

NCHRP 1-37

***"Development Of The 2002 Guide
For The Design Of New And
Rehabilitated Pavements"***

**Project Overview
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Director, Division of Highway Design
Kentucky Transportation Cabinet
Chair. AASHTO Joint Task Force On
Pavements

Background

- AASHTO Guide For Design Of New And Rehabilitated Pavement Structures
 - 📄 1998, 1993, 1986, 1972 Editions
 - 📄 1959 AASHO Road Test
 - 📄 Supplemented, Refined, And Updated By Research And New Experience

Background

- AASHTO Joint Task Force On Pavements
 - 📄 Recommended Need For An NCHRP Study To Develop A New Pavement Design Guide
- AASHTO Standing Committee On Research Approved Funding - - NCHRP Project 1-37

Development Of 2002 Guide For Design Of New And Rehabilitated Pavement




- NCHRP 1-37 -- Detailed Work Plan
(Conceptual Plan)
- NCHRP 1-37A -- Guide Development
(State of Practice -- No New Research)

NCHRP Project 1-37A

- Responsible Staff Officer
Dr. Amir N. Hanna
Senior Program Officer
- Web Site
www.2002designguide.com

Objective

Develop and deliver the guide for design of new and rehabilitated pavement structures

-  *Based on mechanistic-empirical principals*
-  *Accompanied by the necessary computational software*
-  *For eventual adoption and distribution by AASHTO*

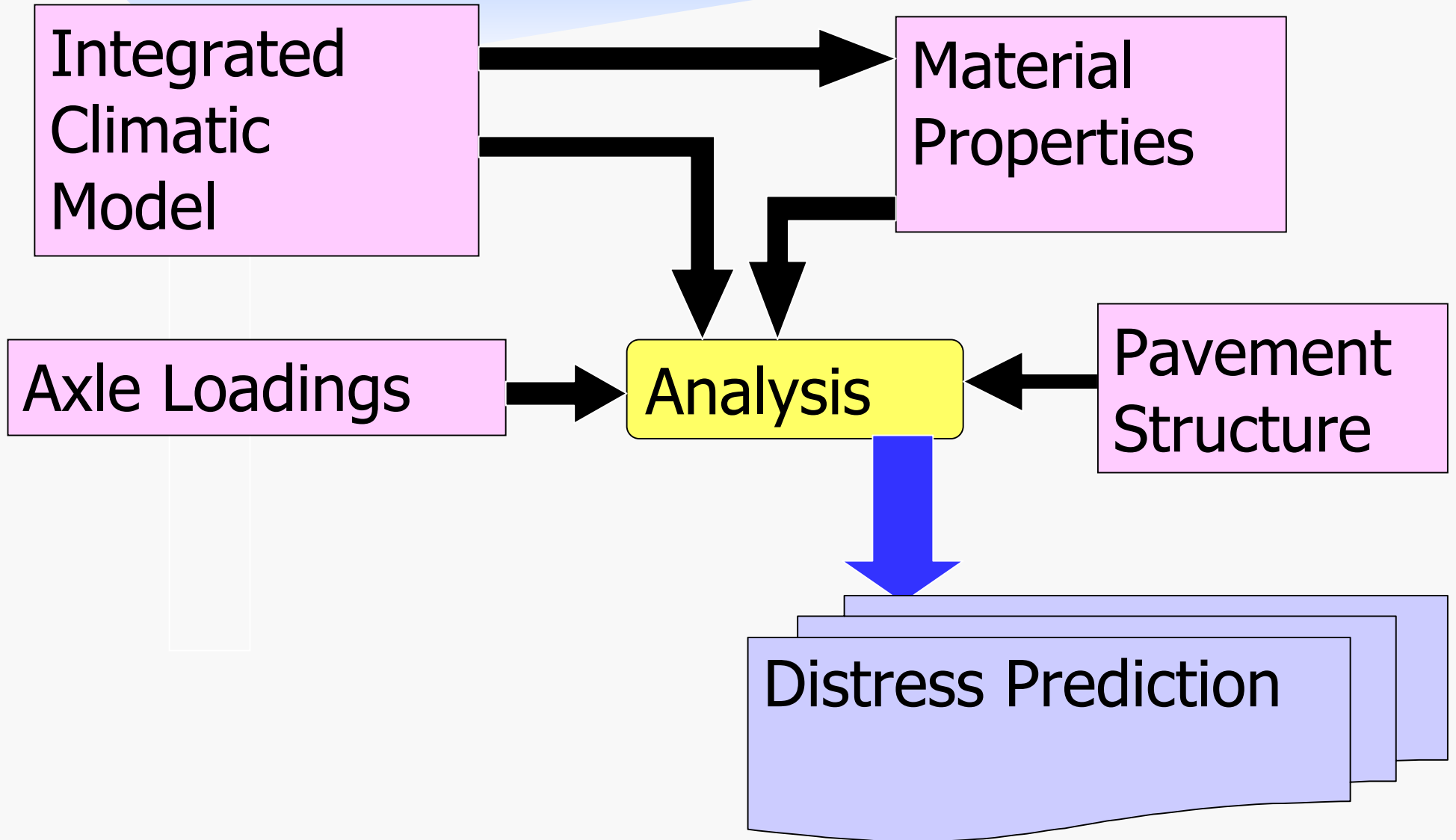
Scope of Guide

- Procedures for pavement design/analysis
- Procedures for evaluating existing pavements
- Recommendations on rehabilitation treatments, subdrainage, and foundation improvements

Scope of Guide

- Procedures for LCCA, reliability, and traffic analysis
- Procedures for calibrating for local conditions
- Guidance for developing agency-specific procedures/catalogs

Guide Processes



Design Inputs

- Inputs will generally include both a mean value and an estimate of variability

Hierarchical Input Levels

- Level 1
Project specific
- Level 2
Region factors
- Level 3
Default values

Climatic Factors

- Integrated Climatic Model
 - ☐ Prediction of pavement temperature
 - ☐ Changes in subsurface moisture
 - ☐ Frozen layers

Material Properties

- Subgrade
 - ☐ Stiffness is adjusted based on the ICM's prediction of moisture content
 - ☐ Frozen versus thawed condition
- Asphalt aging
- Changes in PCC strength

Material Properties

- Asphalt Mixtures
 - ▣ Dynamic Modulus
 - Adjusted for:
 - Temperature
 - Time of loading
 - Aging

