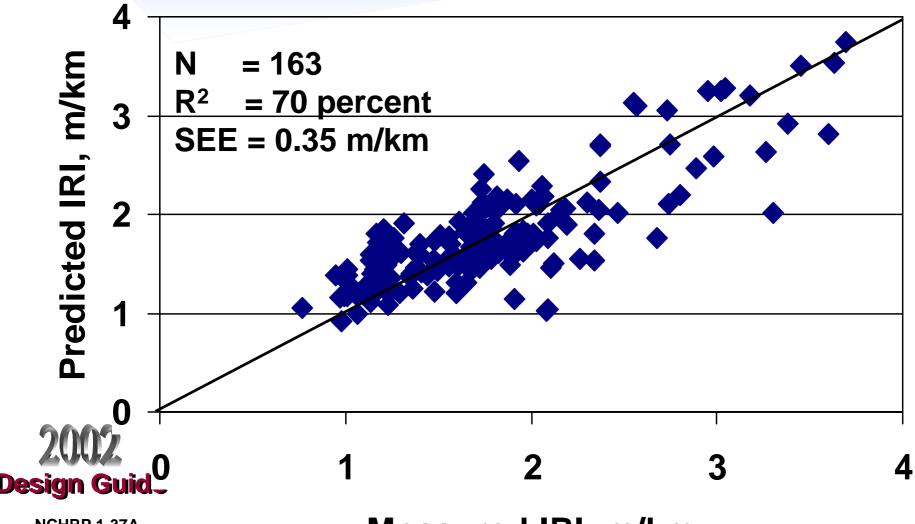
SF = site factor = AGE* $(1 + FI^{1.5})(1 + P_{0.075})/10^{6}$

where

 $\begin{array}{ll} AGE = pavement age, yr \\ FI &= Freezing index, ^{o}C days \\ P_{0.075} = percent subgrade material passing \\ & 0.075\text{-mm sieve} \end{array}$

2002 Design Guide

Measured vs. Predicted IRI for JPCP



NCHRP 1-37A

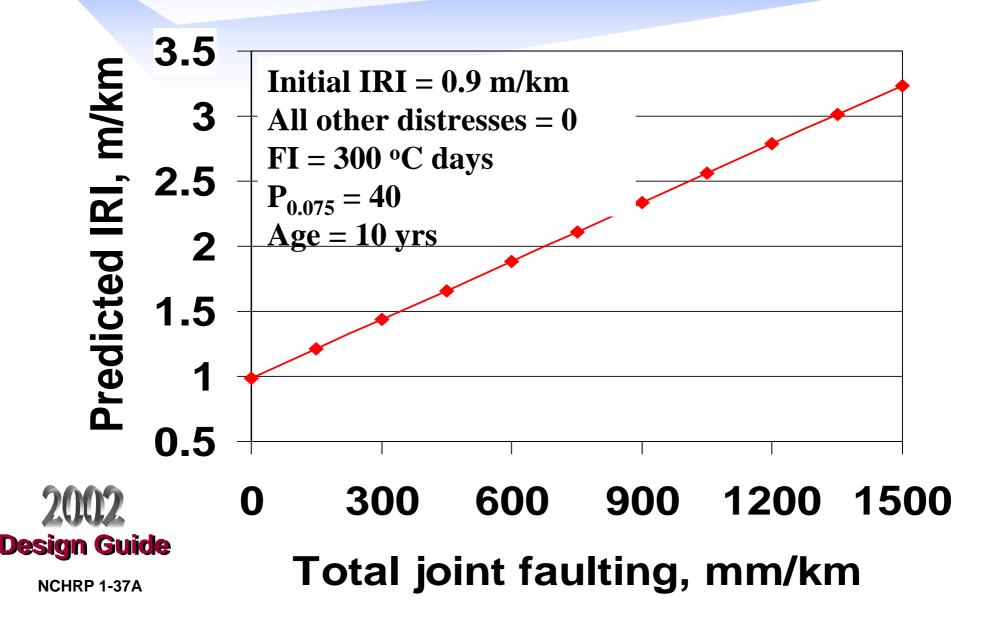
Measured IRI, m/km

JPCP Smoothness Model Sensitivity Analysis

- Initial IRI
- Cracking (transverse & corner)
- Transverse joint spalling
- Patching
- Joint faulting
- Freezing index
- Percent passing 0.075-mm sieve



Effect of Joint Faulting on JPCP IRI



Calibration of JPCP Models



Overview of Model Calibration

- Calibrated performance models: Relate calculated damage to actual field performance
- Calibration procedure:
 - ✓ Assemble performance database (80/20)
 - ✓ Obtain missing data
 - Determine model coefficients
 - Run sensitivity analyses
 - ✓ Modify the model as needed



