

# **NCHRP Project 14-38: Guide for Timing of Asphalt-Surfaced Pavement Preservation**

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# Outline

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- Objective of research
- Costs and benefits
  - Modeling pavement preservation performance
- Preservation timing with uncertainties
- Major findings / conclusions

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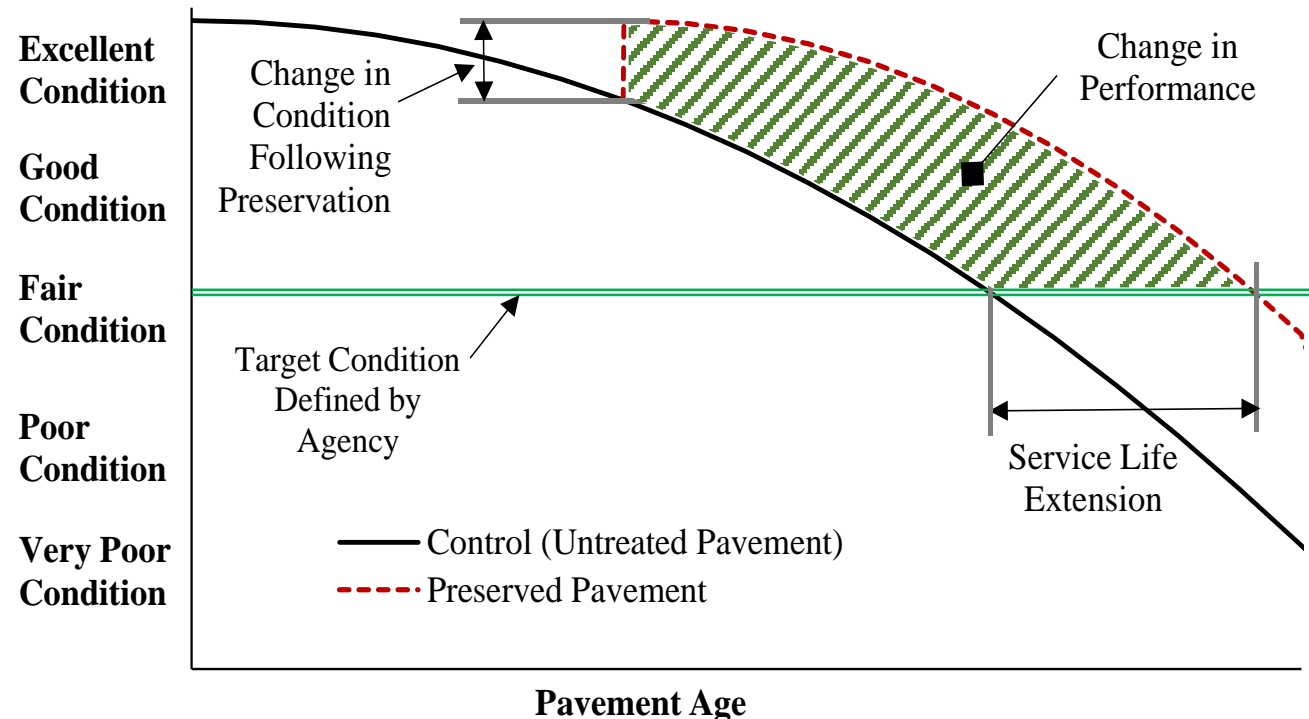
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# Pavement Preservation & Performance

- Preservation essential to maintaining and improving pavement functional condition at relatively low cost
- Generally applied when pavement is still in good condition



# Project Objectives

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**To develop guide for identifying timing for preservation of asphalt-surfaced pavements considering condition and non-condition-based factors**

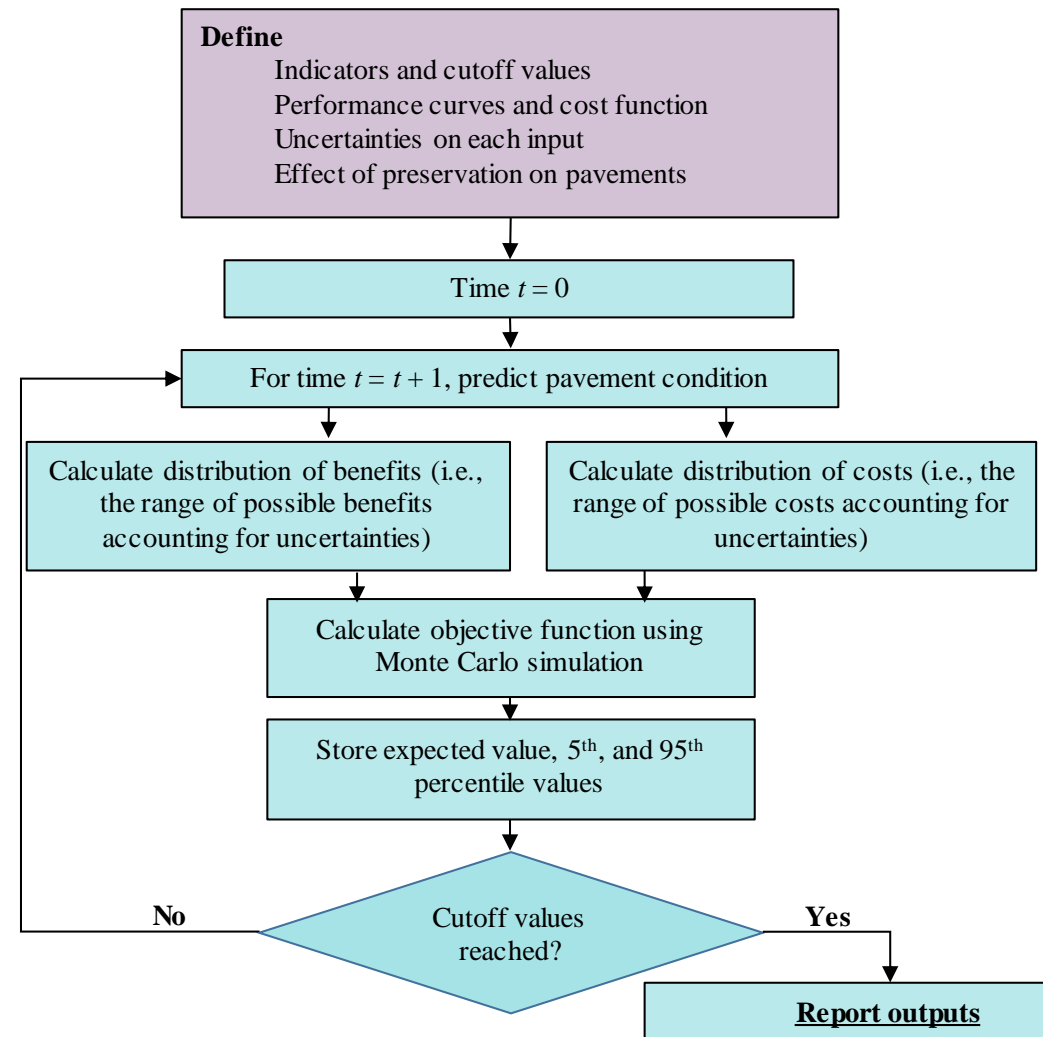
- Treatment
- Pavement structure
- Pavement condition at time of treatment
- Traffic
- Climate, etc.

**Preservation treatments are applied to preserve, slow deterioration, and maintain/improve pavement functional condition without substantially increasing structural capacity**



# Findings of Timing Approaches in Literature

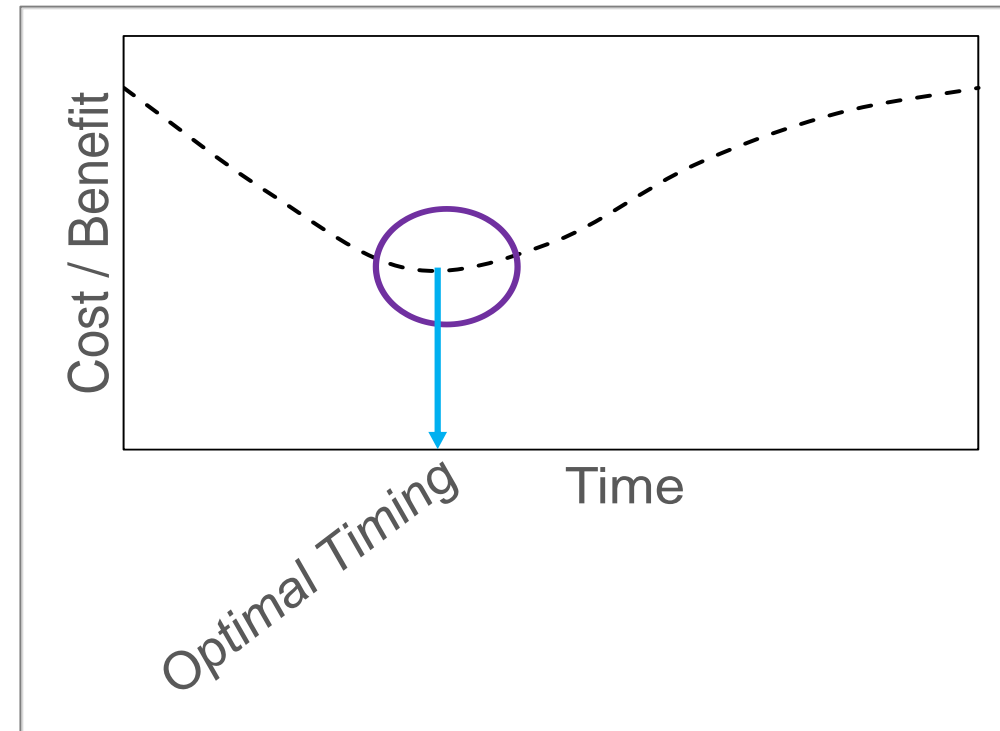
- Preservation timing problem lends itself to cost-benefit analysis
- Biggest shortfall – performance models are complex and uncertainties are not considered
- Preservation is proactive
  - Requires performance comparison to control