Structural design is related to mixture design

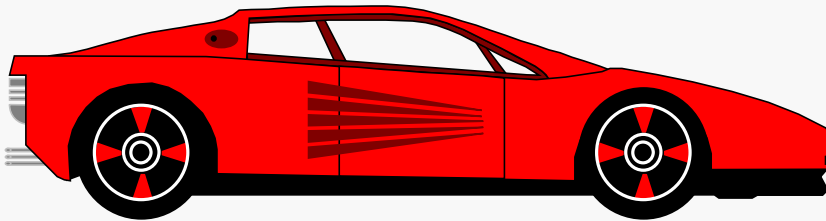
Materials Characterization

- **Unbound materials:** Level 1 resilient modulus test (same as for flexible pavements)
- **FWD testing and E backcalculation:** slab, base, subg.
- **Portland cement concrete:** lab testing
 - 📁 Elastic Modulus Level 1 (ASTM C469)
 - 📁 Elastic Modulus Levels 2 & 3 [$E_c = 33\rho^{3/2}(f'c)^{1/2}$]
 - 📁 Modulus of Rupture [3rd point], time series
 - 📁 Coefficient of Thermal Exp. [New ASTM]
 - 📁 Coefficient of Drying Shrinkage (ASTM C490)
- **Base treated material:** brush erosion test

Traffic Data for Pavement Design

- No more ESAL's!!!
- Traffic input will be numbers of axles by type and weight
- Same type and quality of raw traffic data currently used to compute ESAL's

Axle Load Spectra



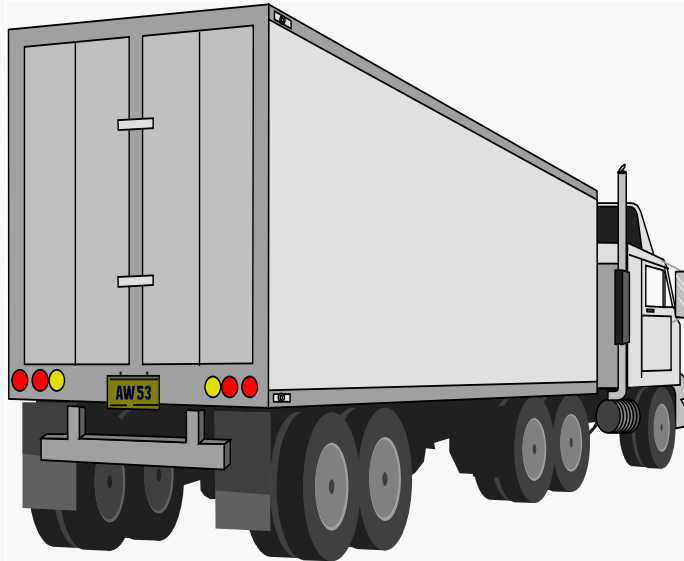
- **Will replace old ESAL approach**
- **An ESAL conversion will be included**
- **Traffic data collection equipment used in LTPP SPS program will be adaptable to Guide**

Axle Load Spectra

Axle Load (1000 lbs)	Number of Axles			
	Single	Tandem	Tridem	Quad
11-14	5,000	400	100	5
15-18	3000	2000	500	10
19-22	200	5000	800	30
23-26	50	4000	1000	80
27-30	6	2000	1500	100
etc				

Guide - Design Inputs

Heirarchical Traffic Levels



- **Level 1** - Site specific vehicle classification and axle weight data
- **Level 2** - Site specific vehicle classification data/regional (state) axle weight data
- **Level 3** - Site specific vehicle volume data/default axle load data

Flexible Pavements

Hierarchical Input Levels

Flexible Pavements

- ***Analysis procedure will be independent of input level***
 - ☐ *Lower levels of inputs will have higher variability which will be considered in the reliability analysis*
- ***Level 2 inputs reflect current practice and currently available data***

Distress Transfer Functions

Flexible Pavements

- ***Permanent Deformation or Rutting (Pd)***

- ☐ ***AC***

- ☐ ***Unbound Materials***

- ***Fatigue Cracking***

- ☐ ***AC (Surface Down & Bottom Up)***

- ☐ ***CTB***

- ***Thermal Fracture***

Software Analysis Plan

Options

- **Multi-Layer Elastic Solution
(Main Engine :JULEA)**

2. **2D Desai Finite Element Analysis**

(For Special Loading Conditions, Non-Linear Unbound Material Characterization)

Design Inputs

Incremental Damage

- **Changes over time are addressed**
 - ☐ **Material strength and stiffness**
 - ☐ **seasonal moisture and temperature**
 - ☐ **variations in traffic seasonally and over time**

Enhanced Integrated Climatic Model (EICM)

Output of the EICM

- ***Environmental Effects Adjustment Factors for the M_R***
FEA / LEA Module
- ***Temperature Frequency Distribution at mid-depth of bound sublayers***
Fatigue / Permanent Deformations Modules
- ***Hourly Temperature Profiles at every inch within AC and/or PCC layer(s)***
Thermal Cracking Module
- ***Average Moisture Content for Bound and Unbound Materials***
Permanent Deformation Module for Unbound Materials