Repeat calibration as needed until all problems solved

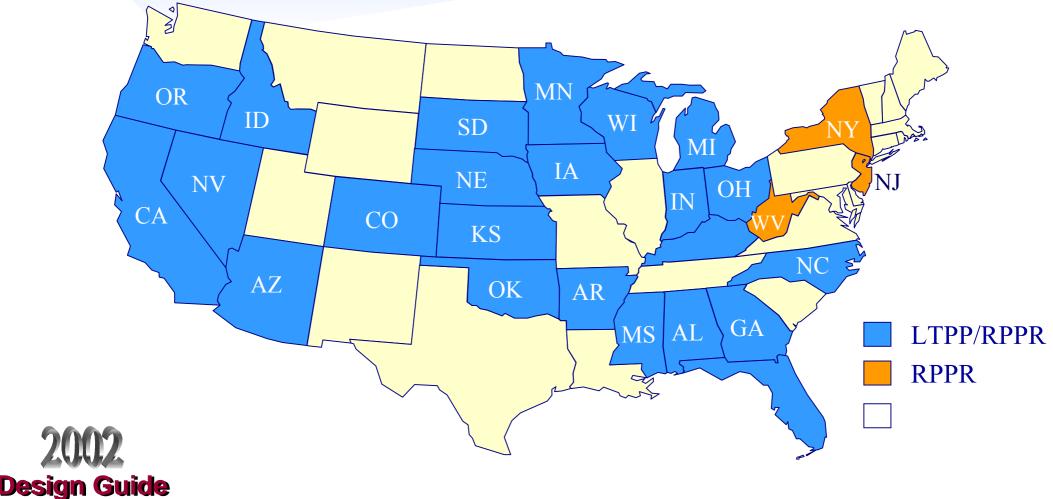
Calibration of JPCP Models

- Cracking
 - ✓ Total number of cells = 128
 - ✓ 70 percent (89 of the 128 cells) were non-zero
 - ✓ The 89 cells consisted of 295 pavement sections
- Faulting
 - ✓ Total number of cells = 144
 - ✓ 65 percent (93 of the 144 cells) were non-zero
 - ✓ The 93 cells consisted of 295 pavement sections



• 80% data calibration, 20% data validation

JPCP Calibration States (LTPP Data from 23 States)



				Dry						
	Climate:				Nonfreeze	9	Freeze			
	Base Type:			G	S	Р	G	S	Р	
	Slab Thick. Dowels Edge Support Faulting									
		None	No	0	20	2	5	3	0	
			Yes	0	2	0	1	1	0	
			No	1	1	1	6	2	2	
		Small	Yes	1	1	1	5	2	2	
			No	0	0	0	0	0	0	
	Low	Large	Yes	0	0	0	0	0	0	
	02 High Guide		No	0	3	0	3	2	0	
		None	Yes	4	2	0	0	1	0	
			No	0	1	0	1	0	0	
		Small	Yes	0	1	0	0	2	1	
20			No	1	1	1	2	2	2	
V A Design		Large	Yes	1	1	1	2	2	2	



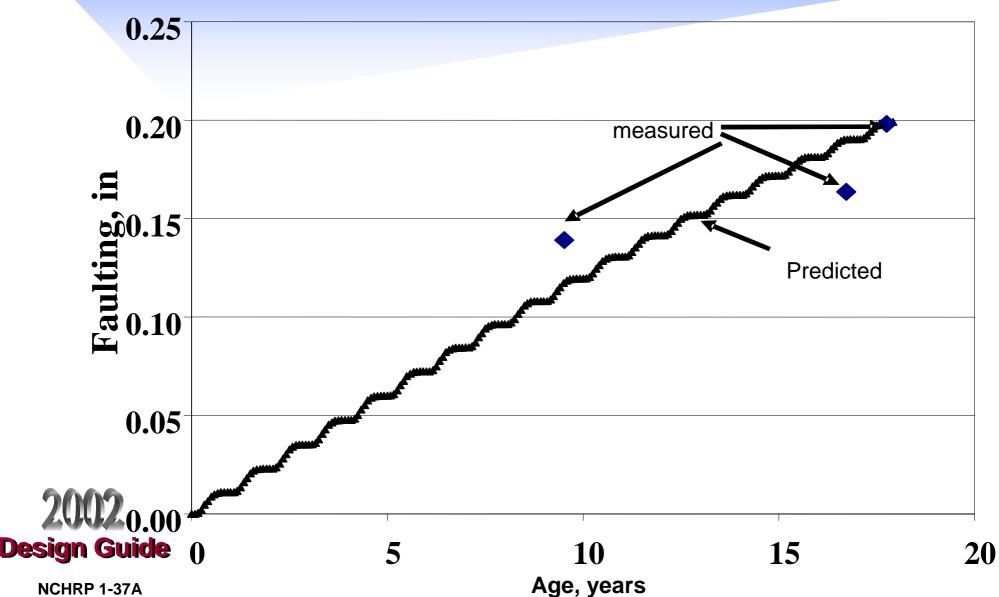
				Wet						
	Climate:				Nonfreeze	;	Freeze			
		Base Type:			S	Р	G	S	Р	
	Slab Thick.	Dowels	Edge Support	Faulting						
			No	3	19	1	6	9	5	
		None	Yes	1	4	1	7	3	4	
			No	4	7	0	11	7	3	
		Small	Yes	0	2	0	8	1	3	
			No	1	1	1	1	0	0	
	Low	Large	Yes	0	1	0	0	0	0	
	02	None	No	2	10	0	7	0	0	
			Yes	0	4	0	14	2	1	
			No	0	7	0	1	3	0	
		Small	Yes	2	1	0	3	0	1	
20			No	1	1	1	4	4	3	
Desig r	High	Large	Yes	1	2	1	3	0	4	