# Preservation Timing

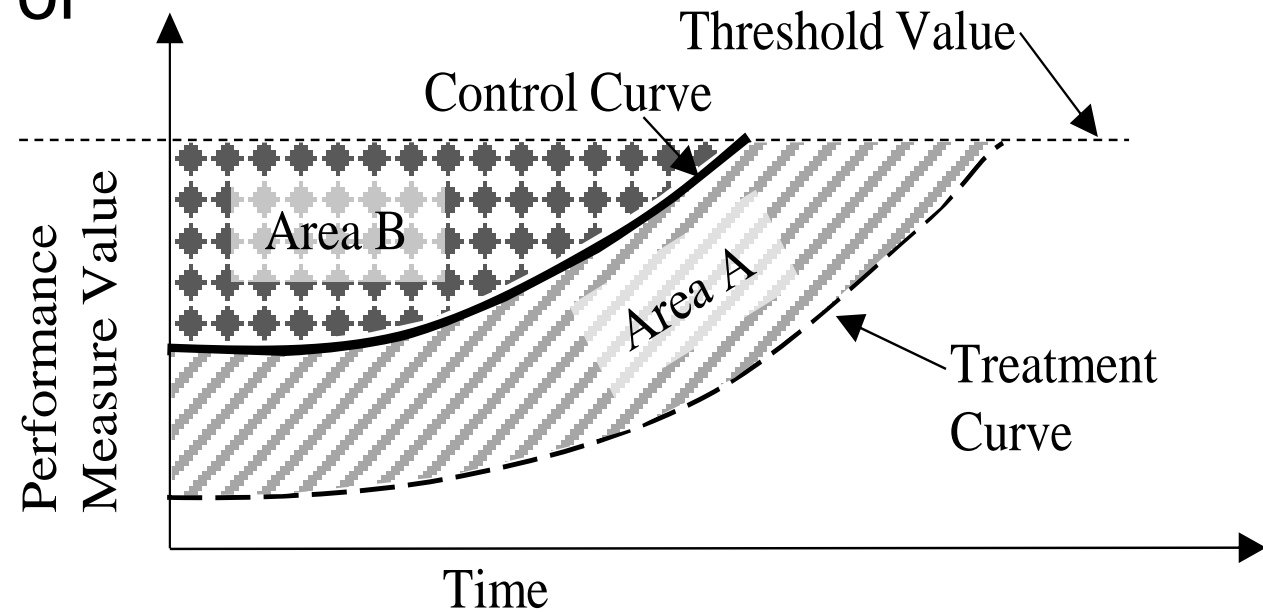
Preservation timing is question of when benefits are maximized and costs minimized

- Majority of approaches based on Cost-Benefit analysis – definitions of benefit and cost vary
- Timing is affected by condition and non-condition factors
  - Factors that affect pavement performance affect timing



# Answering to Preservation Timing

- Can we define a consistent set of costs?
- How do we define benefits?
  - Can we model the effects of preservation?
  - How do we consider multiple performance measures?
- How to consider uncertainties?
- How to compare costs and benefits?



$$WB = \sum_{k=1}^n w_k \left( \frac{\text{Area A}}{\text{Area A} + \text{Area B}} \right)_k$$



# Phase II: Obtain Performance & Cost Data

Agency	Number of Years in Condition Data	Thin AC OL	Chip Seal	Micro-surfacing	Slurry Seal
MD-SHA	15	✓		✓	
VDOT	8	✓	✓		
KSDOT	30+ (Entire Database)	✓	✓		✓
IDDOT	15		✓		✓
UTDOT	3		✓	✓	
TXDOT	10		✓		
OHDOT	30+ (Entire Database)	✓	✓	✓	
TNDOT	16	✓			
MEDOT	16	✓		✓	
LADOTD	15	✓	✓	✓	
LTPP	10-15 (Site Dependent)	✓	✓		✓

✓ indicates data received

Blank cell indicates that a given State did not provide data for a given treatment





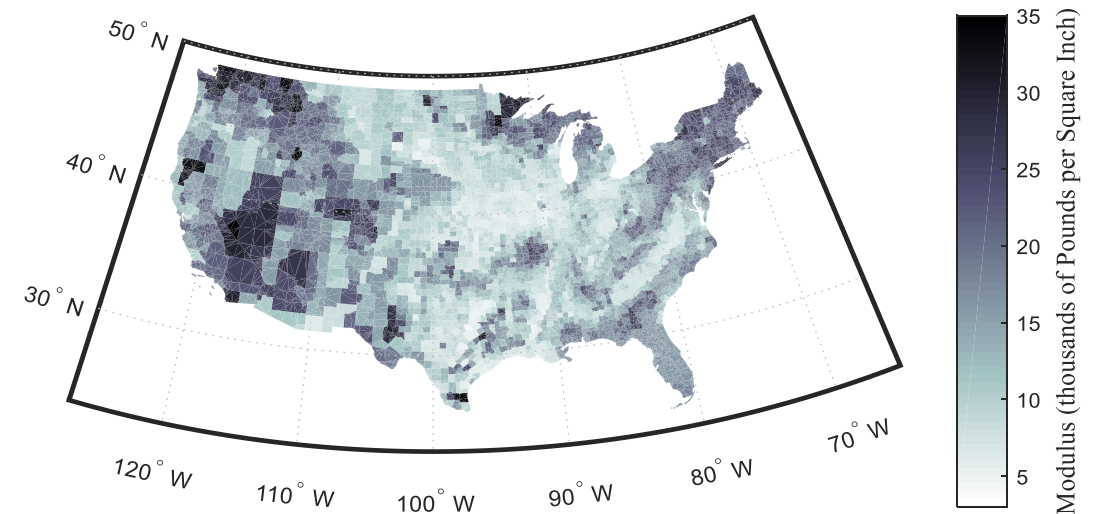
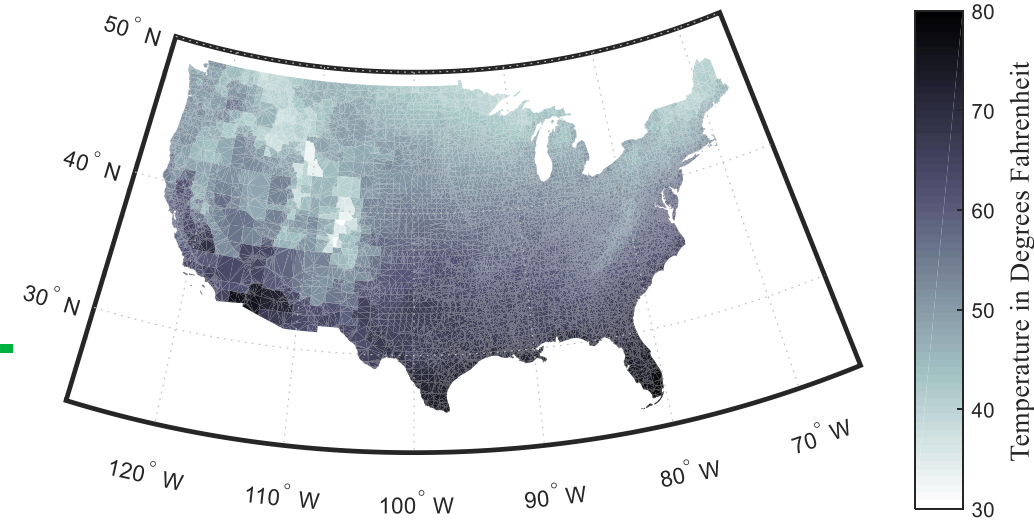
# Effects of Preservation

Modeling the effects of preservation on a consistent set of measures

- No single model functional form fit the data
- Climate data were significant in each case, subgrade modulus in some cases