AC Complex Modulus

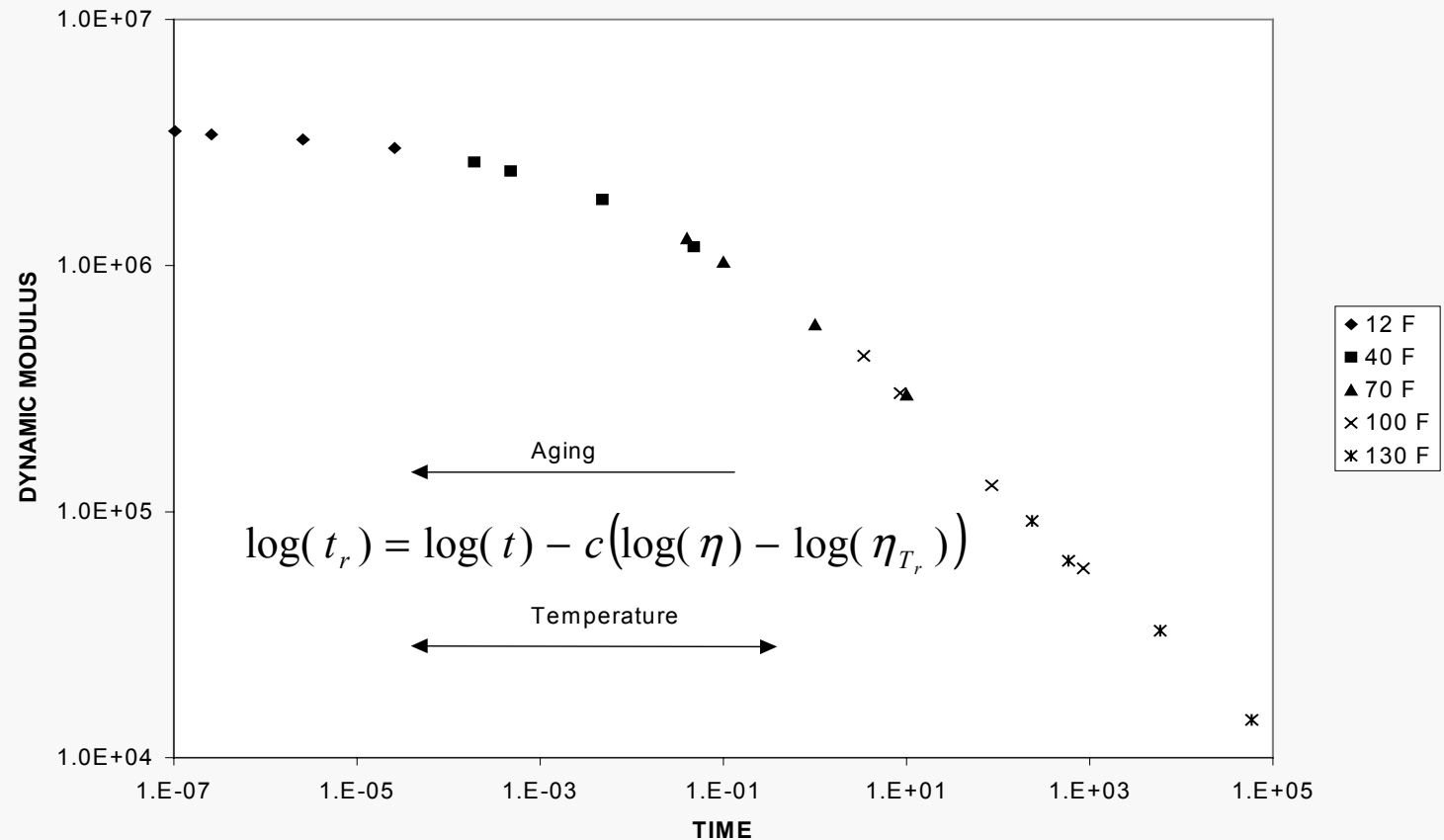
Modulus of Asphaltic Mixtures

General Approach will be:

- ***Based Upon the Dynamic Complex Modulus Test (E^*)***
- ***Hierarchical In Nature***

DYNAMIC MODULUS MASTERCURVE

- TIME-TEMPERATURE AGE SUPERPOSITION



SUMMARY -- Hierarchical Input Levels Flexible Pavements

- **LEVEL 1**
 - ☒ **MIXTURE SPECIFIC TEST DATA**
 - ☒ **MIXTURE E***
 - ☒ **BINDER G***
- **LEVEL 2**
 - ☒ **BINDER TEST DATA AND WITCZAK DYNAMIC MODULUS EQUATION**
 - ☒ **BINDER G***
 - ☒ **REPRESENTATIVE MIX VOLUMETRICS**
- **LEVEL 3**
 - ☒ **BINDER GRADE AND WITCZAK DYNAMIC MODULUS EQUATION**
 - ☒ **BINDER GRADE**
 - ☒ **REPRESENTATIVE MIX VOLUMETRICS**

Fatigue

Basic Fatigue Equation

$$\begin{aligned} N_f &= K_1 \left(\frac{1}{\varepsilon_t} \right)^{k_2} \left(\frac{1}{E} \right)^{k_3} \\ &= K_1 (\varepsilon_t)^{-k_2} (E)^{-k_3} \end{aligned}$$

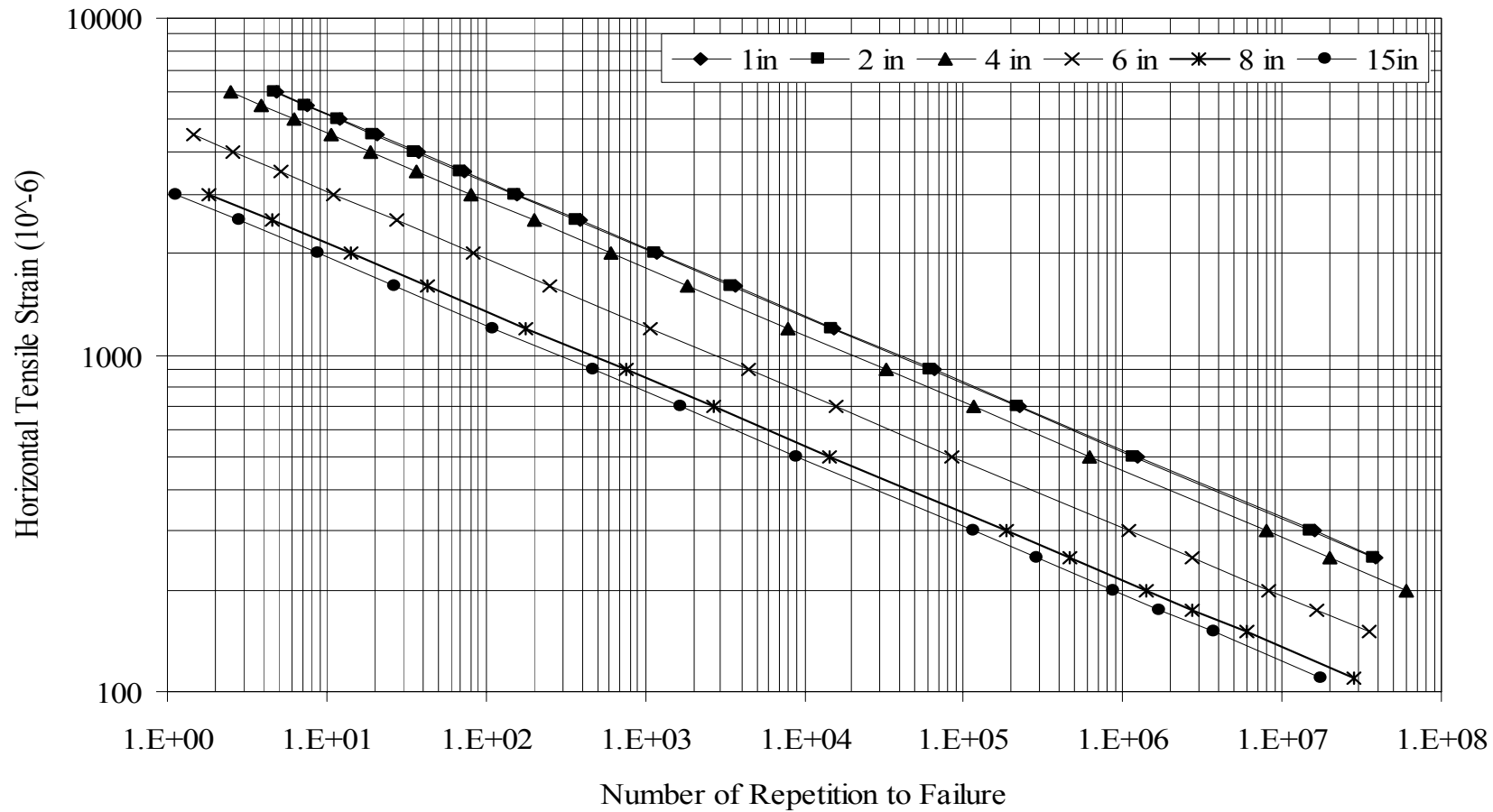
N_f = number of repetitions to fatigue cracking