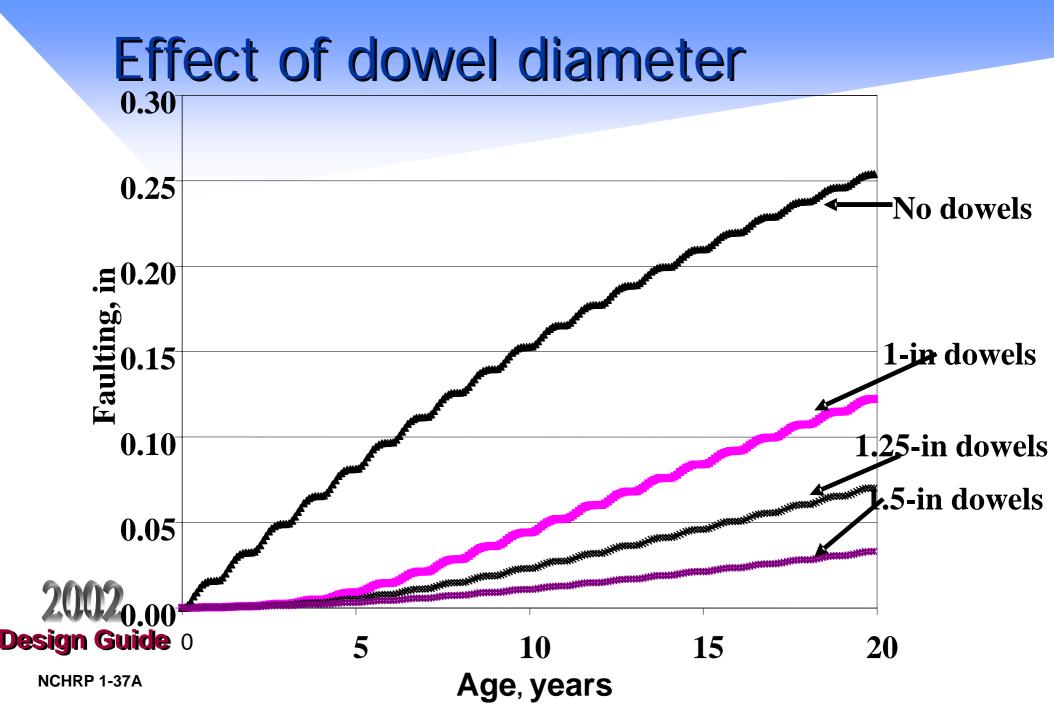
Sensitivity Study

- Base design LTPP section 323010 (Nevada)
- PCC thickness = 9.7 in
- CTB base (class C erodobility) = 5.6 in
- Joint spacing = 15.5 ft
- Non-doweled
- P200= 19.45
- Initial AADTT/lane=600
- AADTT/lane=1250 after 15 years
- percentage of trucks = 45 percent