Database for climate and subgrade resilient modulus values developed

- Climate data for every county in from LTPP MERRA Climate database
- Subgrade soil from NRCS maps and NCHRP Project 9-23A

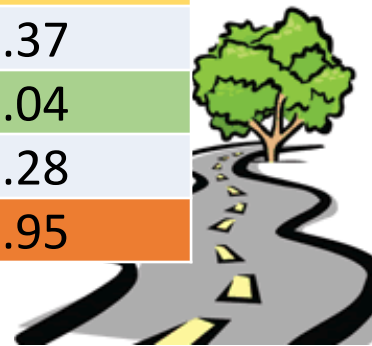


# Evaluate or Develop Required Models

## Identify untreated segments to treat as control

- Used DOT treatment selection criteria to identify pavements that were candidate for preservation
- Filtered out those with high probability of maintenance or rehabilitation performed
- Trained machine learning algorithm to identify unrecorded maintenance

Segment Number	IRI Year 1	IRI Year 2	Rut Year 1	Rut Year 2	Equivalent Cracking Year 1	Equivalent Cracking Year 2	Probability of Work
1	125	115	0.1	0.05	0.1	0.1	0.44
2	200	170	0.15	0.16	1.5	1.5	0.37
3	75	82	0.05	0.08	1	0.8	0.04
4	150	155	0.1	0.05	1.2	1	0.28
5	164	130	0.15	0.1	0.8	0	0.95



# Evaluate or Develop Required Models

## State and LTPP data used to develop models

- Fits evaluated using simulation
- Few cases that model could not be developed from data
- Inconsistent cracking definitions across States
- Only LTPP data for slurry seals

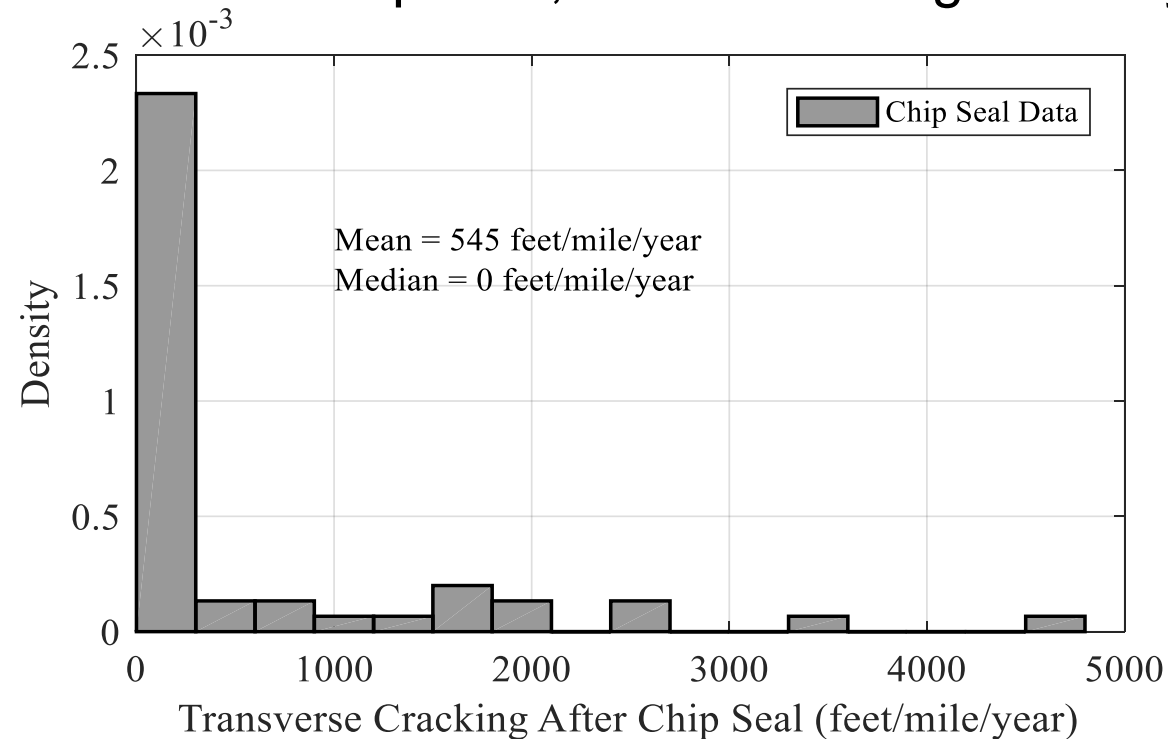
	IRI	Rutting	Transverse Cracking	Fatigue Cracking	NWP Long. Cracking
Thin Overlay	✓	✓	✓	✓	✓
Chip Seal	✓	✓	✓		✓
Microsurfacing		✓	✓		✓
Slurry Seal	✓	✓	✓	✓	✓



# Evaluate or Develop Required Models

## Immediate change in condition

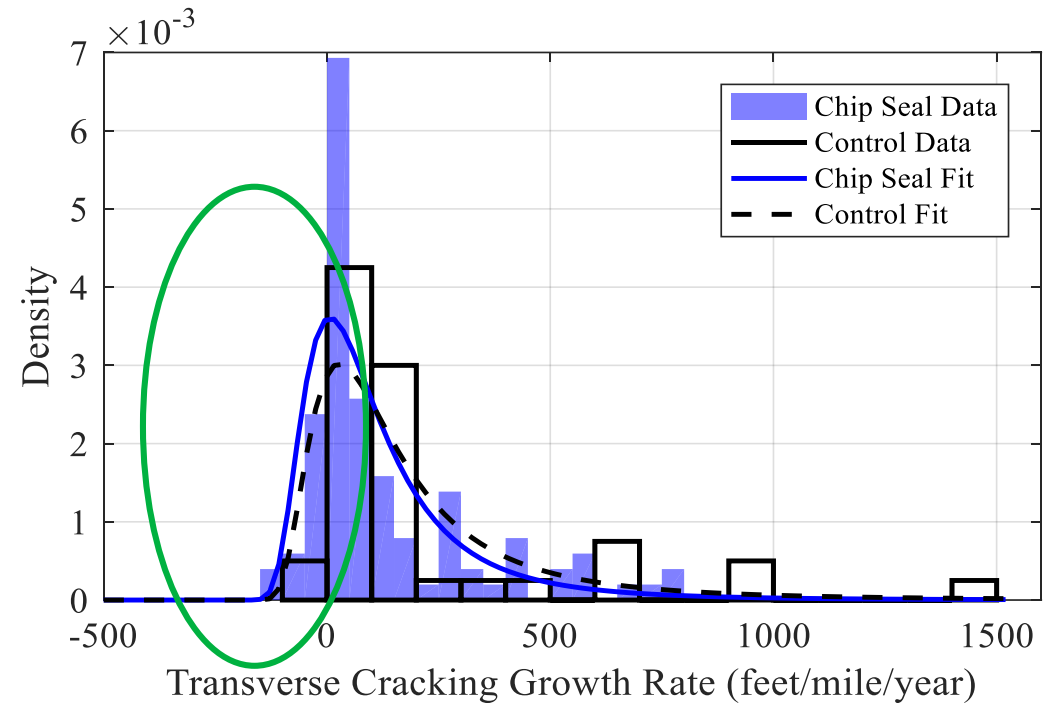
- Generally consistent across DOTs and LTPP
- Transverse cracking not zero after chip seal, microsurfacing or slurry seal



# Develop Required Models

## Change in performance

- Results varied across DOTs
- Quality of fit ranged from good to poor
- Regression identified statistically significant factors