ε_t = tensile strain at the critical location

E = stiffness of the material

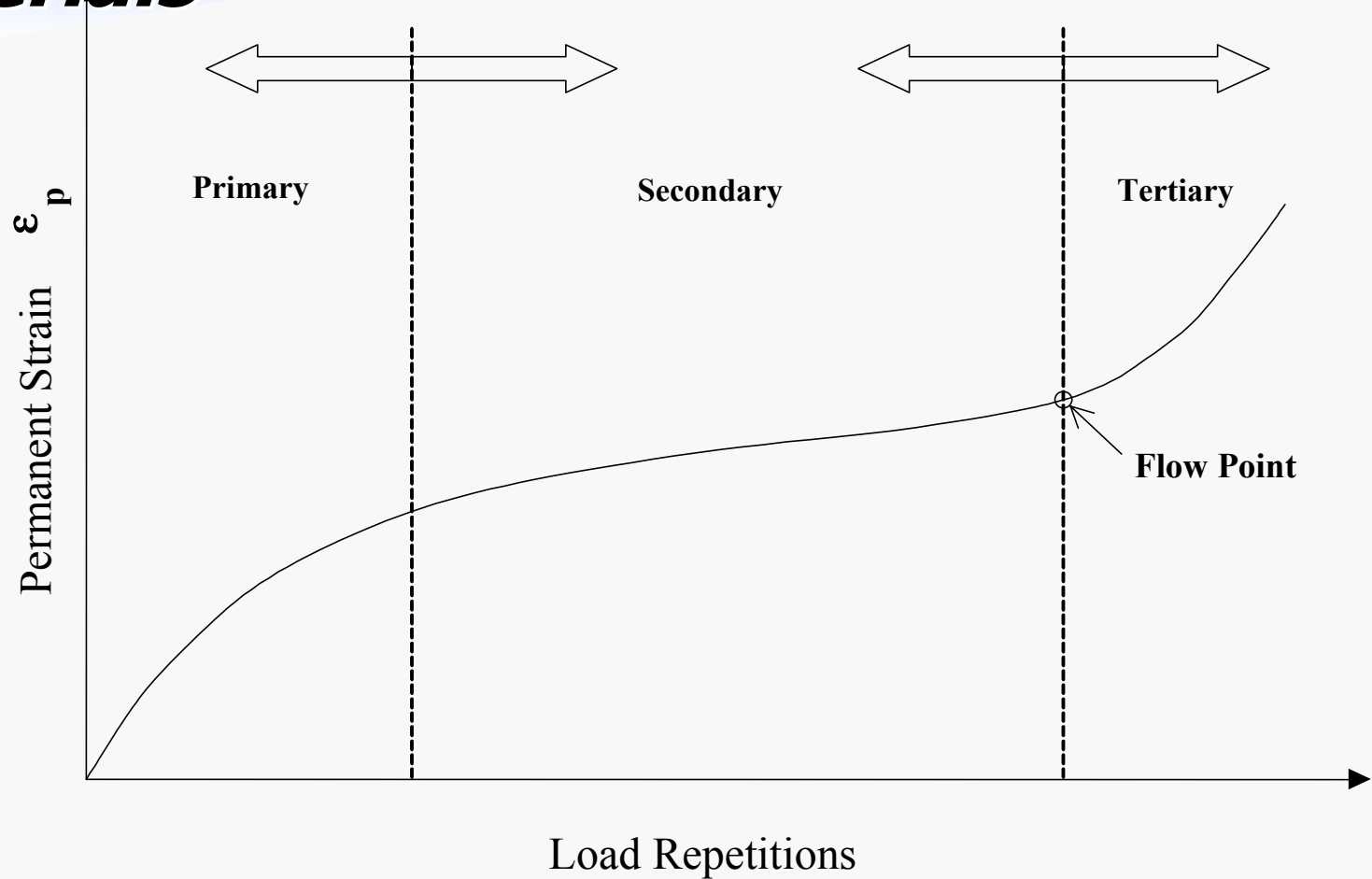
K_1, k_2, k_3 = laboratory calibration parameters

Typical Fatigue Curve Relationship



AC Permanent Deformation

Typical Repeated Load Permanent Deformation Behavior of Pavement Materials



Permanent Deformation Models

$$\log\left(\frac{\varepsilon_p}{\varepsilon_r}\right) = -3.74938 + 0.4262 \log(N) + 2.02755 \log(T)$$

$$R^2 = 0.73$$

$$S_e = 0.309$$

$$S_e/S_y = 0.522$$

$$N_{\text{tests}} = 3476$$

IRI Distress Models

Conceptual Model

$$IRI = IRI_0 + \Delta IRI$$

$$\Delta IRI = f(D_j, S_f)$$

IRI_0 = Pavement Smoothness when it is Newly Constructed

D_j = Effect of Surface Distresses

S_f = Effect of Non-Distress Variables or Site Factor

IRI Models for Original HMA Pavements

- ***Unbound Aggregate Bases and Subbases***

$$\begin{aligned} IRI = & IRI_o + 0.03670(SF)[e^{age/20} - 1] + 0.00325(FC) \\ & + 0.4092(COV_{RD}/100) + 0.00106(TC) + \\ & 0.00704(BC) + 0.00156(SLCNWP_{MH}) \end{aligned}$$