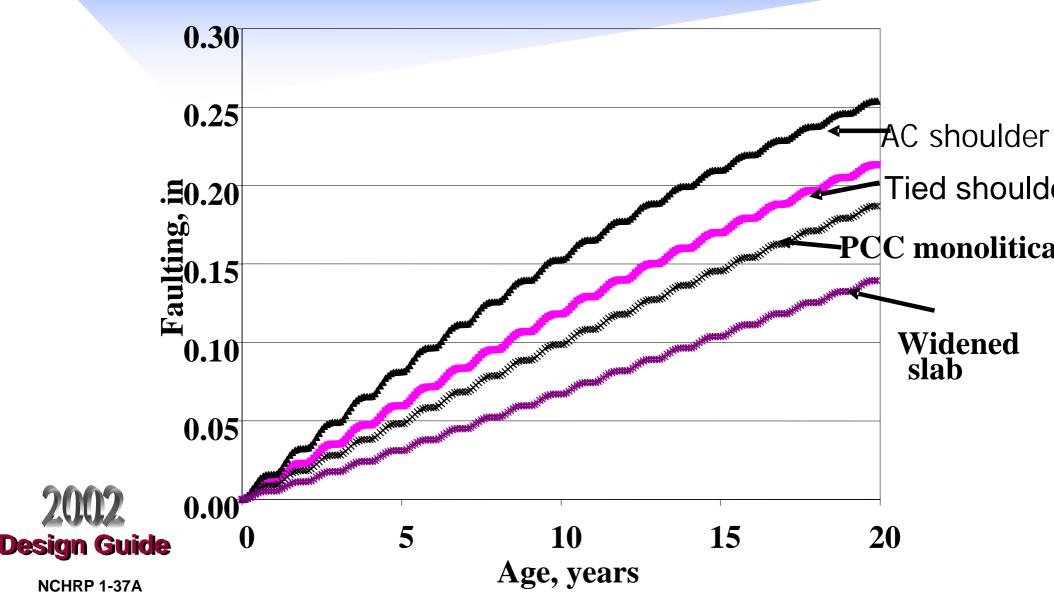


LTPP Section 323010

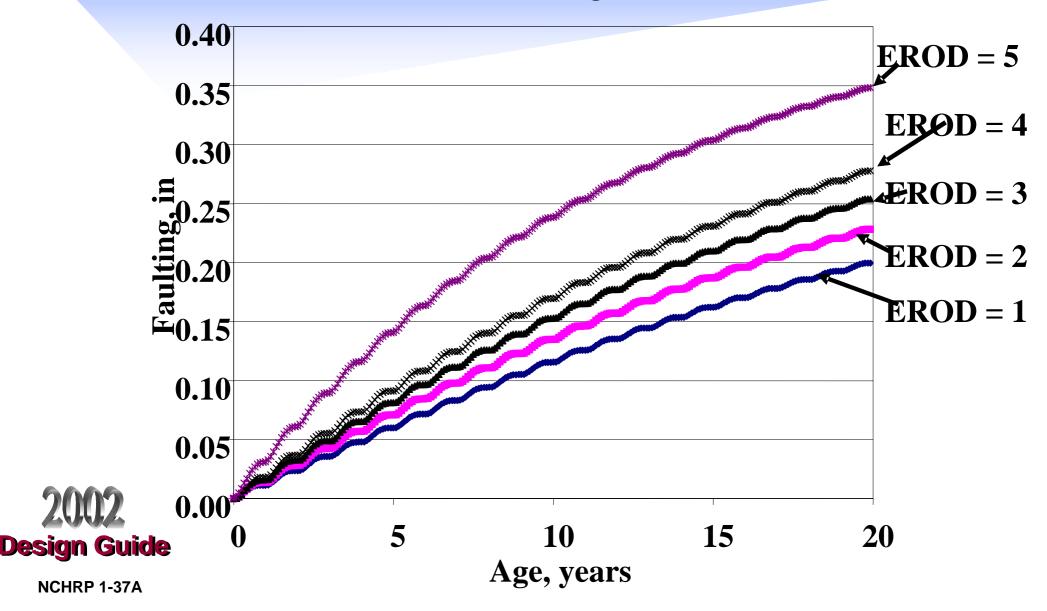




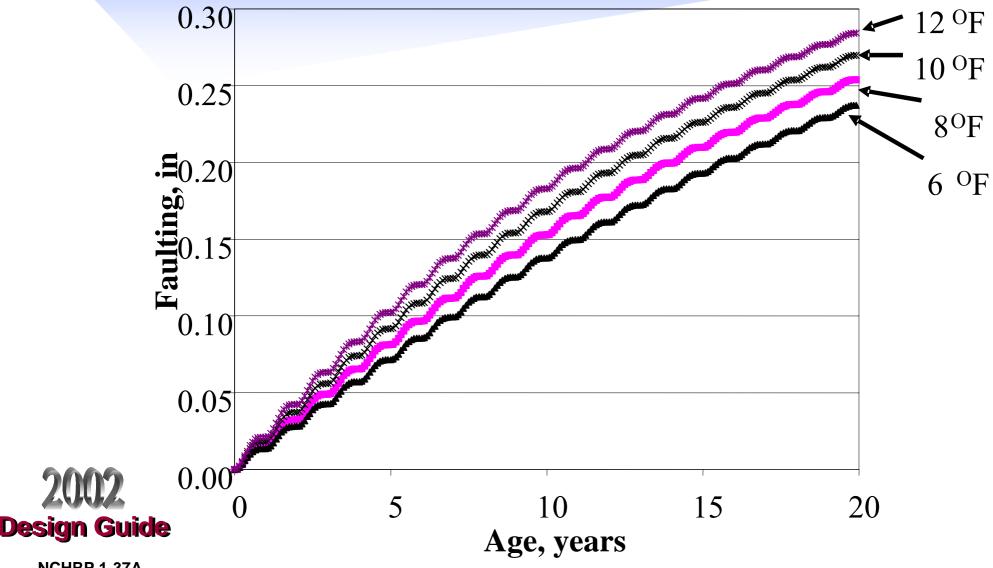
Effect of edge support(non-dowel)



Effect of erodobility (non-dowel)



Effect of Built-in Curling



Design Input Parameters WA Example — LTPP section 533013)

- Trial slab thickness 8 inch
- Shoulder type PCC shoulder
- PCC material properties $E_{28} = 3,463,000$ psi
- PCC thermal coefficient 6.9x10⁻⁶
- PCC ultimate shrinkage 1326 microstrains
- Base elastic modulus AGG, 30,600 psi

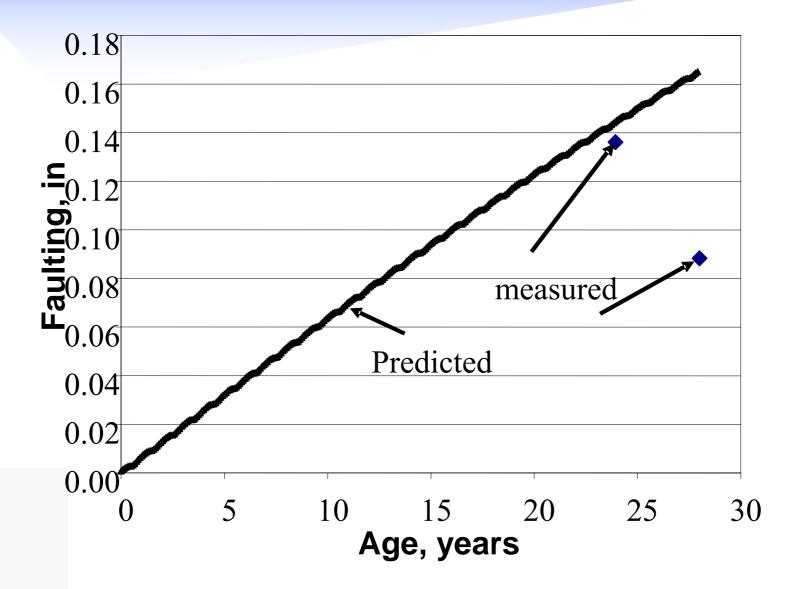


Design Input Parameters WA Example

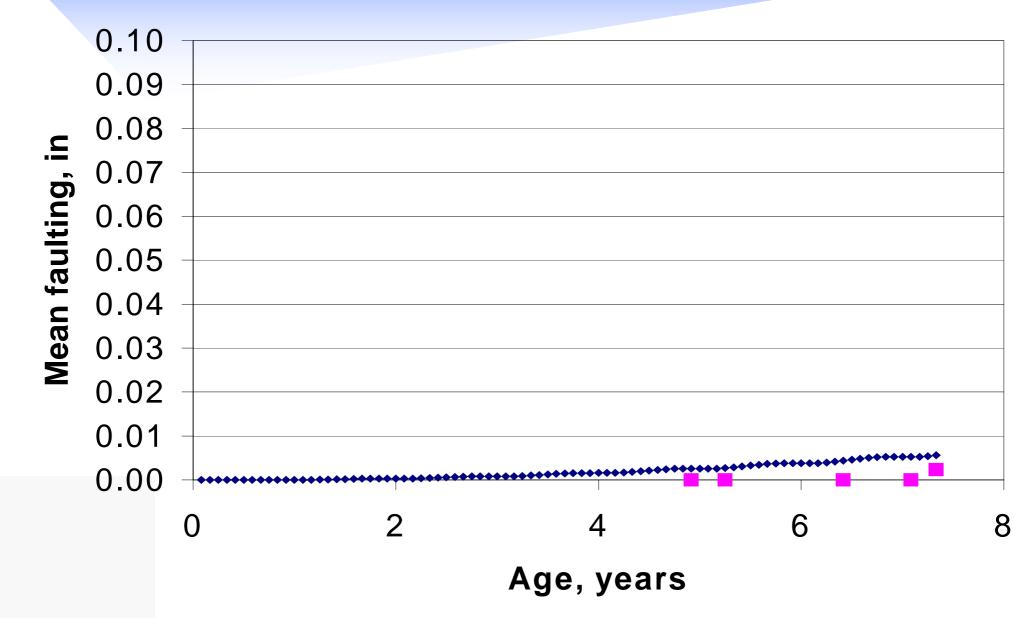
- Time of construction February
- PCC temperature at set time Tset=100oF
- Average number of wet days 134
- Subgrade % Passing #200 37.2
- No dowels