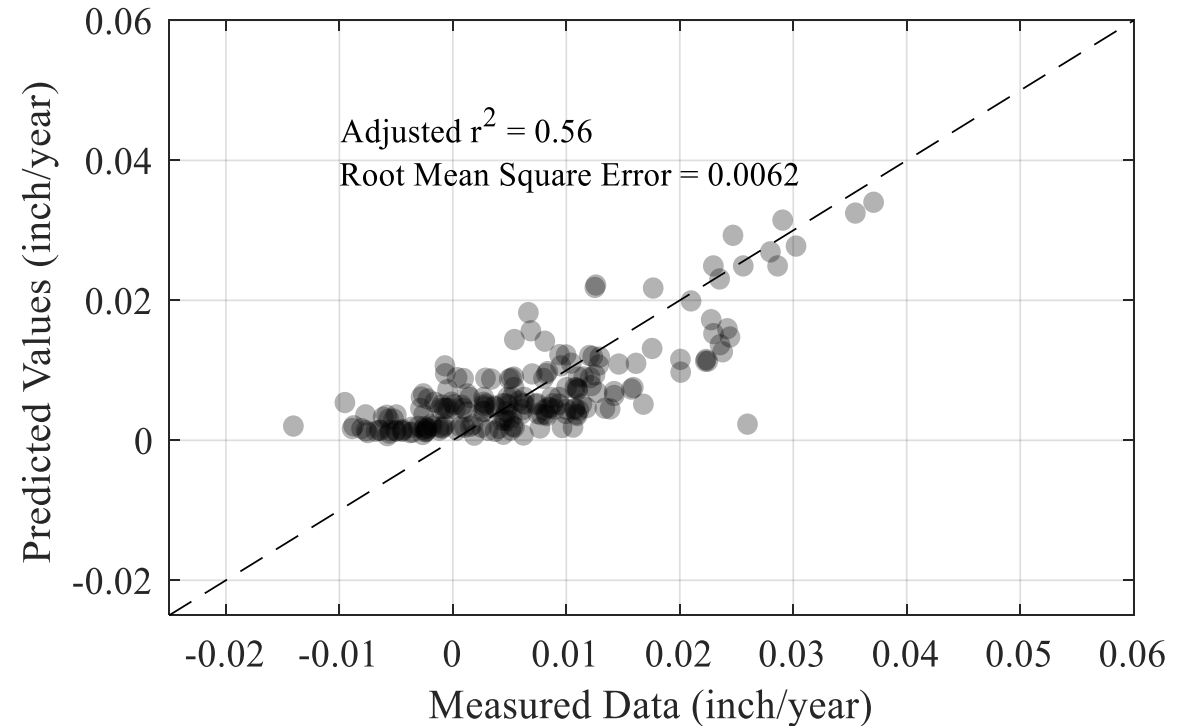
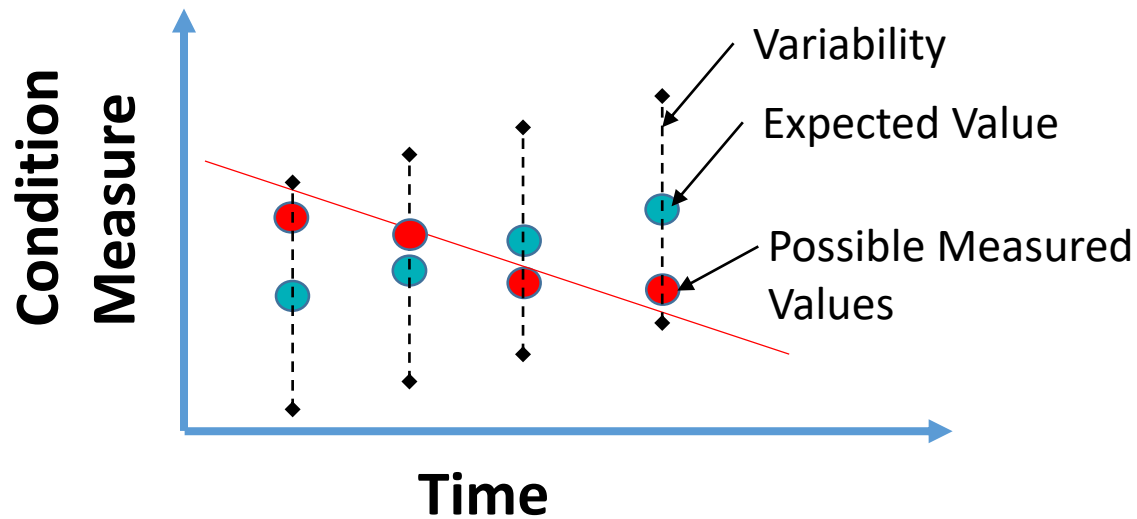


What to do with negative growth rates?



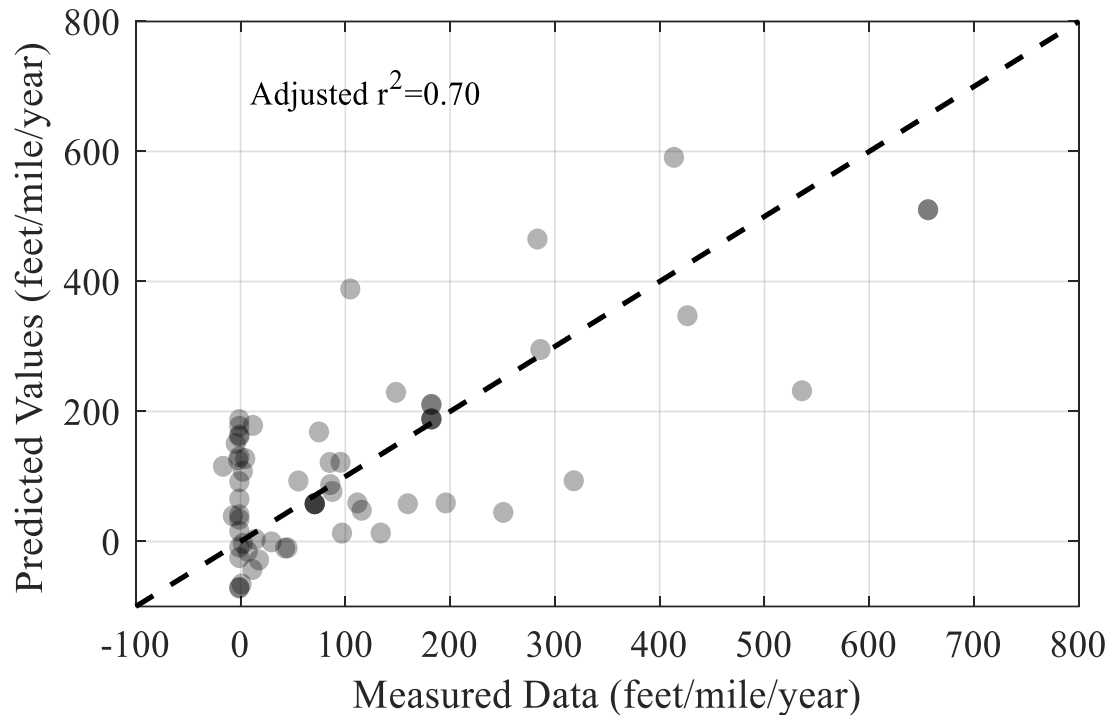
# Negative Growth Rates

- Engineering knowledge versus statistical phenomena
  - Deleting negative values will significantly bias models



# Evaluate or Develop Required Models

## Example fit – transverse cracking growth following chip seal



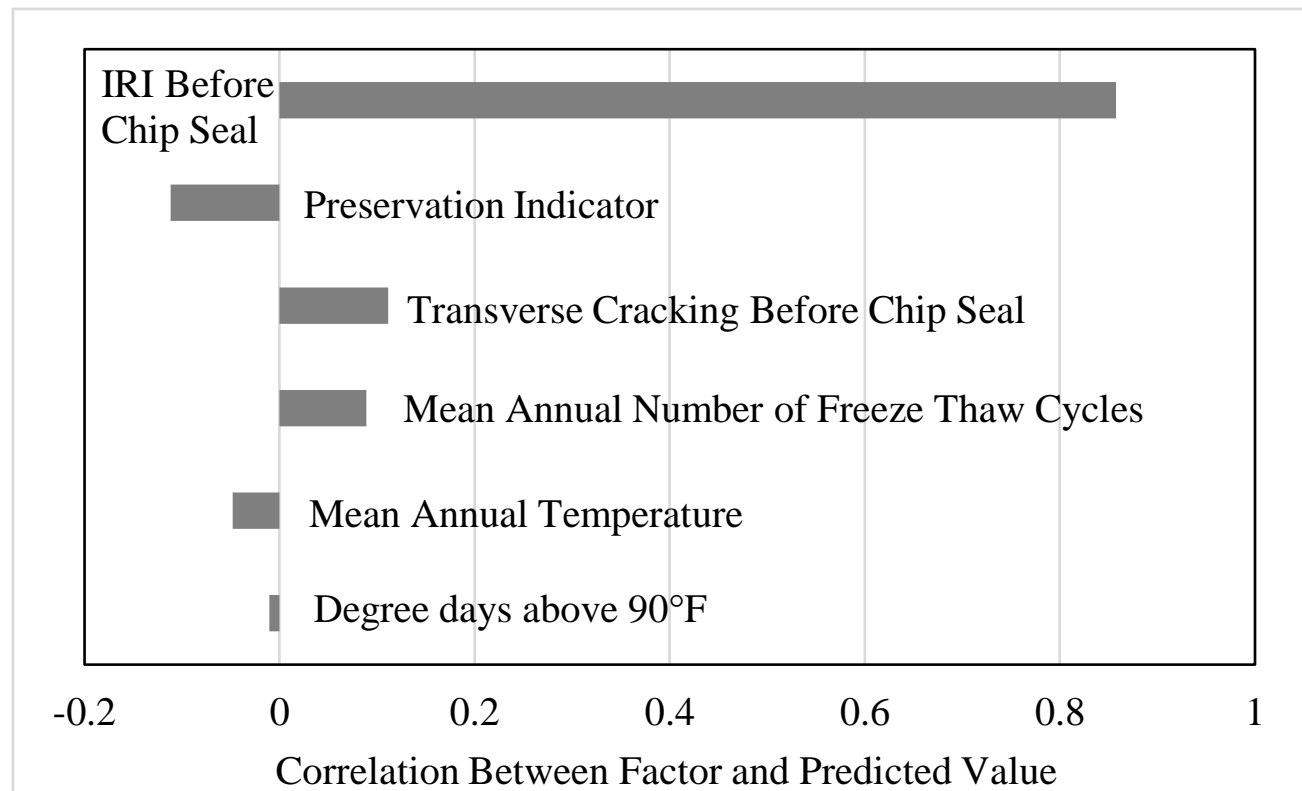
Factor	Coefficient
$b_0$	-234
$TRCK_{Pre}^2$	$3.74 \cdot 10^{-5}$
$IRI_{Pre}$	-11.8
$IRI_{Pre}^2$	0.106
MAAT	10.04
FTC	2.70
HiTemp	-7.57
$HiTemp^2$	0.070
$TRCK_{Pre} \cdot Pres_{Ind}$	-0.118