SF = Site factor

$e^{age/20} - 1$ = Age factor

FC = Fatigue cracking

RD = Rut Depth

SD_{RD} = Standard deviation of rut depth

TC = Length of transverse cracking

BC = Area of block cracking

$SLCNWP_{MH}$ = Length of sealed longitudinal cracks outside wheel path

$$COV_{RD} = \frac{SD_{RD}}{RD} = \frac{0.665 + 0.2126(RD)}{RD}$$

IRI Models for HMA Overlays

- ***HMA Overlays Placed on Flexible Pavements***

$$IRI = IRI_o + 0.04283[\ln(\text{Age}+1)] + 0.00880(FC) + 0.00129(TC_{MH}) + 2.9065(BC_H) + 8.7702(P_H) + 0.00100(SLCNWP)$$

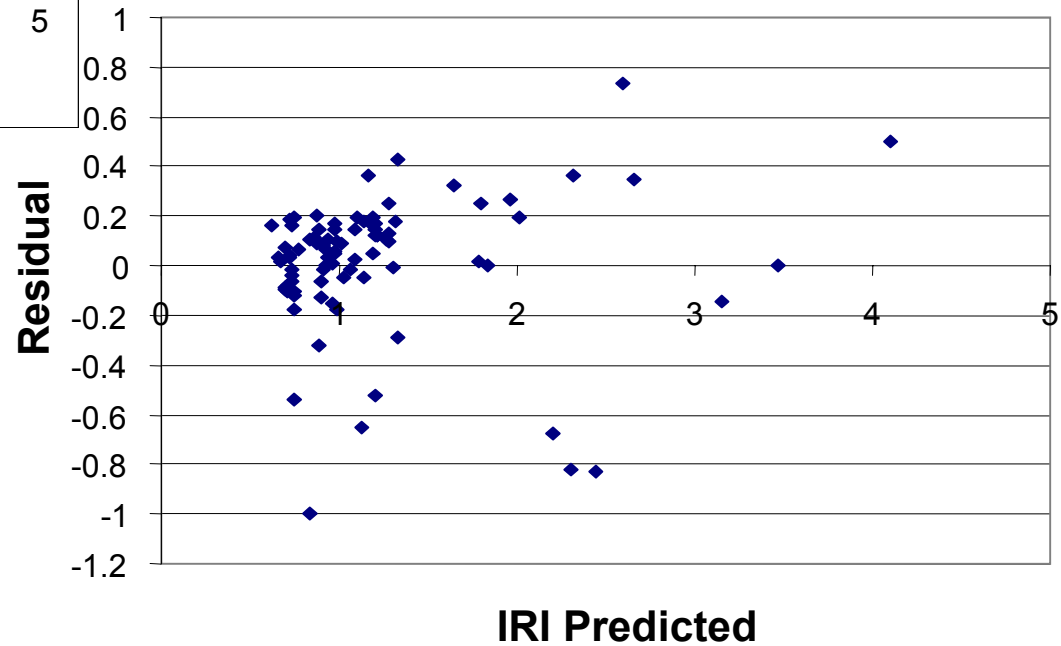
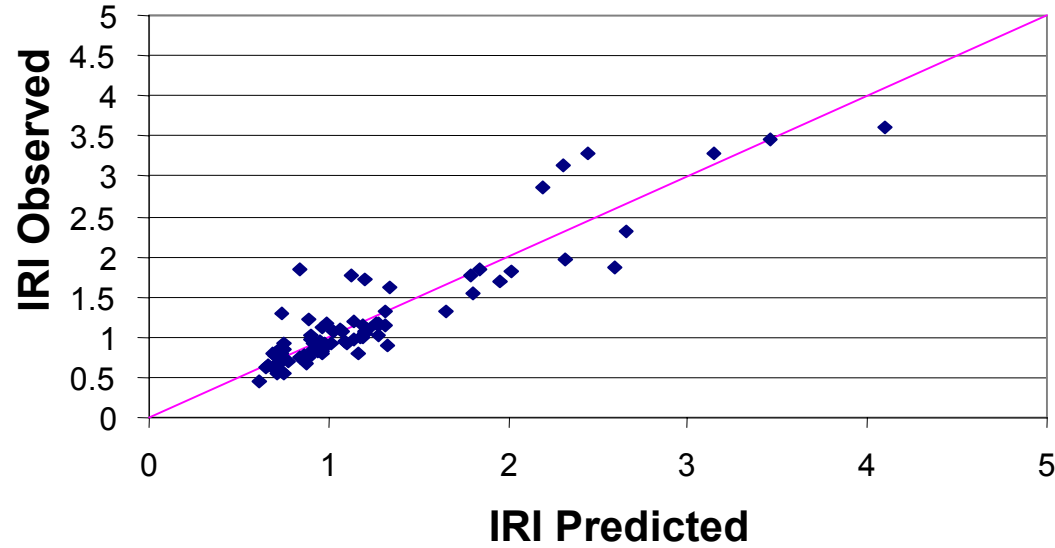
Ln(Age+1) = Age factor

- ***HMA Overlays Placed on Rigid Pavements***

$$IRI = IRI_o + 0.02069(RD) + 8.396 [1/(TCS_{MH}+1)] + 13.122(P_{MH})$$

Measured vs. Predicted IRI

AC over AC



Calibration by Distress Type

- ***M-E models require a process of "calibration" to ensure that they will be reliable models.***
- ***This will require three ongoing steps:***
 - ***(1) Verification***
 - ***(2) Calibration***
 - ***(3) Validation***