Faulting Prediction — WA Example



Faulting Prediction — Kansas Example



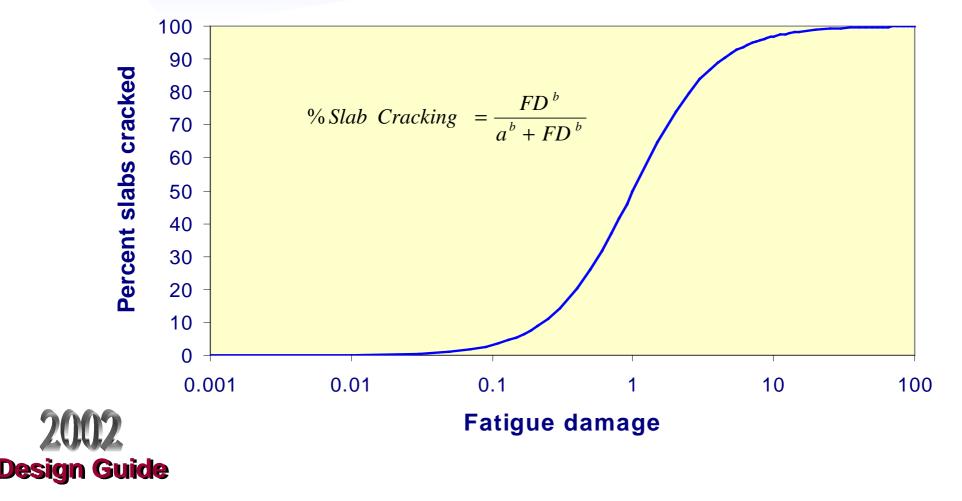
			Climate:	Wet					
			Ciinale.	Nonfreeze		Freeze			
		В	ase Type:	Gran.	Stab.	Gran.	Stab.		
Slab Length	Slab Thickness	Doweled	Edge Support	Cracking					
Low	Low	No	No	1	1	0	1		
			Yes	1	1	2	0		
		Yes	No	3	2	3	6		
			Yes	0	1	1	2		
LOW	High	No	No	1	9	1	0		
			Yes	0	3	0	0		
		Yes	No	1	3	3	7		
			Yes	1	2	2	1		
	Low	No	No	2	19	6	13		
			Yes	0	4	5	7		
		Yes	No	2	7	9	4		
Lliab			Yes	0	2	7	2		
High	High	No	No	1	1	6	0		
			Yes	0	1	14	3		
		Yes	No	0	6	2	3		
			Yes	2	2	4	4		

Calibrated Cracking Model

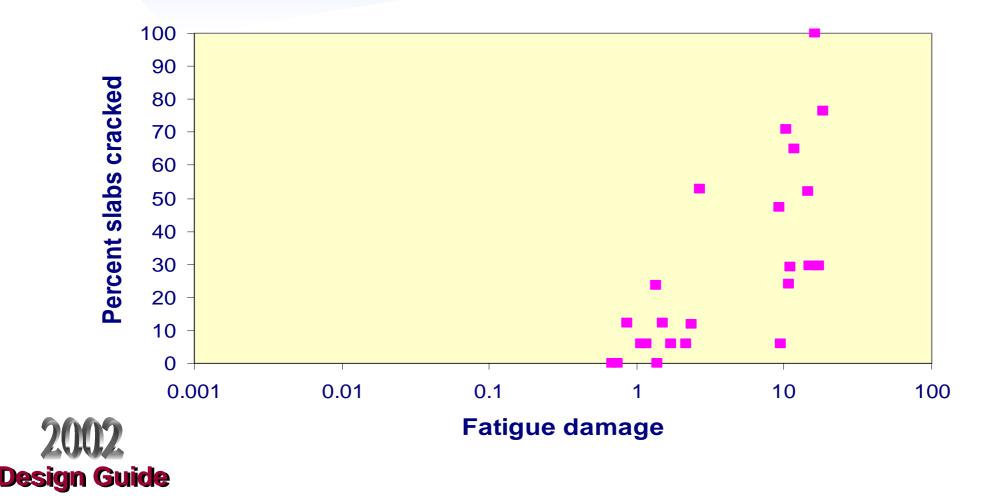
- Relates the calculated fatigue damage to expected slab cracking
- Obtained by correlating field performance to calculated damage
 - Characteristic sinusoidal curve
 - NL optimization conducted to determine best-fit model coefficients