# Evaluate or Develop Required Models

## Transverse cracking growth following chip seal

- Simulation and sensitivity analysis

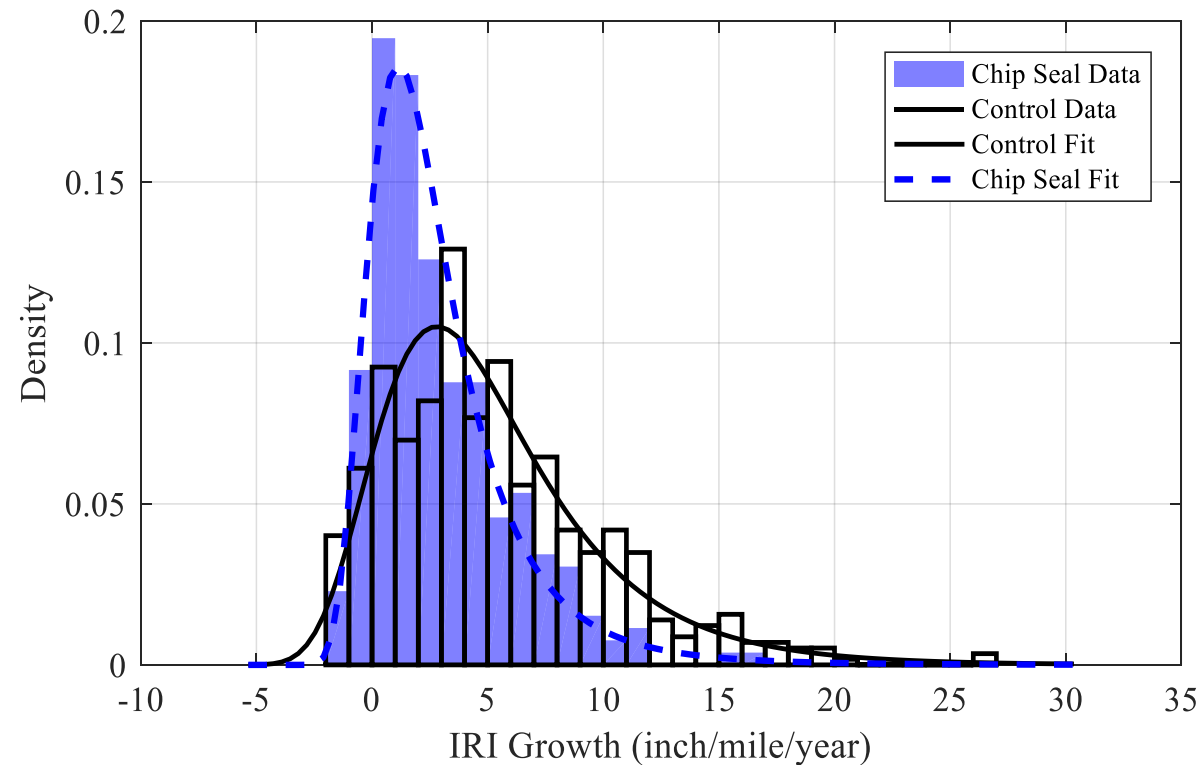




# Evaluate or Develop Required Models

## Example poor fit with informative results

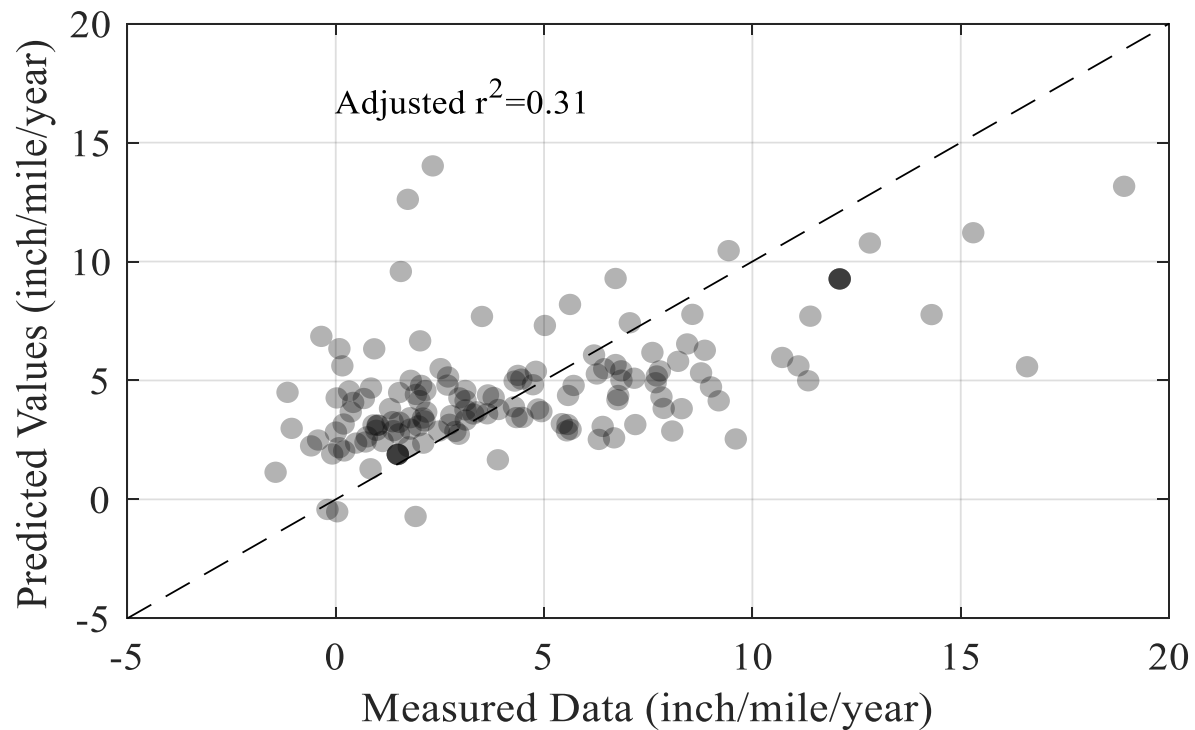
- IRI growth following chip seal



# Evaluate or Develop Required Models

## Example poor fit with informative results

- IRI growth following chip seal



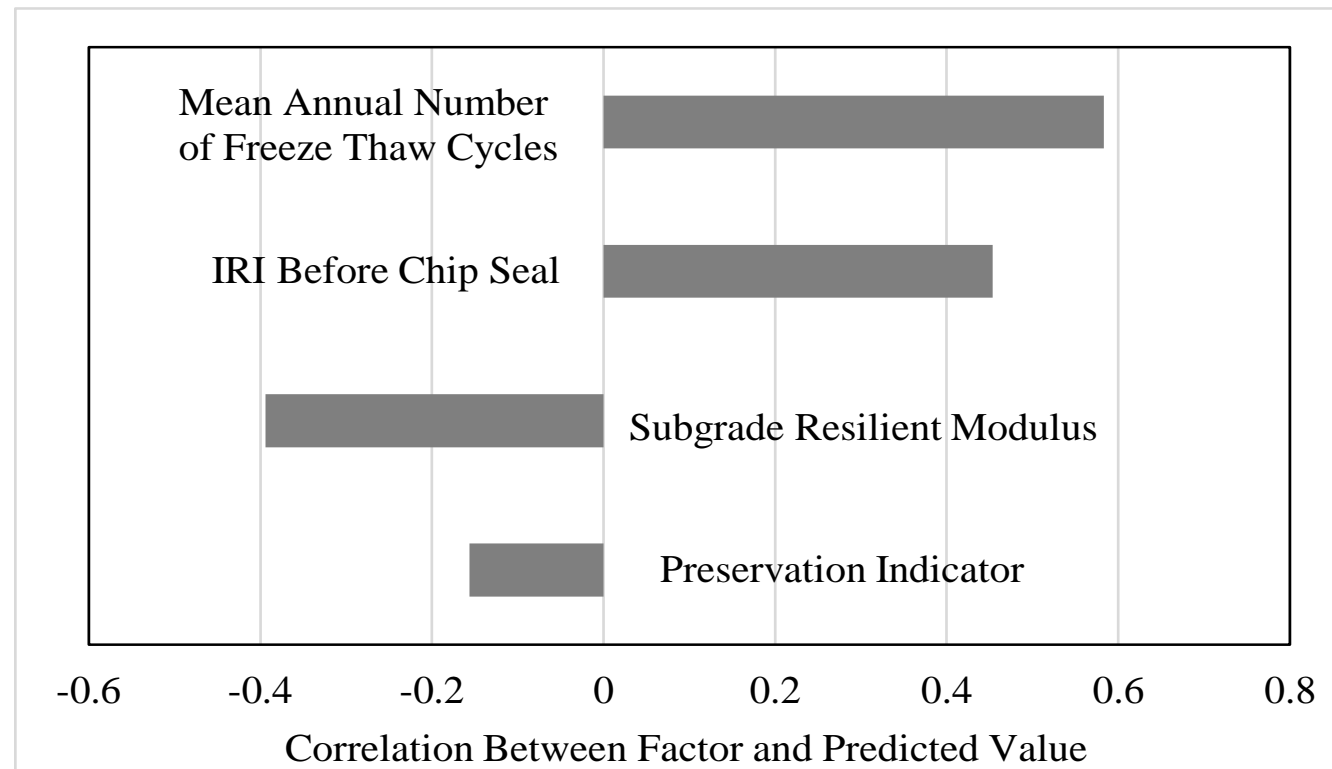
Factor	Coefficient
$b_0$	57.16
$IRI_{Pre}$	$2.66 \cdot 10^{-3}$
$\log_{10}(M_R)$	-13.7
FTC	-0.035
$Pres_{Ind}$	-46.1
$FTC^2$	$4.70 \cdot 10^{-4}$