Calibration and Validation Data

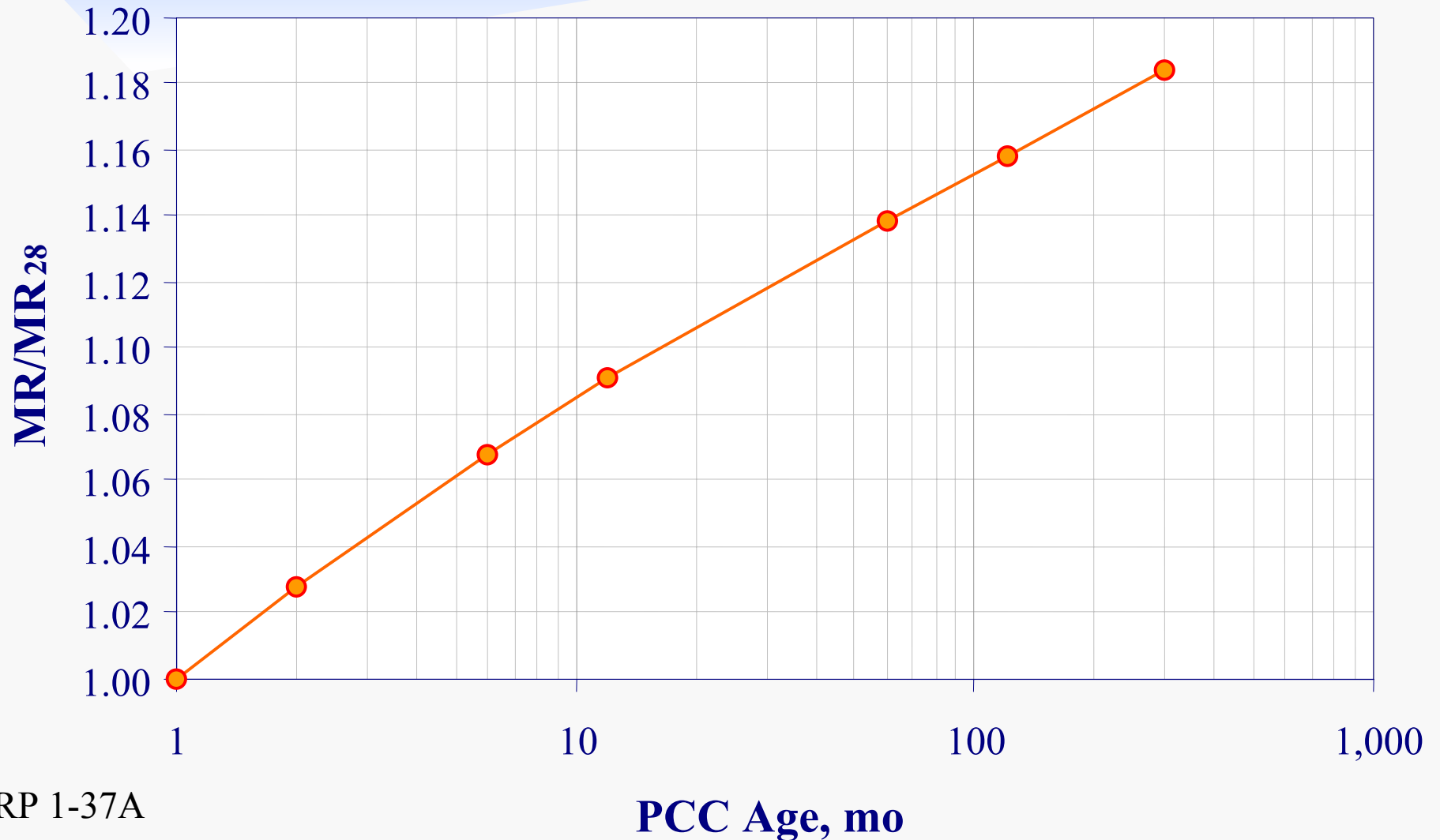
- ***Field measured distress data from in-service highway sections will be primarily used.***
- ***LTPP will be the primary data set utilized due to its quality, quantity, geographic distribution, types of pavements/rehab, and variables included in database.***
- ***Extremely Critical Work Task Leading to Acceptance or Rejection of Design Guide Approach***

Rigid Pavements

Mechanistic Based Rigid Pavement Design and Rehabilitation

- Hierarchical design inputs/trial design
- Materials characterization
- Structural modeling of pavement/subgrade
- Key distress types and smoothness
 - Critical stresses and deflections
 - Distress/smoothness models
 - Incremental “damage” computation
 - Calibrate “damage” to physical distress
- Reliability of design
- Design iteration
- Special rehabilitation items

PCC Strength Gain With Age



Foundation

- Subgrade inputs identical to flexible pavement design
 - ☒ Laboratory resilient modulus test or backcalculation
 - ☒ EICM used to predict subgrade moisture and generate seasonal modulus values
- Elastic layer program used to predict seasonal PCC surface deflections
 - ☒ PCC surface deflections used to backcalculate seasonal subgrade k-values

Structural Modeling of Pavement/Subgrade

- **FE Response Model**

- ☒ ISLAB2000—enhanced 2.5D FEM

- ☒ ERES/U.Michigan/MSU/MichTech/UnivMn/
UnivIllinois

- **Capabilities**

- ☒ Multiple pavement/overlay layers and foundation, slab curling, cracks and joints, multi-wheel loads, relative rapid solutions