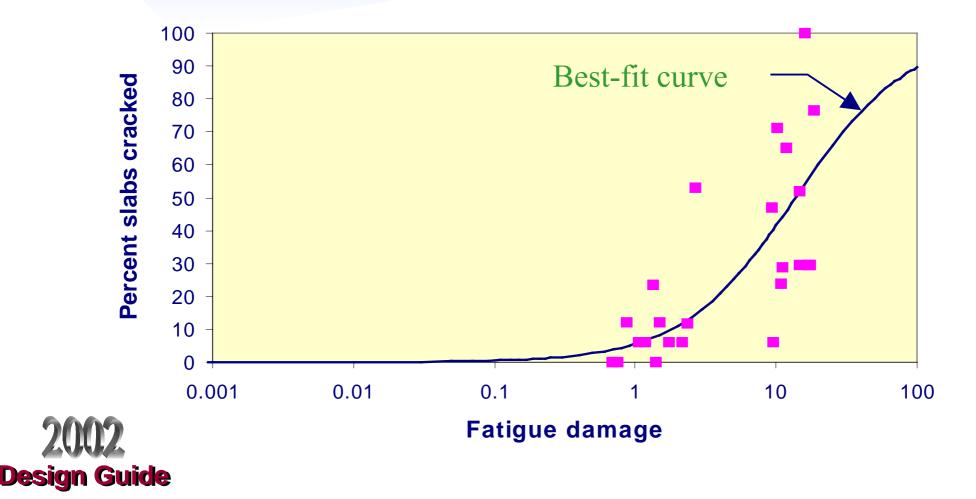
Characteristic Fatigue Curve



Damage vs. Observed Cracking



Calibration



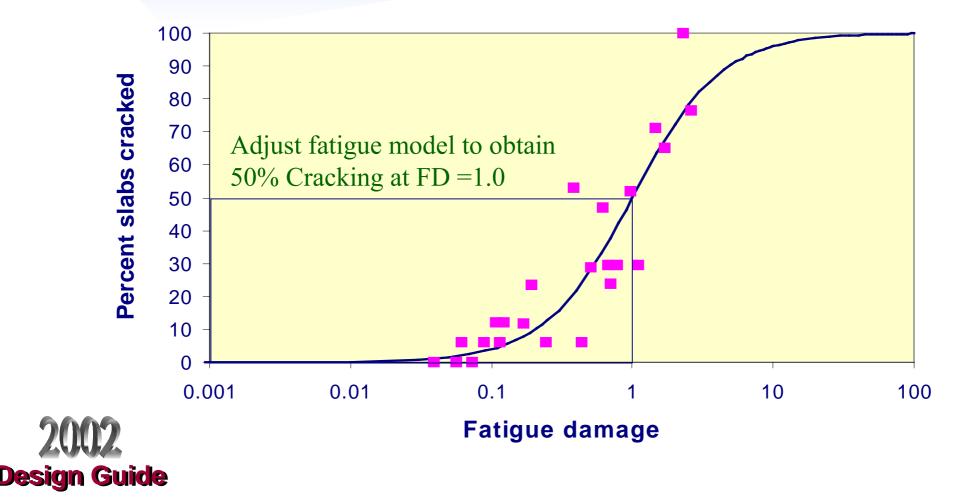
Develop Empirical Relationship to Predict Effective Built-In Curling

 $TG_{BuiltIn} = f(Design \& Site Factors)$

- Design factors include
 - Slab thickness
 - ✓ Base type
 - Cement type and content
- Site factors include
 - ✓ Temperature
 - ✓ Annual rainfall
 - ✓ Relative humidity