$IRI_{Pre} * FTC$	$7.69 \cdot 10^{-4}$
$\log_{10}(M_R) * Pres_{Ind}$	11.9
$FTC * Pres_{Ind}$	-0.071



# Evaluate or Develop Required Models

## Example poor fit with informative results

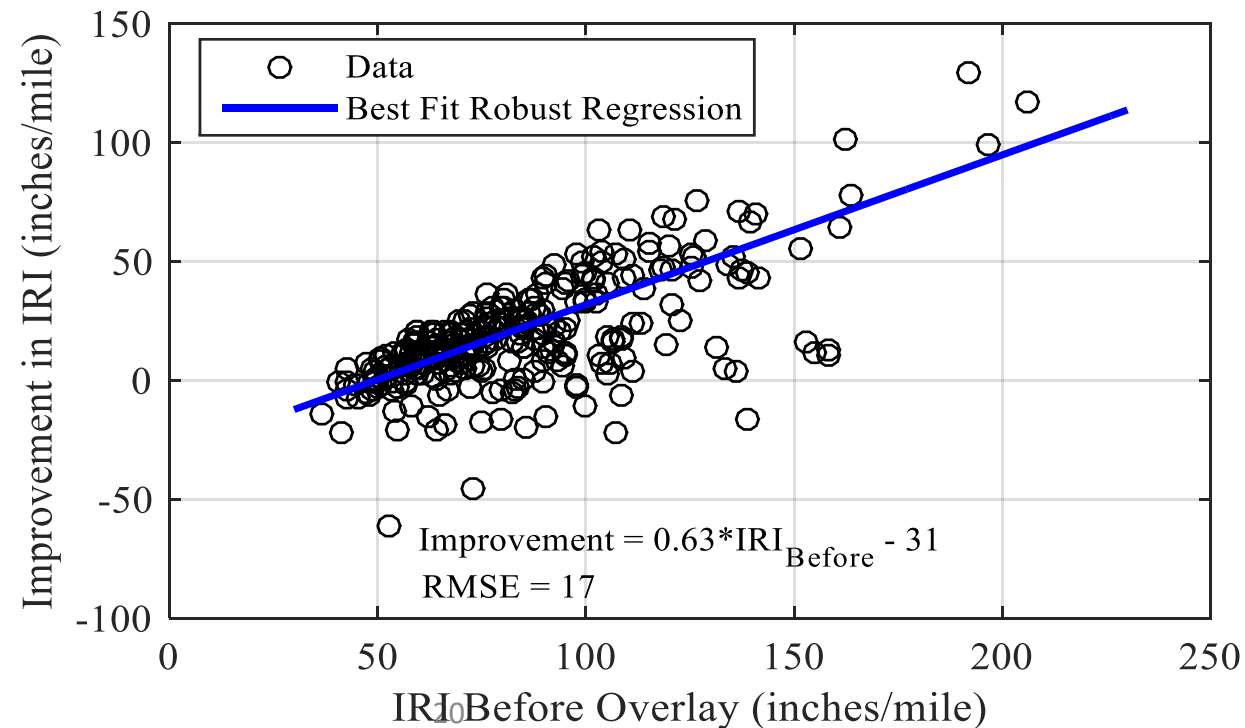
- Simulation and sensitivity analysis



# Evaluate or Develop Required Models

Model development included uncertainties in change in condition and performance prediction

- e.g., IRI change following thin overlay
- Guide will include recommendations on how to address uncertainties



# Evaluate or Develop Required Models

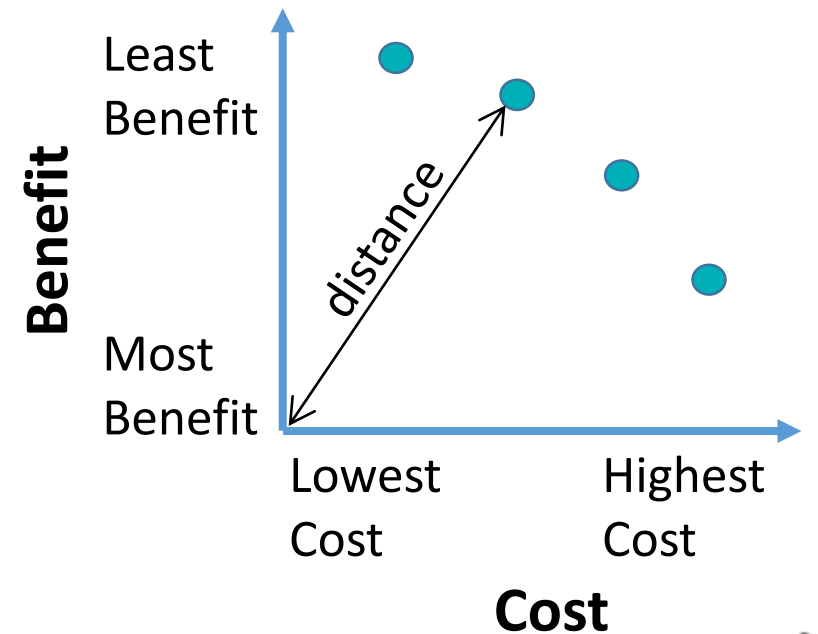
- Evaluate how costs and benefits are combined