Structural Modeling of Pavement/Subgrade

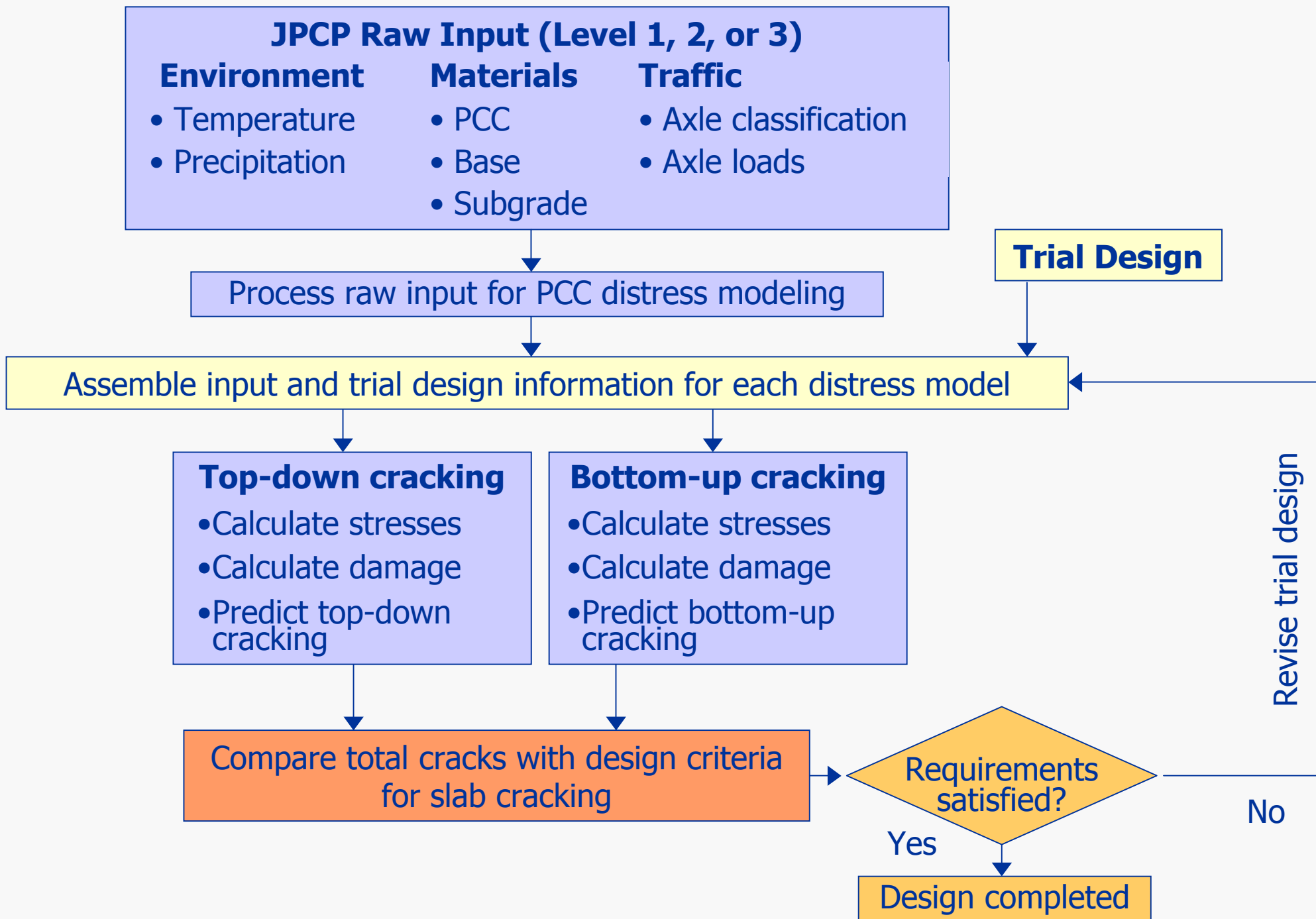
- **Rapid solutions (Neural networks)**
 - 📁 Develop large databases of ISLAB2000 runs for each design situation (bottom-up cracking, top-down cracking, joint faulting, punchouts), axle type, and axle location
 - 📁 Id key structural parameters
 - 📁 Train neural networks to predict parameters
- NN accurately represents ISLAB2000 responses
- Provides near instantaneous solutions

Mechanistic Based Rigid Pavement Design and Rehabilitation

- Hierarchical design inputs/trial design
- Materials characterization
- Structural modeling of pavement/subgrade
- ***Predict key distress types and smoothness***
 - Critical stresses and deflections
 - Mechanistic based model
 - Incremental “damage” computation
 - Calibrate “damage” to physical distress

Predict Key Distress Types & Smoothness (New and Rehabilitated Pavements)

- **JPCP distress**
 - ☒ Transverse cracking—bottom-up
 - ☒ Transverse cracking—top-down
 - ☒ Joint Faulting
- **CRCP punchouts**—crack LTE loss, top-down
- **Smoothness (IRI)**



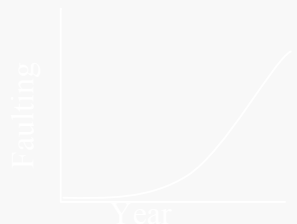
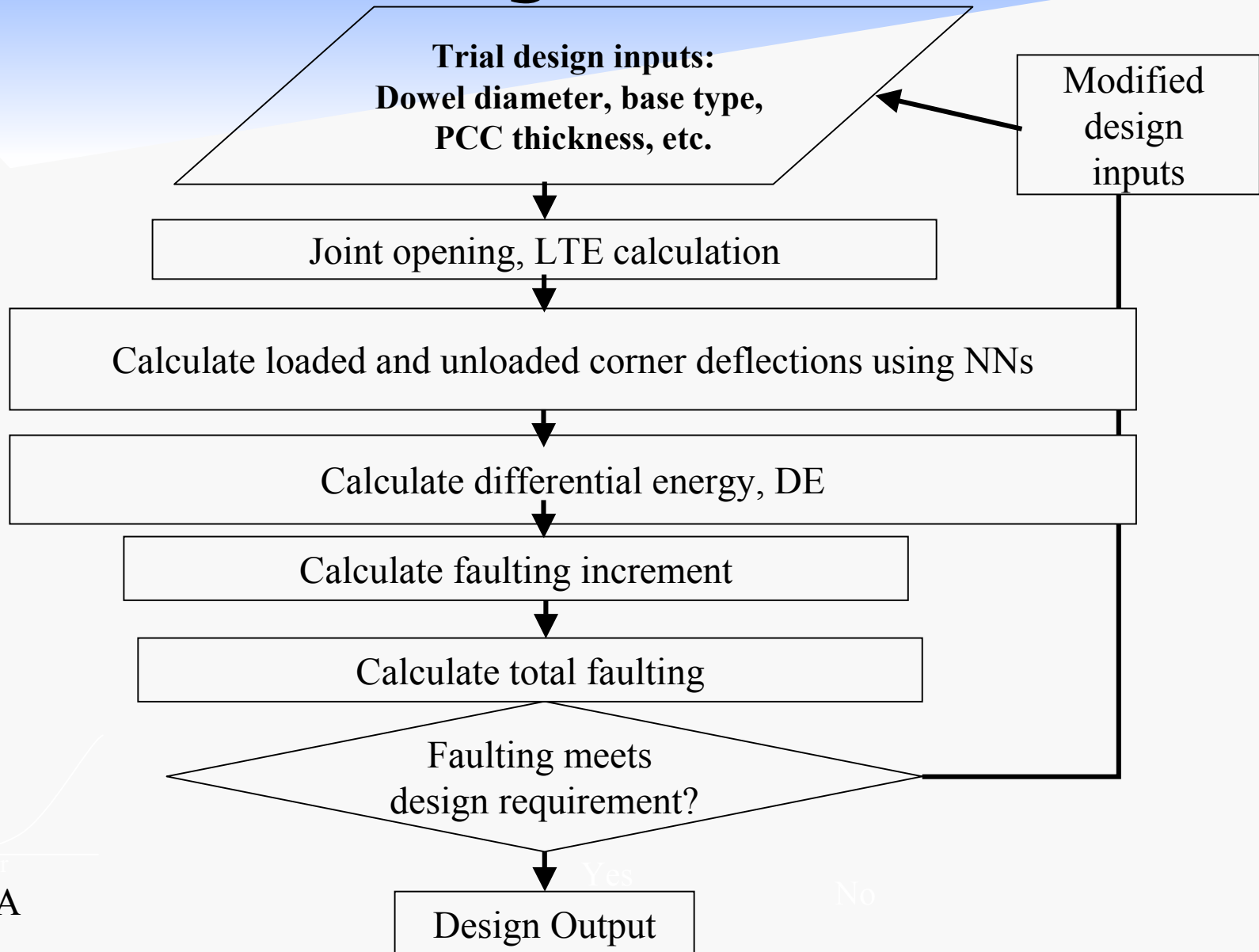
Joint Faulting Parameters