Final Step in Cracking Model Development



Validation of Performance Models

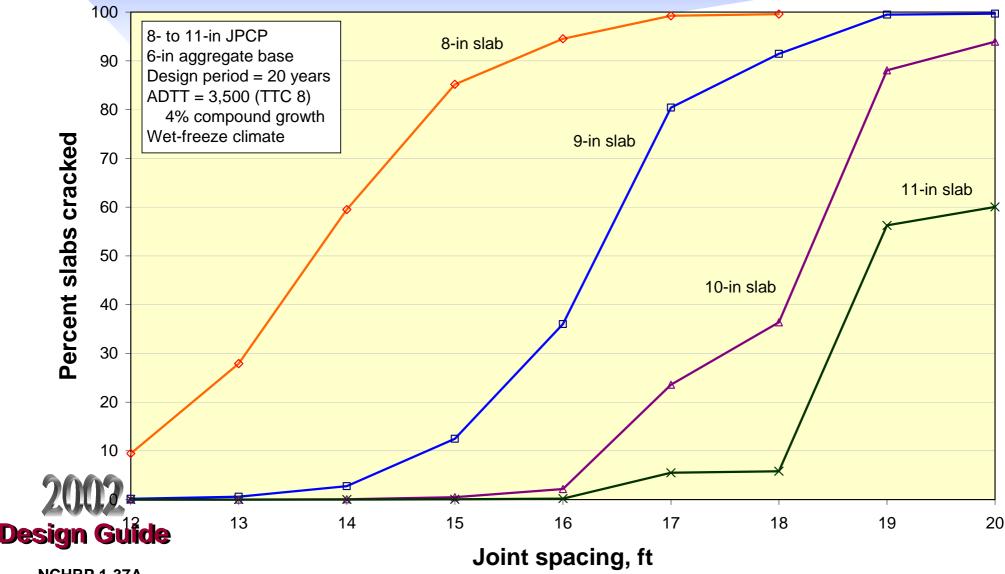
- Sensitivity study
- Case studies



JPCP Cracking Model Sensitivity

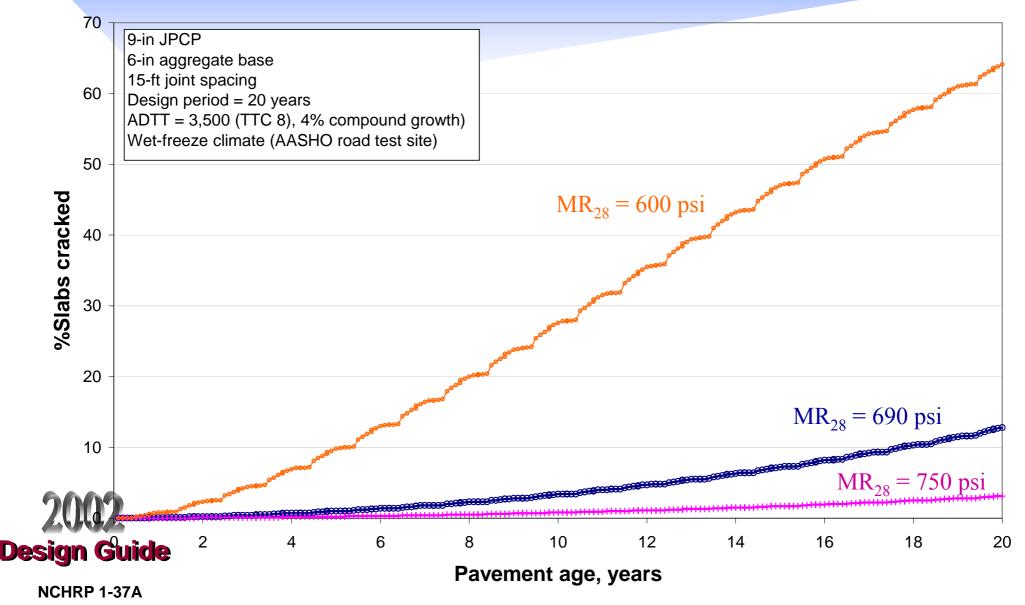


Effects of slab thickness and joint spacing

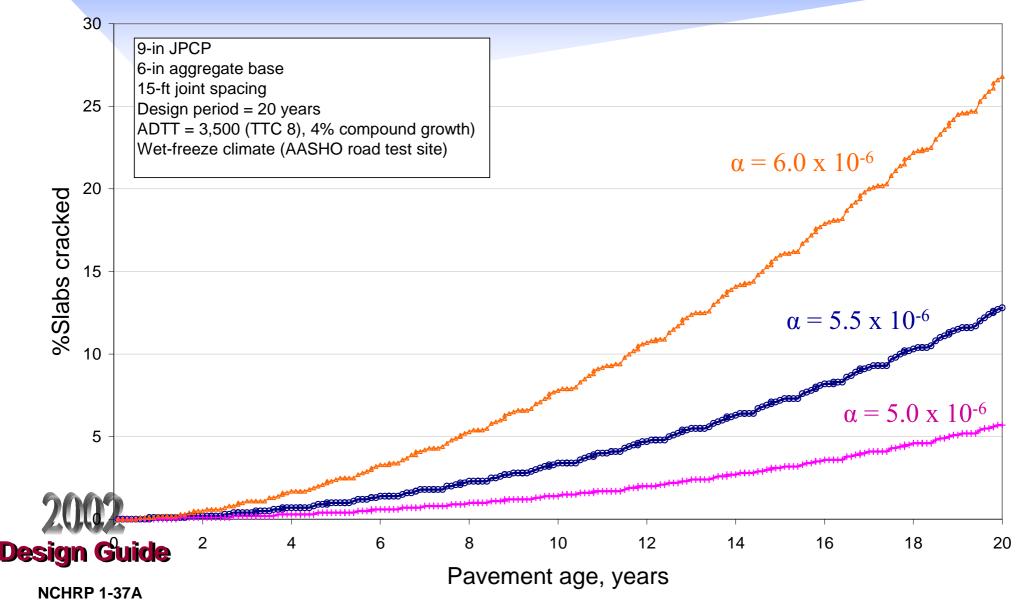


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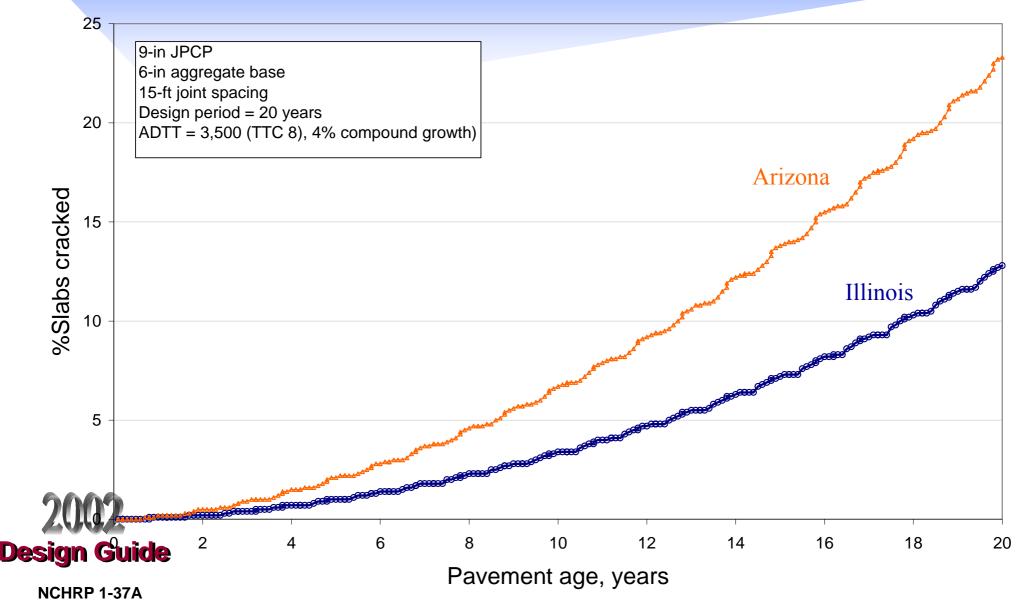
Effects of PCC strength