- Cost per unit value of benefit

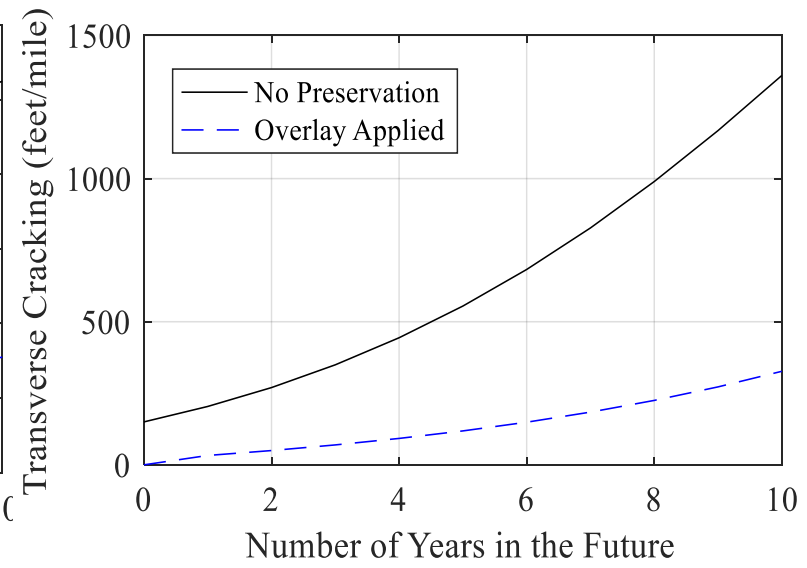
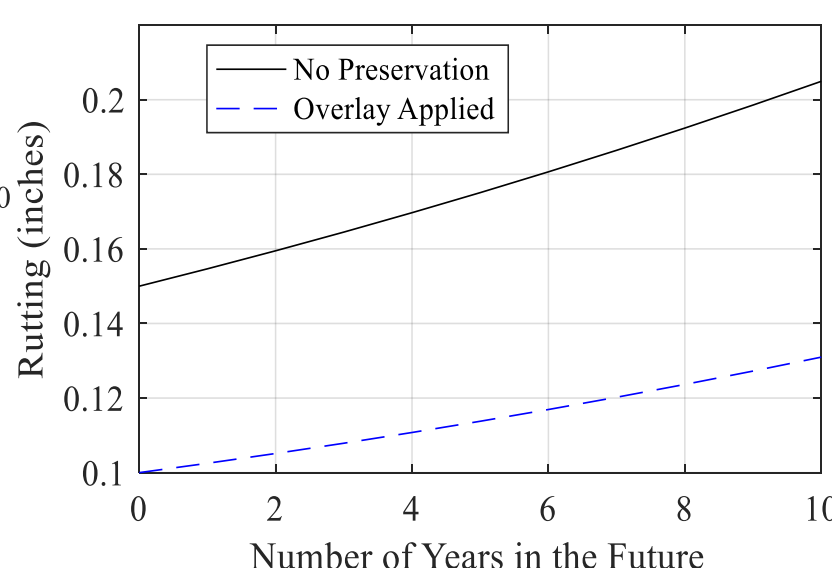
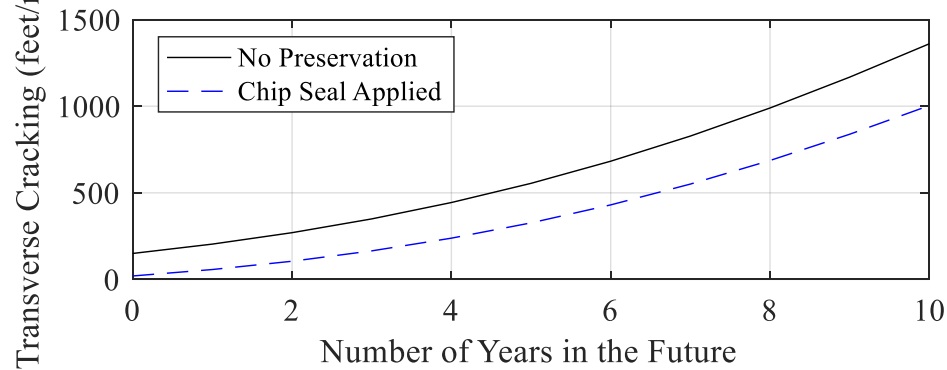
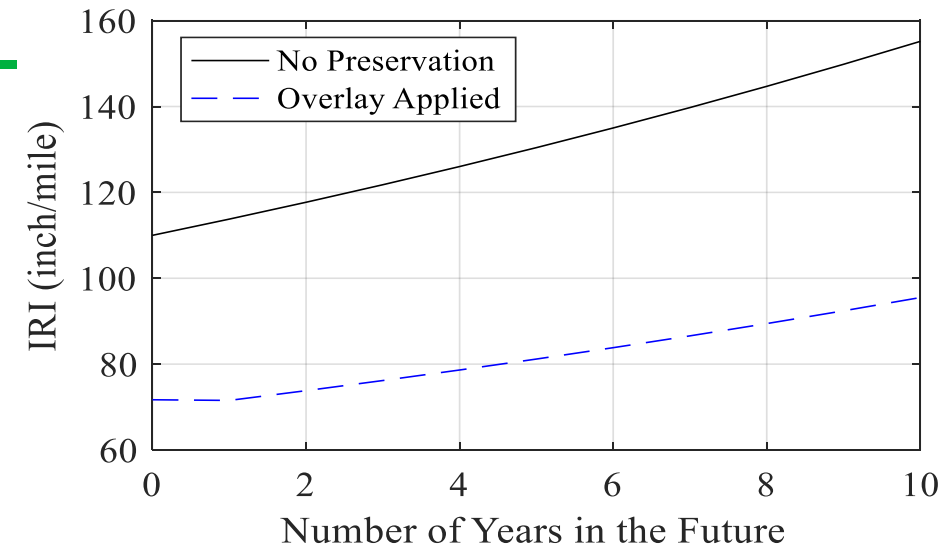
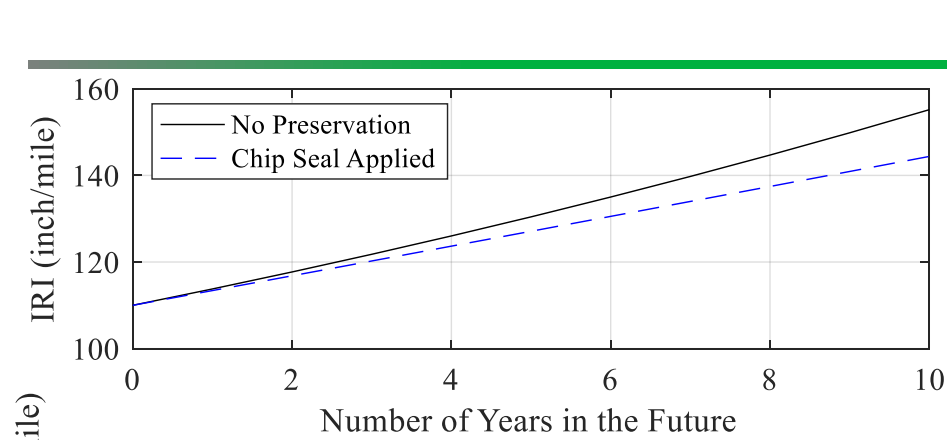
$$\min z = \frac{\left(\frac{Cost_i}{\max(Cost_i)}\right)}{WB_i}$$