- Axle type, loading, lateral position, number
- Temperature gradient curling (positive daytime)
- Combined built-in temperature gradient & top drying shrinkage (negative)
- Slab thickness, modulus, strength, coef. exp.
- Base thickness, modulus
- Subgrade modulus
- Joint spacing, slab width
- Transverse joint LTE, longitudinal joint LTE

Faulting Modeling Procedure

- Utilized concepts of faulting models from NAPCOM, NCHRP 1-34, PRS 3
 - ☒ Use subgrade differential energy (DE) as the main structural response parameter
- Improvements: Temperature curling and incremental faulting accumulation with the rate of faulting depending on the faulting level
- Calibration and validation using LTPP and FHWA/RPPR databases

Overall Faulting Model Flowchart



JPCP Smoothness Model

$$\text{IRI} = \text{IRI}_i + 0.0137\text{CRK} + 0.007\text{SPALL} + 0.005\text{PATCH} + 0.0015\text{TFAULT} + 0.04\text{SF}$$

where:

IRI_i = Initial IRI, m/km

CRK = percent slabs with cracking (transverse and corner breaks [all severities])

SPALL = percentage of joints with spalling (medium and high severities)

PATCH = area with flexible or rigid patching (all severities), m^2

TFAULT = total joint faulting, mm/km

JPCP Smoothness Model, cont'd

$$\mathbf{SF = site\ factor = AGE*(1 + FI^{1.5})(1 + P_{0.075})/10^6}$$

where:

AGE = pavement age, yr

FI = Freezing index, °C days

$P_{0.075}$ = percent subgrade material passing
0.075-mm sieve

CRCP Smoothness Model

$$\text{IRI} = \text{IRI}_i + 0.003\text{TCRK} + 0.2\text{NPATCH} + 0.08\text{PUNCH} + 0.45\text{SF}$$

where:

- IRI_i = initial IRI
- TC = mid to high transverse cracking/km
- PUNCH = number of mid- to high-severity punchouts/km
- PATCH = Number of mid- to high-severity flexible or rigid patching

CRCP Smoothness Model, cont'd

$$\mathbf{SF = site\ factor = AGE*(1 + FI)(1 + P_{0.075})/10^6}$$

where

AGE = pavement age, yr

FI = Freezing index, °C days

P_{0.075} = percent subgrade material passing
0.075-mm sieve

Design Reliability

- Uncertainty or variability of all inputs and models (standard deviation, COV, distribution type)
- What gets built in field is different than design
- Estimated traffic is different than actual
- Variation exists along project
- Limitations in all distress and smoothness models

Hierarchical Design Input Levels & Reliability/Uncertainty

- **Level 1—Highest input certainty**
 - ☒ Inputs obtained from significant lab or in situ field testing—lowest estimation error
- **Level 2—Medium input certainty**
 - ☒ Inputs obtained from correlations, limited testing, previous testing
- **Level 3—Lowest input certainty**
 - ☒ Inputs based on estimating or default values or typical values—highest estimation error

Benefits of Mechanistic Design for Rigid Pavements

- Ability to ***structurally model*** rigid pavements with different site conditions, design features and materials
- Ability to accumulate damage ***incrementally*** (month by month over life)
- Ability to predict (and prevent) key ***distresses and smoothness***
- Ability to ***calibrate*** to local or regional conditions

Progress Schedule

Are we there yet?

•June 30, 2003

- All draft deliverable, including Design Guide appendices and example problems;
- Software; and
- Marketing and training materials

•October 30, 2003

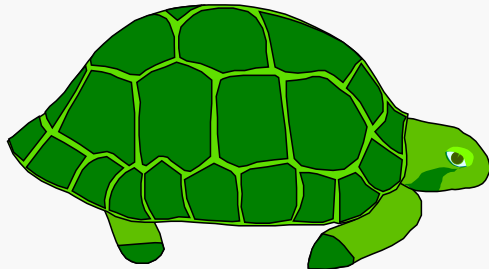
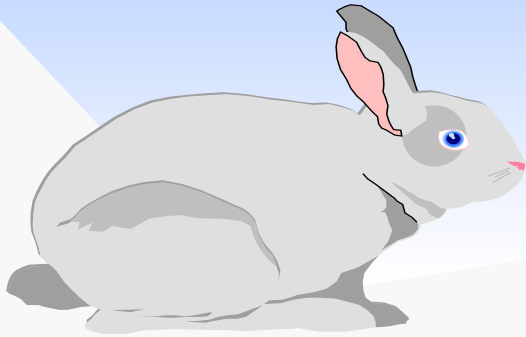
- All final (revised) deliverables

•November 30, 2003

- Draft SI version of the Guide

•December 30, 2003

- Final (revised) SI version of the Guide



Future

- NCHRP 1-40
National/Regional Workshops
- Review/Concurrence by JTFP
- Review/Concurrence by Subcommittee On Design
- Review/Concurrence by Standing Committee On Highways

Questions