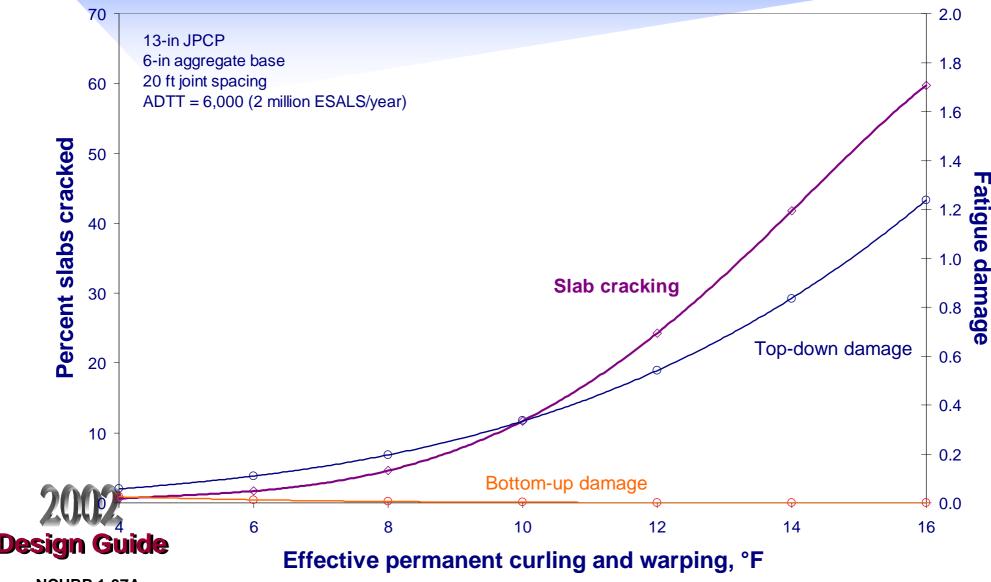
Effects of coefficient of thermal expansion



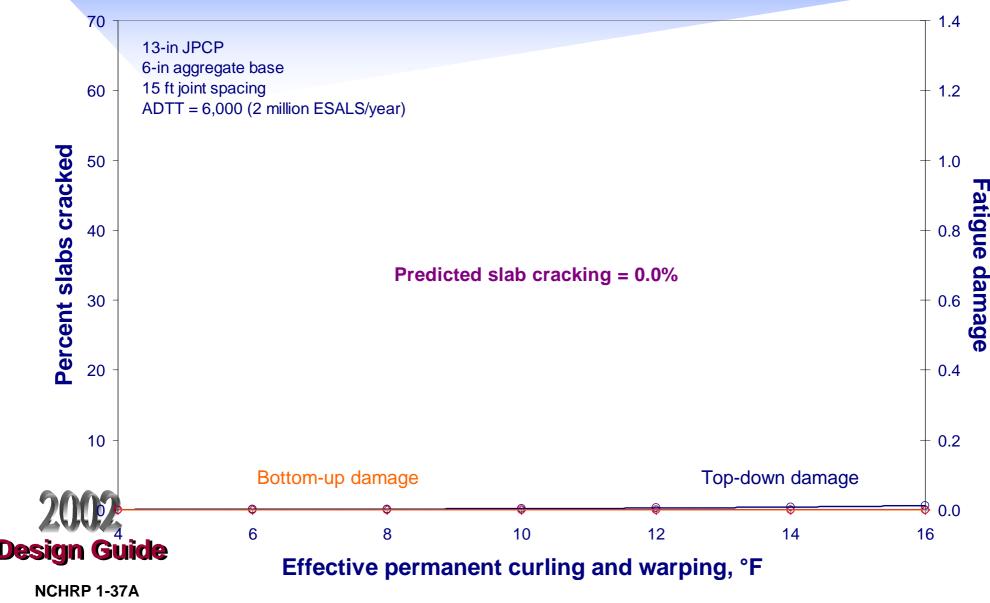
Effects of climate



Effects of excessive joint spacing



Effects of joint spacing – 15 ft joint spacing



CRCP Design Procedure



Prediction Models

- Development of mechanistic based models of key distresses
 - Punchout (structural edge failure)
- Development of empirical IRI model
 - \checkmark IRI = f distress, site conditions, patching

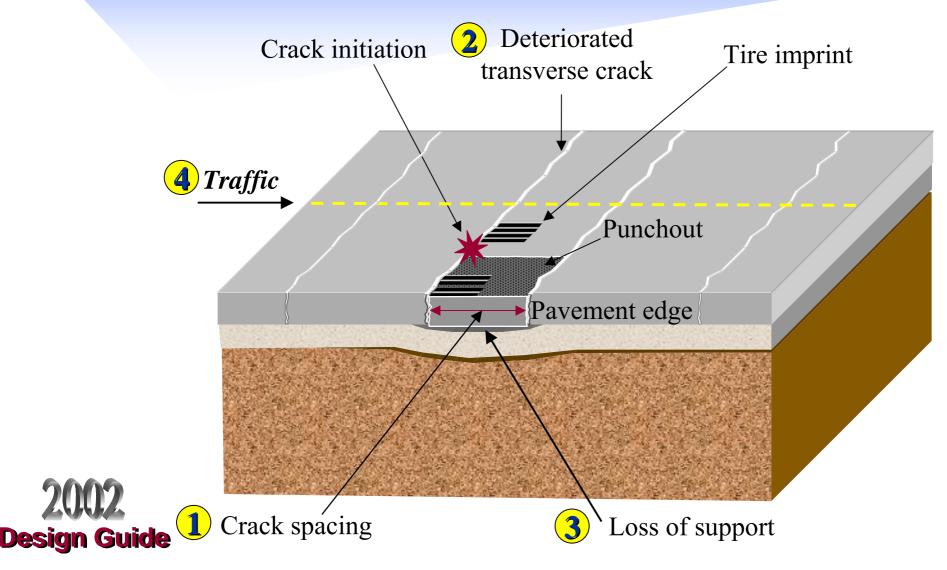


CRCP Punchout – Major Structural Distress





CRCP Punchout Mechanism



Summary

- **Design input levels** (from testing to estimating) provide flexibility. Level used will affect resulting design, reliability, and cost.
- FEM structural model has the capabilities to adequately structurally model the key design features, traffic loadings, climatic conditions, and subgrade support.



Summary

- Incremental damage approach makes design procedure extremely flexible and robust since material properties, traffic levels, seasonal climatic conditions, and joint load transfer can vary over life.
- Key distress types prediction provides a direct means to control them.
- Estimation of smoothness (IRI) over time based on initial smoothness and future development of distress and site factors directly considers the highway user.





• Local validation and calibration by lead states is highly recommended to ensure accuracy.