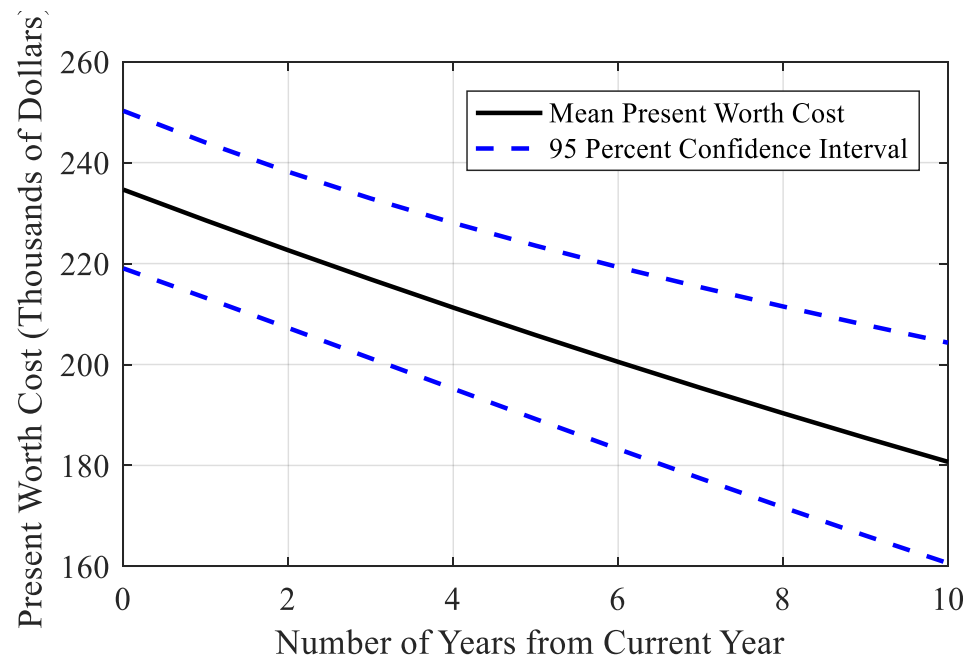
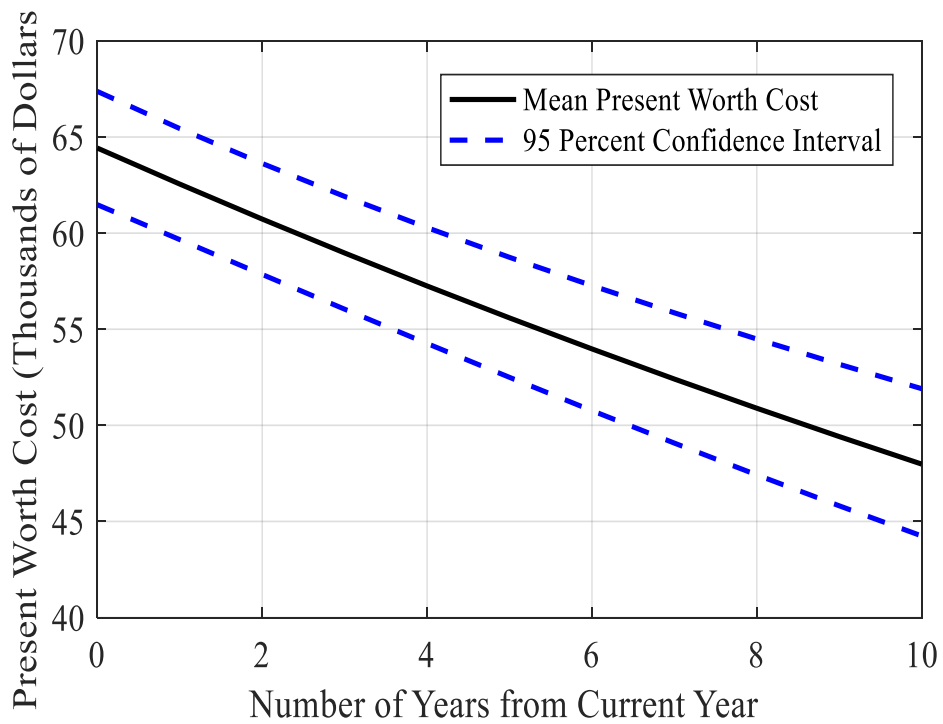
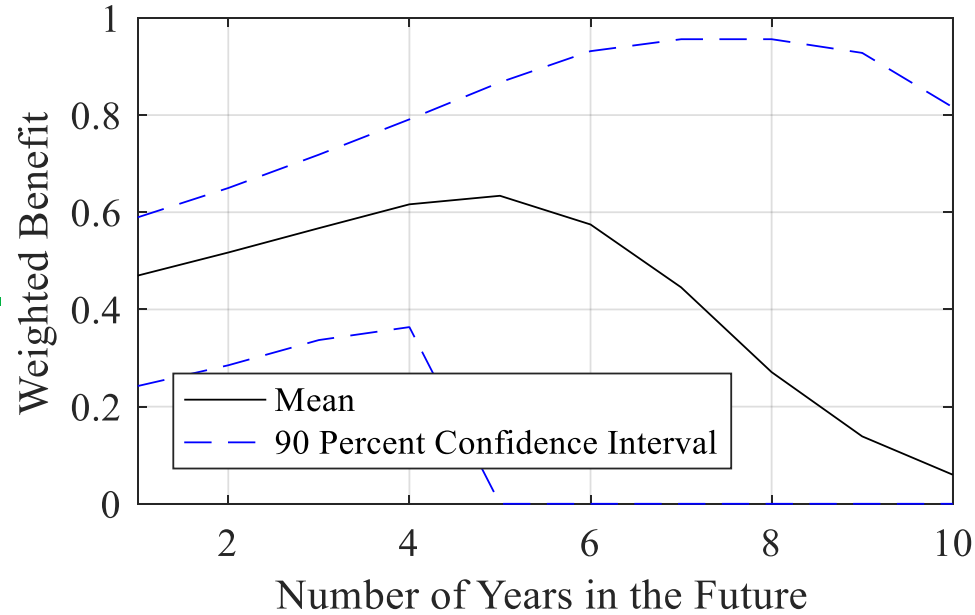
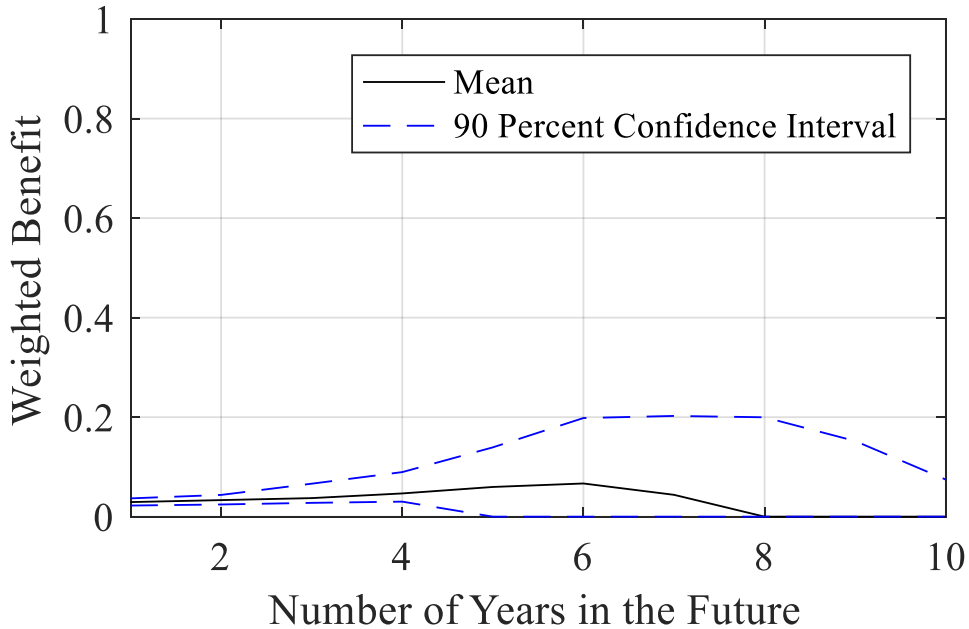
- Distance from hypothetical optimal solution

$$\min z = \left[ \left(\frac{a}{WB_i}\right)^n + \left(\frac{Cost_i}{\min(Cost_i)}\right)^n \right]^{\frac{1}{n}}$$



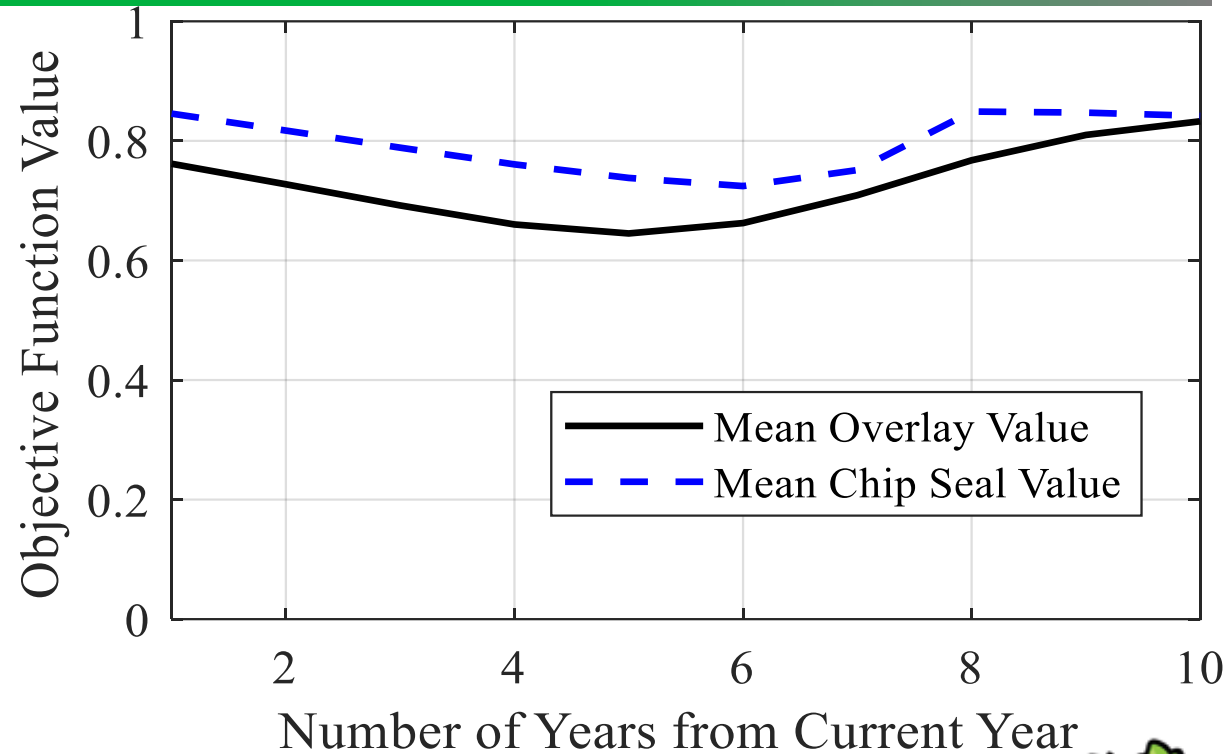
# What Does This Mean for Timing?





# Results of Comparison

- Overlay should be placed in year 5
  - Driven primarily by benefits at that time
  - Immediate change in all measures providing primary benefit
- Chip seal placed in year 6
  - More influenced by cost
  - Immediate change in transverse cracking and reduction in IRI growth driving recommendation





# Conclusions / Discussion

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- Preservation timing is driven by:
  - Performance measures / models
  - Preservation treatment
  - Condition and non-condition factors
  - Costs, uncertainties and assumptions
- The answer is not always to apply preservation right now
  - If benefit is primarily driven by immediate change in condition (e.g., thin overlay – cracking/rutting) – apply preservation later in time
  - If benefit is primarily driven by change in performance (e.g., chip seal – IRI) – apply preservation earlier in time



Thank you!!!

